

Exhibit A: Expected Resolution Plan Filing Groups¹

Biennial Filers	Triennial Full Filers		Triennial Reduced Filers
Category I²	Category II³	Category III⁴	Other FBOs⁵
Two-year cycle <ul style="list-style-type: none"> • Alternating full and targeted plans 	Three-year cycle <ul style="list-style-type: none"> • Alternating full and targeted plans 		Three-year cycle <ul style="list-style-type: none"> • Reduced plans
Bank of America Bank of New York Mellon Citigroup Goldman Sachs JPMorgan Chase Morgan Stanley State Street Wells Fargo	Barclays Capital One Credit Suisse Deutsche Bank HSBC Mizuho MUFG Northern Trust PNC Financial Royal Bank of Canada Toronto-Dominion UBS U.S. Bancorp		53 FBOs <i>See accompanying list</i>

¹ Please see the accompanying visual “Resolution Plan Submission Dates” for a visualization of future submissions. Projected categories are based on data for Q1 2019. Actual categories will be based on 4-quarter averages. For certain measures for foreign banks, conservative assumptions were used to estimate incomplete data.

² Firms subject to category I standards will be the U.S. GSIBs. Any future Council-designated nonbank would file full and targeted plans on a two-year cycle, unless the agencies jointly determine the firm should file full and targeted plans on a three-year cycle.

³ Firms subject to category II standards will be: (1) U.S. firms with (a) \geq \$700b average total consolidated assets; or (b) \geq \$100b average total consolidated assets with \geq \$75b in average cross-jurisdictional activity and (2) foreign banking organizations (FBOs) with (a) \geq \$700b average combined U.S. assets; or (b) \geq \$100b average combined U.S. assets with \geq \$75b in average cross-jurisdictional activity.

⁴ Firms subject to category III standards will be: (1) U.S. firms with (a) \geq \$250b and $<$ \$700b average total consolidated assets; or (b) \geq \$100b average total consolidated assets with \geq \$75b in average total nonbank assets, average weighted short-term wholesale funding, or average off-balance sheet exposure and (2) FBOs with (a) \geq \$250b and $<$ \$700b average combined U.S. assets; or (b) \geq \$100b average combined U.S. assets with \geq \$75b in average total nonbank assets, average weighted short-term wholesale funding, or average off-balance sheet exposure.

⁵ Other FBOs subject to resolution planning pursuant to statute are FBOs with \geq \$250b global consolidated assets that are not subject to category II or category III standards.

Foreign banking organizations that are expected to be triennial reduced filers

Agricultural Bank of China	Australia and New Zealand Banking Group	Banco Bradesco
Banco De Sabadell	Banco Do Brasil	Banco Santander
Bank of China	Bank of Communications	Bank of Montreal
Bank of Nova Scotia	Bayerische Landesbank	BBVA Compass
BNP Paribas	BPCE Group	Caisse Federale de Credit Mutuel
Canadian Imperial Bank of Commerce	China Construction Bank Corporation	China Merchants Bank
CITIC Group Corporation	Commerzbank	Commonwealth Bank of Australia
Cooperative Rabobank	Credit Agricole Corporate and Investment Bank	DNB Bank
DZ Bank	Erste Group Bank AG	Hana Financial Group
Industrial and Commercial Bank of China	Industrial Bank of Korea	Intesa Sanpaolo
Itau Unibanco	KB Financial Group	KBC Bank
Landesbank Baden-Weurttemberg	Lloyds Banking Group	National Agricultural Cooperative Federation
National Australia Bank	Nordea Group	Norinchukin Bank
Oversea-Chinese Banking Corporation	Shinhan Bank	Skandinaviska Enskilda Banken
Societe Generale	Standard Chartered Bank	State Bank of India
Sumitomo Mitsui Financial Group	Sumitomo Mitsui Trust Holdings	Svenska Handelsbanken
Swedbank	UniCredit Bank	United Overseas Bank
Westpac Banking Corporation	Woori Bank	

Exhibit B: Final Resolution Plan Rule – Full and Targeted Resolution Plan Requirements

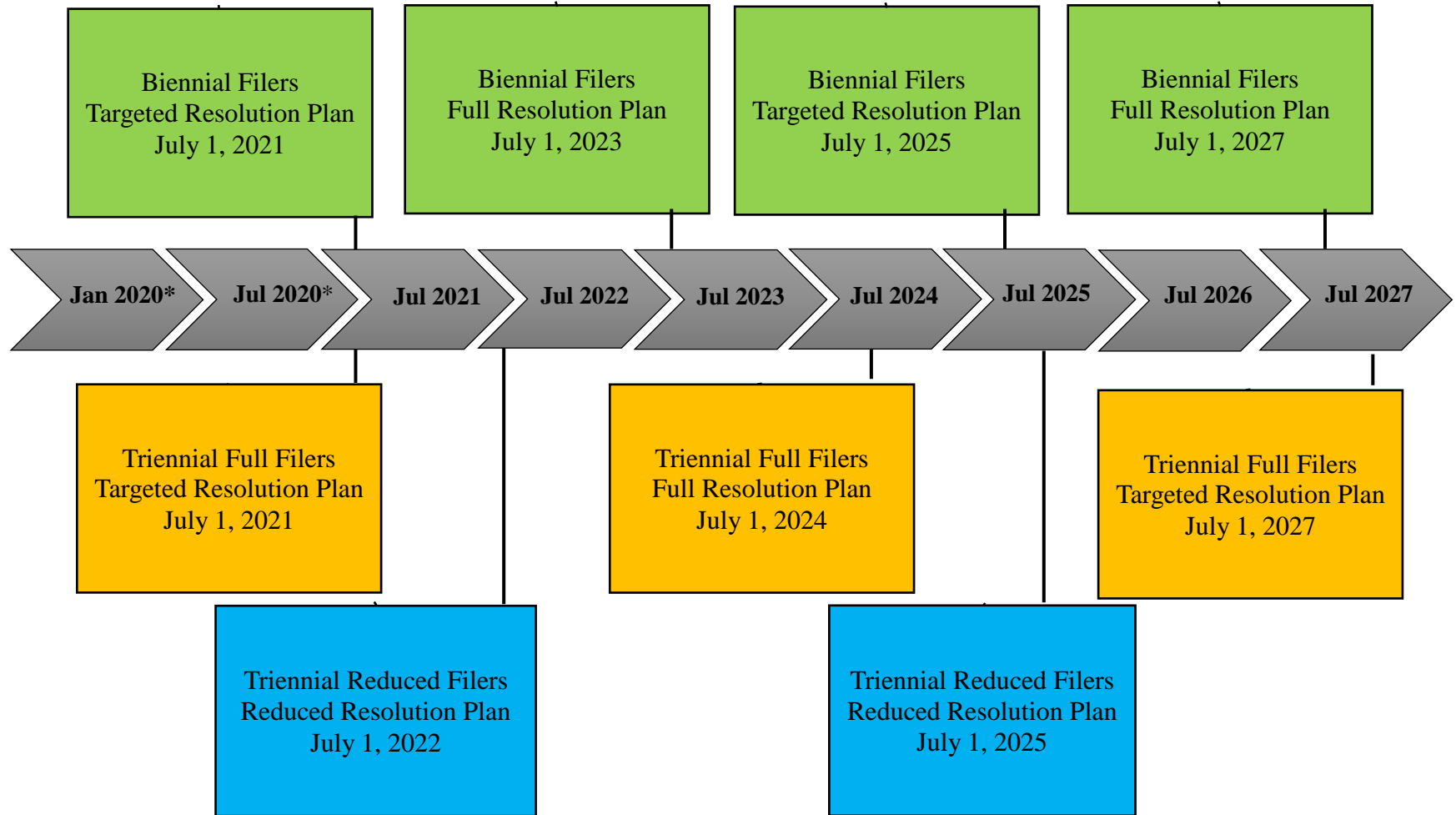
FULL RESOLUTION PLANS	TARGETED RESOLUTION PLANS
<p>Public Section An executive summary of the resolution plan that describes the business of the company and includes certain key elements material to an understanding of the company</p>	<p>Public Section An executive summary of the resolution plan that describes the business of the company and includes certain key elements material to an understanding of the company</p>
<p>Confidential section⁶</p> <ul style="list-style-type: none"> Executive summary Strategic analysis Corporate governance relating to resolution planning Organizational structure and related information Management information systems Interconnections and interdependencies Identification of agencies with supervisory, regulatory, or resolution authority over company 	<p>Confidential section (subset of full resolution plan addressing only items listed below)</p> <ul style="list-style-type: none"> Core elements of a full resolution plan: capital, liquidity, and plan for executing any recapitalization⁷ Changes resulting from changes in laws or regulations, agency guidance or feedback, and material changes (as defined in the final resolution plan rule) Information responsive to a targeted information request⁸

⁶ A firm, other than a biennial filer, may request changes to certain informational requirements for its full resolution plan, as described in the final resolution plan rule.

⁷ For additional information about core elements, *see* preamble section III.B.5 of the final resolution plan rule.

⁸ Targeted information requests may be made by the agencies at least 12 months prior to a targeted resolution plan submission date.

Exhibit C: Resolution Plan Submission Dates



* In accordance with the agencies' feedback letters dated December 20, 2018 and March 29, 2019, certain firms are to provide to the agencies submissions in connection with their previously-submitted resolution plans.