



BOARD OF GOVERNORS
OF THE
FEDERAL RESERVE SYSTEM

WASHINGTON, D.C. 20551

DIVISION OF SUPERVISION
AND REGULATION

DIVISION OF CONSUMER AND
COMMUNITY AFFAIRS

SR 22-3

CA 22-1

January 21, 2022

**TO THE OFFICER IN CHARGE OF SUPERVISION AND APPROPRIATE
SUPERVISORY AND EXAMINATION STAFF AT EACH FEDERAL RESERVE
BANK AND INSTITUTIONS SUPERVISED BY THE FEDERAL RESERVE**

**SUBJECT: Federal Financial Institutions Examination Council Issues Statement of
Principles on Examination Information Requests**

Applicability: This letter applies to all institutions supervised by the Federal Reserve, including those with \$10 billion or less in consolidated assets.

On January 21, 2022, the Federal Financial Institutions Examination Council (FFIEC) issued a statement (statement) outlining the principles for examination information requests that the members of the FFIEC developed and committed to implement based on results of the final phase of the Examination Modernization Project (Project).¹ The Project was initiated in response to a review of regulations under the Economic Growth and Regulatory Paperwork Reduction Act. The statement also discusses the development of a common authentication mechanism for external access to FFIEC members' respective supervision systems.

Reserve Banks are asked to distribute this letter to the supervised organizations in their districts and to appropriate supervisory staff. Questions may be sent via the Board's public website.²

¹ The FFIEC Statement of Principles on Examination Information Requests supplements the guidance in SR letter 19-5, "Communication Expectations for Community Bank Examinations and Inspections."

² See <https://www.federalreserve.gov/apps/contactus/feedback.aspx>.

Michael S. Gibson
Director
Division of Supervision
and Regulation

Eric S. Belsky
Director
Division of Consumer and
Community Affairs

Attachments:

- [*FFIEC Statement of Principles on Examination Information Requests*](#)

Cross References:

- SR letter 19-5, “Communication Expectations for Community Bank Examinations and Inspections”