

89 SCF -- PENSION PROVIDERS

Screen 01
(PART I: Facesheet, A1, A2 Lines 1-8)

VAR #

1 INTERVIEW NUMBER (BUILD VAR)

 0001-0421 Defined Benefit Plan
 3001-3358 Defined Contribution Plan
 5001-5018 Both Benefit & Contribution Plans

Vars 2-14 are coded from boxes on Facesheet

2 Item 1. SEQ# (4 digits) (0001-0899)

3 Item 2. PP ID (4 digits) (0001-2648, 5001-5038)

4 Item 3. PLAN # (3 digits) (001-999)

CODER NOTE: If ages are given in fractional years, please
round up ("20½" coded as 21 years).

VAR #

5 Item 4. Attached: (CHECK)

- 1. PART II checked
- 2. PART III checked
- 3. PART II and PART III checked

6 Item 5. RECORDER'S ID (01-13, 51-63)

- 99. NA

7 Item 6. DATE FINISHED - MONTH

Code MONTH (05-08)

- 05. May
- 06. June
- 07. July
- 08. August

- 99. NA

8 Item 6. DAY

Code DAY (01-31)

- 99. NA

9 Item 6. YEAR

Code YEAR (91)

10 Item 7. LENGTH (MINUTES)

Code number of MINUTES (001-995)

- 999. NA

VAR #

11 Item 8. CHECKER'S ID (51-63)

99. NA

12 Item 9. DATE CHECKED - MONTH

Code MONTH (05-08)

05. May

06. June

07. July

08. August

99. NA

13 Item 9. DAY

Code DAY (01-31)

99. NA

14 Item 9. YEAR

Code YEAR (91)

VAR #

101(#1) A2. Enter below the HHIDs covered by this pension plan,
 106(#2) whether the HHID is also covered by any other SEQ#/plans,
 111(#3) and if necessary, the SEQ# containing the information on
 116(#4) _____ how benefits are integrated.
 121(#5)
 126(#6) Code HHID as a 5 digit #, where the 5th digit is R=1, S=2,
 131(#7) VALID RANGE 00011-46602 EXCEPT:
 136(#8)

0 in remaining vars	-00000. Inap, no further lines completed
---------------------------	--

102(#1) A2. Covered by Any Other SEQ #?
 103
 104 Code 4-digit SEQ# EXCEPT:

107(#2) 0000. Inap, no further SEQ#; "NO" box checked;
 108 no further lines completed
 109

112(#3)
 113
 114

117(#4)
 118
 119

122(#5)
 123
 124

127(#6)
 128
 129

132(#7)
 133
 134

137(#8)
 138
 139

VAR #

105(#1) A2. Integrated SEQ#?
110(#2)
115(#3) Code 4-digit integrated SEQ# EXCEPT:
120(#4)
125(#5) 0000. Inap, "NO" box checked; no further lines completed
130(#6)
135(#7)
140(#8)

141 Coder check: More A2 lines to be coded?

1. YES

0 in
Scrns 02-05,
630-644

5. NO

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Screen 02
(PART I: A2 Lines 9-19)

VAR #

201(#9) A2. Enter below the HHIDs covered by this pension plan,
206(#10) whether the HHID is also covered by any other SEQ#/plans,
211(#11) and if necessary, the SEQ# containing the information on
216(#12) how benefits are integrated.
221(#13)
226(#14) Code 5-digit HHID EXCEPT:
231(#15)
236(#16) 0 in —0000. Inap, no further lines completed; 141
241(#17) remaining
246(#18) vars
251(#19)

VAR #

202(#9) A2. Covered by Any Other SEQ#?
203
204 Code 5-digit SEQ# EXCEPT:

207(#10) 0000. Inap, no further SEQ#; "NO" box checked;
208 no further lines completed; 141
209

212(#11)
213
214

217(#12)
218
219

222(#13)
223
224

227(#14)
228
229

232(#15)
233
234

237(#16)
238
239

242(#17)
243
244

247(#18)
248
249

252(#19)
253
254

VAR #

205(#9) A2. Integrated SEQ#?

210(#10)

215(#11) Code 4-digit integrated SEQ# EXCEPT:

220(#12)

225(#13) 0000. Inap, "NO" box checked; no further lines completed;

230(#14) 141

235(#15)

240(#16)

245(#17)

250(#18)

255(#19)

256 Coder check: More A2 lines to be coded?

1. YES

0 in Scrns 03-05, 630-644

5. NO

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Screen 03
(PART I: A2 Lines 20-30)

VAR #

301(#20) A2. Enter below the HHIDs covered by this pension plan,
306(#21) whether the HHID is also covered by any other SEQ#/plans,
311(#22) and if necessary, the SEQ# containing the information on
316(#23) how benefits are integrated.
321(#24)
326(#25) Code 5-digit HHID EXCEPT:
331(#26)
336(#27) 0 in remaining vars —0000. Inap, no further lines completed; 141; 256
341(#28)
346(#29)
351(#30)

VAR #

302(#20) A2. Covered by Any Other SEQ#?
303
304 Code 5-digit SEQ# EXCEPT:

307(#21) 0000. Inap, no further SEQ#; "NO" box checked;
308 no further lines completed; 141; 256
309

312(#22)
313
314

317(#23)
318
319

322(#24)
323
324

327(#25)
328
329

332(#26)
333
334

337(#27)
338
339

342(#28)
343
344

347(#29)
348
349

352(#30)
353
354

VAR #

305(#20) A2. Integrated SEQ#?
 310(#21)
 315(#22) Code 4-digit integrated SEQ# EXCEPT:
 320(#23)
 325(#24) 0000. Inap, "NO" box checked; no further lines completed;
 330(#25) 141; 256
 335(#26)
 340(#27)
 345(#28)
 350(#29)
 355(#30)

356 Coder check: More A2 lines to be coded?

1. YES

0 in
Scrns 04-05,
& Scrn 06,
Vars 630-644

5. NO

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Screen 04
(PART I: A2 Lines 31-41)

VAR #

401(#31) A2. Enter below the HHIDs covered by this pension plan,
406(#32) whether the HHID is also covered by any other SEQ#/plans,
411(#33) and if necessary, the SEQ# containing the information on
416(#34) how benefits are integrated.
421(#35)
426(#36) Code 5-digit HHID EXCEPT:
431(#37)
436(#38) 0 in remaining vars
441(#39) |-----0000. Inap, no further lines completed; 141; 256; 356
446(#40)
451(#41)

VAR #

402(#31) A2. Covered by Any Other SEQ#?
403
404 Code 5-digit SEQ# EXCEPT:

407(#32) 0000. Inap, no further SEQ#; "NO" box checked;
408 no further lines completed; 141; 256; 356
409

412(#33)
413
414

417(#34)
418
419

422(#35)
423
424

427(#36)
428
429

432(#37)
433
434

437(#38)
438
439

442(#39)
443
444

447(#40)
448
449

452(#41)
453
454

VAR #

405(#31) A2. Integrated SEQ#?
410(#32)
415(#33) Code 4-digit integrated SEQ# EXCEPT:
420(#34)
425(#35) 0000. Inap, "NO" box checked; no further lines completed;
430(#36) 141; 256; 356
435(#37)
440(#38)
445(#39)
450(#40)
455(#41)

456 Coder check: More A2 lines to be coded?

1. YES

0 in Scrn 05
and Scrn 06,
Vars 630-644

5. NO

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Screen 05
(PART I: A2 Lines 42-52)

VAR #

501(#42) A2. Enter below the HHIDs covered by this pension plan,
506(#43) whether the HHID is also covered by any other SEQ#/plans,
511(#44) and if necessary, the SEQ# containing the information on
516(#45) how benefits are integrated.
521(#46)
526(#47) Code 5-digit HHID EXCEPT:
531(#48)
536(#49) 0 in remaining vars | 0000. Inap, no further lines completed; 141; 256; 356;
541(#50) | 456
546(#51)
551(#52)

VAR #

502(#42) A2. Covered by Any Other SEQ#?
503
504 Code 5-digit SEQ# EXCEPT:

507(#43) 0000. Inap, no further SEQ#; "NO" box checked;
508 no further lines completed; 141; 256; 356; 456
509

512(#44)
513
514

517(#45)
518
519

522(#46)
523
524

527(#47)
528
529

532(#48)
533
534

537(#49)
538
539

542(#50)
543
544

547(#51)
548
549

552(#52)
553
554

VAR #

505(#42) A2. Integrated SEQ#?
510(#43)
515(#44) Code 4-digit integrated SEQ# EXCEPT:
520(#45)
525(#46) 0000. Inap, "NO" box checked; no further lines completed;
530(#47) 141; 256; 356; 456
535(#48)
540(#49)
545(#50)
550(#51)
555(#52)

556 Coder check: More A2 lines to be coded?

1. YES

0 in
630-644

5. NO

NOTE: HHID's 53, 54 and 55 are coded in V630-644.

VAR #

604

A3A. MONTHS/YEARS

Code number of MONTHS (001-995)

999. NA

000. Inap, 601; no MONTHS given

Note: Convert YEARS to MONTHS.

4	YRS	=	48	MOS
5		=	60	
6		=	72	
7		=	84	
8		=	96	
9		=	108	
10		=	120	

605

A3B. Is there a maximum age after which new employees are no longer eligible to participate in this pension plan?

1. a - YES, MAXIMUM AGE

2. b - NO

7. z - OTHER

9. NA

606

A3B. AGE

Code AGE (18-85)

99. NA

00. Inap, no AGE given

VAR #

607 A4. Is participation in the pension plan mandatory or optional
 for eligible employees?

- 1. a - MANDATORY OR AUTOMATIC PARTICIPATION
- 2. b - MANDATORY OR AUTOMATIC AFTER AGE
- 3. c - MANDATORY OR AUTOMATIC AFTER AGE OR SERVICE YEARS

- 4. d - OPTIONAL PARTICIPATION, WITH NO AGE OR SERVICE
 RESTRICTIONS

- 7. z - OTHER

608 A4. AGE

- Code AGE (18-85)
- 99. NA
 - 00. Inap, no AGE given

609 A4. SERVICE YEARS

- Code number of YEARS (01-50)
- 99. NA
 - 00. Inap, no SERVICE YEARS given

VAR #

610 A5. Does this pension plan contain any additional provisions
 (that are not coded below) for post-retirement health,
 _____welfare, or other special benefits?

- 1. YES
- 5. NO

- 9. NA

611 A6. What type of pension plan is this?

- 1. DEFINED BENEFIT (PART II only, Code PENS 2A & PENS 2B)
- 2. DEFINED CONTRIBUTION (PART III only, Code PENS 3)
- 3. COMBINATION DEFINED BENEFIT AND DEFINED CONTRIBUTION
 (PARTS II & III, Code PENS 2A, PENS 2B, PENS 3)

VAR #

612 Z1. What data sources were used?
 Z1a. SPD (PROVIDER)

- 1. Checked
- 0 in 613-616 } 5. Not checked
- 9. NA to entire question

613 Z1a. Effective date of plan - MONTH (01-12, 99)
 00. Inap, 612

614 Z1a. Effective date of plan - YEAR (01-95, 99)
 00. Inap, 612

615 Z1a. Effective date of latest amendment - MONTH (01-12, 99)
 00. Inap, 612

616 Z1a. Effective date of latest amendment - YEAR (01-95, 99)
 00. Inap, 612

VAR #

617 Z1b. SPD (LABOR)

1. Checked

0 in 618-621

5. Not checked

9. NA to entire question

618 Z1b. Effective date of plan - MONTH (01-12, 99)

00. Inap, 617

619 Z1b. Effective date of plan - YEAR (01-95, 99)

00. Inap, 617

620 Z1b. Effective date of latest amendment - MONTH (01-12, 99)

00. Inap, 617

621 Z1b. Effective date of latest amendment - YEAR (01-95, 99)

00. Inap, 617

VAR #

622 Z1c. Form 5500

1. Checked

0 in 623-624

5. Not checked

9. NA to entire question

623 Z1c. Date filed - MONTH (01-12, 99)

00. Inap, 622

624 Z1c. Date filed - YEAR (01-95, 99)

00. Inap, 622

625 Z1d. Other plan documents

1. Checked and write in "1983 Survey"

2. Checked and other comment or no comment

0 in 626-627

5. Not checked

9. NA to entire question

626 Z1d. Effective date - MONTH (01-12, 99)

00. Inap, 625

627 Z1d. Effective date - YEAR (01-95, 99)

00. Inap, 625

VAR #

628 Z1z. OTHER

- 1. Checked
- 5. Not checked
- 9. NA to entire question

629 Z2. Record below any unusual characteristics of this pension plan, or any information needed to integrate benefits from the defined benefit and the defined contribution parts of this pension plan.

- 1. Any comments
- 0. No comments

630(#53) A2. Enter below the HHIDs covered by this pension plan, whether the HHID is also covered by any other SEQ#/plans, and if necessary, the SEQ# containing the information on how benefits are integrated.

Code 5-digit HHID EXCEPT:

0 in remaining vars

0000. Inap, no further lines completed; 141; 256; 356; 456; 556

631(#53) A2. Covered by Any Other SEQ#?

632
633

Code 5-digit SEQ# EXCEPT:

636(#54) 0000. Inap, no further SEQ#; "NO" box checked; no further lines completed; 141; 256; 356; 456; 556
637
638

641(#55)
642
643

VAR #

634(#53) A2. Integrated SEQ#?

639(#54)

644(#55)

Code 4-digit integrated SEQ# EXCEPT:

0000. Inap, "NO" box checked; no further lines completed;
 141; 256; 356; 456; 556

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FORMULA COMPONENT NAMES--Parts II & III

<u>Abbrev.</u>	<u>Meaning</u>	<u>Q#</u>	<u># Range</u>	
			<u>Pt. II</u>	<u>Pt. III</u>
ASY	Actual Service Years	C1-C4,L2-L2D	1-9	16-18
MAN	Mandatory Contribution	C6-C9,M2-M2D	1-3	1-3
VOL	Voluntary Contribution	C11-C16,M3-M3F	1-3	1-3
SS	Social Security	C17-C22	1-6	---
PSY	Potential Service Years	C23-C25	1-6	---
FAP	Final Average Pay	C26-C31	1-6	---
RED	Reduction Factor	C32-C33	1-6	---
RAS	Required Actual Service (yrs)	E1	1-15	---
DAT	Requirement Dates	E2	1-6	---
ROT	Other Requirements	E3	1-15	---
LNG	Length of Payments	E4	1-6	---
BEG	Beginning Payment Date	E5	1-9	---
MMAN	Matching Mandatory Contributions	M2E-M2J	---	1-3
MVOL	Matching Voluntary Contributions	M3G-M3K	---	1-3
CTE	Actual Contribution by Employer	R2-R2M	---	1-3
COE	Other Contribution by Employer	R3-R3H	---	1-3
CLS	Lump Sum Contribution	S1A-S1C,T3-T3B, W2A-W2D,W4A-W4C	---	1-12
CRAS	Combined Requirement for Age Service	S3-S4	---	1-2

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FORMULA NAMES--Parts II & III

<u>Abbrev.</u>	<u>Meaning</u>	<u>Q#</u>
PART II:		
NR#1 - NR#12	Normal Retirement	D1
NRT#1	Normal Retirement Total	D2
ER#1 - ER#12	Early Retirement	D3
ER#1	Early Retirement Total	D4
VD#1 - VD#12	Vested Deferred Benefits	D5
VDT#1	Vested Deferred Total	D6
DR#1 - DR#12	Disability Retirement	D7
DRT#1	Disability Retirement Total	D8
DS#1 - DS#12	Death and Survivor's Benefits	D9
DST#1	Death and Survivor's Total	D10
PART III:		
CNRT#1	Combined Normal Retirement Total	S2

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GENERAL INSTRUCTIONS FOR FORMULA CODING

Enter formulas exactly as written except:

1. Formula definitions which are generated in other parts of the interview (e.g., RED4, FAP1, ASY13) should not have a # sign. Delete the # sign for these if the editor has included one.

ASY#1 = ASY1

Do, however, include the # sign when the referent is a formula itself (e.g., ERT#1).

2. Fractions must be converted to percentages and the percent sign (%) added:

1-1/2 = 1.5%

Common conversions:	
1/8 = .125	1/3 = .333
1/4 = .25	2/3 = .667
3/8 = .375	
1/2 = .50	
5/8 = .625	
3/4 = .75	
7/8 = .875	

3. Leave out the comma which marks the thousands digit:

\$10,725 = \$10725

4. Leave out zero cents:

\$47.00 = \$47

5. If any characters in a formula are illegible, use "?" to mark the spaces and MAKE CARD.
6. If a formula is too long (more than 120 digits), code what will fit and MAKE CARD.
7. Convert ">" to "GE".
8. Convert "<" to "LE".

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ASY MASTER CODE

Code ASY # 01-09 plus:

40.	ASY1 + ASY4	(1+4)
41.	ASY1 - ASY6	(1+2+3+4+5+6)
42.	ASY2 + ASY3 + ASY4 + ASY5	(2+3+4+5)
43.	ASY1 + ASY2 + ASY3 + ASY5	(1+2+3+5)
44.	ASY1 + ASY2 + ASY3 + ASY4	(1+2+3+4)
45.	ASY3 + ASY4 + ASY5	(3+4+5)
46.	ASY1 + ASY4 + ASY5	(1+4+5)
47.	ASY1 + ASY2 + ASY5	(1+2+5)
48.	ASY1 + ASY2 + ASY4	(1+2+4)
49.	ASY6 + ASY7	(6+7)
50.	ASY4 + ASY6	(4+6)
51.	ASY1 + ASY2	(1+2)
52.	ASY3 + ASY4	(3+4)
53.	ASY1 + ASY2 + ASY3	(1+2+3)
54.	ASY2 + ASY4	(2+4)
55.	ASY1 + ASY3	(1+3)
56.	ASY2 + ASY3	(2+3)
57.	ASY2 + ASY3 + ASY4	(2+3+4)
58.	ASY1 + ASY5	(1+5)
59.	ASY3 + ASY5	(3+5)
60.	ASY4 + ASY5	(4+5)

PENS3 only	-----61.	ASY16 + ASY17	(16+17)
	-----62.	ASY17 + ASY18	(17+18)

Make Card	-----97.	Other combinations	
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89 SCF -- PENSION PROVIDERS

Screen 01
(Facesheet)

VAR #

645

Case ID (from upper left of facesheet)

0001 -
3001 -
5001 -

646

Item 1. SEO# (4 digits) (0001-0899)

647

Item 2. PP ID (4 digits) (0001-2648, 5001-5038)

648

Item 3. PLAN # (3 digits) (001-999)

649

Item 4. Recorder's ID (01-13, 51-63)

CODER NOTE: If ages are given in fractional years, please
round up ("20½" codes as 21 years).

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Screens 04-06***

(Section C: Defined Benefit: Variable Definition; ASY1-9; C1-C4)

VAR #

The same codebook text is used for Screen 5 for ASY4-6 (V806-859) and for Screen 6 for ASY7-9 (V906-959). The last 2 digits of the variable # will be the same as for Screen 4 (e.g., V812 is the same as V712).

704 C1. Do any of the benefit formulas or eligibility requirements
depend on the employee's actual years of credited service?

1. YES

0 in 705-759, ———5. NO

Screens 5-6

—————9. NA

***** Coder Note:** Screen numbers 04-14 do not match variable numbers in this application (PENS2A). Screens 15-65 are set up normally (with var # also indicating screen #).

VAR #

705 C1. SUMMARY: # of ASY's (1-9)

- 0 in 724-759, Screens 5-6 1. One
- 0 in 742-759, Screens 5-6 2. Two
- 0 in Screens 5-6 3. Three
- 0 in 824-859, Screen 6 4. Four
- 0 in 842-859, Screen 6 5. Five
- 0 in Screen 6 6. Six
- 0 in 924-959 7. Seven
- 0 in 942-959 8. Eight
- 9. Nine
- 0. Inap, 704

VAR #

709(1) C2. Is there a maximum number of actual years of credited
 727(2) service maximum age that can be used in calculating any of
 745(3) the benefits?

1. a - YES, MAXIMUM AGE:
2. b - YES, MAXIMUM ASY:
3. c - YES, MAXIMUM AGE PLUS ASY:
4. d - YES, WHEN OVER MAXIMUM AGE:
OR OVER MAXIMUM ASY:
5. e - YES, WHEN OVER MAXIMUM AGE:
AND OVER MAXIMUM ASY:
6. f - NO
7. z - OTHER
9. NA
0. Inap, 704; 705

710(1) C2. AGE (17-85; 99)

728(2) 00. Inap, 704; 705; no age

746(3)

711(1) C2. ASY (01-50; 99)

729(2) 00. Inap, 704; 705; no ASY

747(3)

712(1) C2. AGE + ASY: (001-150; 999)

730(2) 000. Inap, 704; 705; no AGE + ASY

748(3)

VAR #713(1)
731(2)
749(3)

C3. Are participants credited one service year for each year they meet minimum employment requirements, or does the amount of credited service depend on the actual number of hours worked each year?

- 01. a - CREDITED ONE ASY FOR EACH YEAR EMPLOYED; NO MINIMUM
- 02. b - CREDITED WITH EITHER ZERO OR ONE ASY: ONE ASY IS CREDITED FOR EACH YEAR WORKED A MINIMUM OF: _____ # HOURS PER YEAR
- 03. c - CREDITED WITH EITHER ZERO OR ONE ASY; ONE ASY IS CREDITED FOR EACH YEAR WORKED A MINIMUM OF: _____ # WEEKS PER YEAR, AND _____ # HOURS PER WEEK
- 04. d - CREDITED WITH EITHER ZERO OR ONE ASY: ONE ASY IS CREDITED FOR EACH YEAR WORKED A MINIMUM OF: _____ # MONTHS PER YEAR, AND _____ # HOURS PER MONTH
- 05. e - CREDITED WITH A PRORATED FRACTION FROM ZERO TO ONE ASY; ONE FULL ASY IS CREDITED FOR EACH YEAR WORKED A MINIMUM OF: _____ # HOURS PER YEAR
- 06. f - CREDITED WITH A PRORATED FRACTION FROM ZERO TO ONE ASY; ONE FULL ASY IS CREDITED FOR EACH YEAR WORKED A MINIMUM OF: _____ # WEEKS PER YEAR, AND _____ # HOURS PER WEEK
- 07. g - CREDITED WITH A PRORATED FRACTION FROM ZERO TO ONE ASY; ONE FULL ASY IS CREDITED FOR EACH YEAR WORKED A MINIMUM OF: _____ # MONTHS PER YEAR, AND _____ # HOURS PER MONTH
- 08. h - CREDITED EACH YEAR WITH A PRORATED FRACTION FROM ZERO TO MORE THAN ONE ASY; ONE FULL ASY IS CREDITED FOR EVERY: _____ # HOURS PER YEAR
- 09. i - CREDITED EACH YEAR WITH A PRORATED FRACTION FROM ZERO TO MORE THAN ONE ASY; ONE FULL ASY IS CREDITED FOR EVERY: _____ # WEEKS PER YEAR, AND _____ # HOURS PER WEEK
- 10. j - CREDITED EACH YEAR WITH A PRORATED FRACTION FROM ZERO TO MORE THAN ONE ASY; ONE FULL ASY IS CREDITED FOR EVERY: _____ # MONTHS PER YEAR, AND _____ # HOURS PER MONTH
- 97. z - OTHER
- 99. NA
- 00. Inap, 704; 705

VAR #

714(1) C3. # HOURS PER YEAR (0001-2496; 9999)
732(2) _____
750(3) 0000. Inap 704; 705

715(2) C3. # WEEKS PER YEAR (01-52; 99)
733(2) _____
751(3) 00. Inap 704; 705

716(1) C3. # HOURS PER WEEK (01-48; 99)
734(2) _____
752(3) 00. Inap, 704; 705

717(1) C3. # MONTHS PER YEAR (01-12; 99)
735(2) _____
753(3) 00. Inap, 704; 705

718(1) C3. # HOURS PER MONTH (001-208; 999)
736(2) _____
754(3) 000. Inap, 704; 705

VAR #

719(1) C4. Are the above definitions of ASY based only on specific
737(2) calendar time periods, or on all years covered by this
755(3) pension plan?

1. a - COVERS ALL YEARS
2. b - BEFORE
3. c - AFTER
4. d - FROM/TO
7. z - OTHER
9. NA
0. Inap, 704; 705

720(1) C4. BEFORE/AFTER/FROM: MONTH (01-12; 99)
738(2)
756(3) 00. Inap, 704; 705

721(1) C4. BEFORE/AFTER/FROM: YEAR (01-95; 99)
739(2)
757(3) 00. Inap 704; 705

722(1) C4. TO: MONTH (01-12; 99)
740(2)
758(3) 00. Inap, 704; 705

723(1) C4. TO: YEAR (01-95; 99)
741(2)
759(3) 00. Inap, 704; 705

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Screens 07-09
(C5 - C11)

VAR #

1001 C5. Are employees required to make contributions in order to
participate in this defined benefit plan?

1. YES

0 in 1002-1037,
Scrs 8 & 9

5. NO

9. NA

[V1002: Variable not used in 1989 -- Built to 0]

1003 C5. SUMMARY: # of MAN's

0 in
Scrs 8 & 9

1. One

0 in Scr 9

2. Two

3. Three

0. Inap, 1001

VAR #

MAN 1 = V1004-1037 (SCREEN 7)
MAN 2 = V1104-1137 (SCREEN 8)
MAN 3 = V1204-1237 (SCREEN 9)

1004 C6. On an annual basis, how is the mandatory (MAN) contribution calculated?

- 0 in 1009-1023 — 02. b - FIXED DOLLAR AMOUNT: \$
- 03. c - FIXED PERCENTAGE OF PAY: %
UP TO MAXIMUM CONTRIBUTION: \$
- 04. d - FIXED PERCENTAGE PAY AT OR BELOW SWB: %
- 05. e - FIXED PERCENTAGE PAY ABOVE SWB: %
- 06. f - FIXED PERCENTAGE PAY ABOVE SWB: %
UP TO MAXIMUM CONTRIBUTION: \$
- 0 in 1007-1008 — 07. g - VARYING DOLLAR OR PERCENTAGE:
- 0 in 1007-1023 — 97. z - OTHER
- 99. NA
- 00. Inap, 1001; 1003

1005 C6. CHECK: PRE TAX

- 1. PRE TAX
- 2. POST TAX
- 9. NA
- 0. NOT CITED: Inap, 1001; 1003; 1004

VAR #

1006 C6. DEFINE ASY
 Code ASY # (01-09, 16-18)-----
 99. NA
 00. NOT USED; Inap, 1001; 1003; 1004

1007 C6. b-f: \$ Dollar field (000 001-999 995; 999 999)
 999 999. NO MAXIMUM
 000 000. Inap, 1001; 1003; 1004

1008 C6. b-f: % Percent field to 2 decimals
 0001-9500.
 9999. NA
 0000. Inap, 1001; 1003; 1004

1009 C6. g: "\$ AMOUNT" BOX
 1. \$ AMOUNT
 2. % PAY
 3. % (PAY > SWB)
 4. % (PAY ≤ SWB)
 7. Other
 9. NA
 0. Inap, 1001; 1003; 1004

See ASY MC for combination codes.

VAR #

1010 C6. g: "PAY" BOX _____
 1. PAY
 2. AGE
 3. ASY
 4. AGE + ASY

 7. Other

 9. NA

 0. Inap, 1001; 1003; 1004

1011(UNDER) C6. g: \$ or % field to 2 decimals _____
1013(FROM MIN) 000 000 01 - 999 995 00
1016(FROM) 999 999 99. NA
1019(FROM) 000 000 00. Inap, 1001; 1003; 1004
1022(OVER)

1012(UNDER) C6. g: PAY/AGE/ASY Field _____
1014(FROM MIN) Code # given as a 6 digit whole number (000 001-999 995)
1015(TO) 999 999. NA
1017(FROM) 000 000. Inap, 1001; 1003; 1004
1018(TO)
1020(FROM)
1021(TO/MAX)
1023(OVER)

VAR #

- 1024 C7. Do any of the above mandatory contribution formulas depend on the Social Security taxable wage base (SWB)?
1. a - YES, ACTUAL SWB IN EFFECT EACH YEAR
 2. b - YES, CAREER AVERAGE SWB CALCULATED EACH YEAR
 3. c - YES, CAREER AVERAGE SWB ESTIMATED IN YEAR: 19__
 4. d - NO
 7. z - OTHER
 9. NA
 0. Inap, 1001; 1003
- 1025 C7. YEAR (01-95; 99)
00. Inap, 1001; 1003

VAR #

1026

C8. Can participants withdraw any mandatory contributions they made to this pension plan if they terminate employment before they become eligible for retirement?

- 01. a - YES, CAN IMMEDIATELY WITHDRAW CONTRIBUTIONS AT TERMINATION
- 02. b - YES, AFTER AGE:
- 03. c - YES, AFTER ASY:
- 04. d - YES, AFTER AGE+ASY:
- 05. e - YES, AFTER AGE:
 OR AFTER ASY:
- 06. f - YES, AFTER AGE:
 AND AFTER ASY:
- 07. g - NO, CANNOT WITHDRAW CONTRIBUTIONS
- 08. h - NOT CITED
- 97. z - OTHER
- 99. NA
- 00. Inap, 1001; 1003

1027

C8. DEFINE ASY

- Code ASY # (01-09, 16-18)
- 99. NA
- 00. NOT USED; Inap, 1001; 1003

See ASY MC for combination codes.

VAR #

1028 C8. AGE (17-85; 99)
00. Inap, 1001; 1003

1029 C8. ASY (01-50; 99)
00. Inap, 1001; 1003

1030 C8. AGE + ASY (001-150; 999)
000. Inap, 1001; 1003

1031 C9. Does the amount received by the participant include any accrued interest?
1. a - YES, INTEREST ACCRUED AT ANNUAL RATE OF:
2. b - YES, ACCRUED INTEREST PAID, ANNUAL RATE NOT CITED
3. c - NO, INTEREST NOT PAID
4. d - NOT CITED
7. z - OTHER
9. NA
0. Inap, 1001; 1003

1032 C9. % PERCENT to 2 decimals (0001-9500, 9999)
0000. Inap, 1001; 1003

VAR #

- 1033 C10. Does the interest accrue over the entire time period since the participant first started contributions, or only after a certain age or minimum number of service years?
-
- 01. a - DOES NOT APPLY--NO INTEREST PAID
 - 02. b - ACCRUE INTEREST OVER THE ENTIRE PERIOD
 - 03. c - ACCRUE INTEREST AFTER AGE:
 - 04. d - ACCRUE INTEREST AFTER ASY:
 - 05. e - ACCRUE INTEREST AFTER AGE PLUS ASY:
 - 06. f - ACCRUE INTEREST AFTER AGE:
OR AFTER ASY:
 - 07. g - ACCRUE INTEREST AFTER AGE:
AND AFTER ASY:
 - 08. h - NOT CITED
 - 97. z - OTHER
 - 99. NA
 - 00. Inap, 1001; 1003

- 1034 C10. DEFINE ASY
-

- Code ASY # (01-09, 16-18)-----
- 99. NA
 - 00. NOT USED; Inap, 1001; 1003

See ASY MC for combination codes.

VAR #

1035 C10. AGE (17-85; 99)

00. Inap, 1001; 1003

1036 C10. ASY (01-50; 99)

00. Inap, 1001; 1003

1037 C10. AGE + ASY (001-150; 999)

000. Inap, 1001; 1003

1038 C11. Can participants make any voluntary contributions to the
(ON SCR defined benefit pension plan?
7 ONLY)

1. YES

0 in
Scrns 10-15

5. NO

9. NA

0. Inap, 1001

89 SCF -- PENSION PROVIDERS

Screens 10, 12, 14
(C12 - C16)

VAR #

1301 C12. SUMMARY: # of VOL'S

0 in
Scrns 12-15

-----1. One

0 in
Scrns 14-15

-----2. Two

3. Three

0. Inap, 1038

VOL 1 = V1302-1355 (SCREENS 10-11)
VOL 2 = V1402-1455 (SCREENS 12-13)
VOL 3 = V1502-1555 (SCREENS 14-15)

VAR #

1302 C12. What annual minimums apply to the voluntary contributions?

- 0 in 1305-1321 — 01. a - NO MINIMUM AMOUNT
- 02. b - FIXED DOLLAR AMOUNT: \$
- 03. c - FIXED PERCENTAGE OF PAY: %
 UP TO MAXIMUM CONTRIBUTION: \$
- 04. d - FIXED PERCENTAGE PAY AT OR BELOW SWB: %
- 05. e - FIXED PERCENTAGE PAY ABOVE SWB: %
- 06. f - FIXED PERCENTAGE PAY ABOVE SWB: %
 UP TO MAXIMUM CONTRIBUTION: \$
- 0 in 1305-1306 — 07. g - VARYING DOLLAR OR PERCENTAGE:
- 0 in 1305-1321 — 97. z - OTHER
- 99. NA
- 00. Inap, 1038; 1301

1303 C12. CHECK: PRE TAX

- 1. PRE TAX
- 2. POST TAX
- 9. NA
- 0. NOT CITED: Inap, 1038; 1301; 1303

1304 C12. DEFINE ASY

- Code ASY # (01-09, 16-18) ----- See ASY MC for combination codes.
- 99. NA
- 00. NOT USED; Inap, 1038; 1301; 1303

VAR #

1305 C12. b-f: \$ Dollar field (000 001-999 995; 999 999)
 999 999. NO MAXIMUM
 000 000. Inap, 1038; 1301; 1303

1306 C12. b-f: % Percent field to 2 decimals
 0001-9500.
 9999. NA
 0000. Inap, 1038; 1301; 1303

1307 C12. g: "\$ AMOUNT" BOX
 1. \$ AMOUNT
 2. % PAY
 3. % (PAY > SWB)
 4. % (PAY ≤ SWB)
 7. Other
 9. NA
 0. Inap, 1038; 1301; 1303

1308 C12. g: "PAY" BOX
 1. PAY
 2. AGE
 3. ASY
 4. AGE + ASY
 7. Other
 9. NA
 0. Inap, 1038; 1301; 1303

VAR #

1309(UNDER) C12. g: \$ or % field to 2 decimals

1311(FROM MIN) 000 000 01 - 999 995 00

1314(FROM) 999 999 99. NA

1317(FROM) 000 000 00. Inap, 1038; 1301; 1303

1320(OVER)

1310(UNDER) C12. q: PAY/AGE/ASY Field

1312(FROM MIN) Code # given as a 6 digit whole number (000 001-999 995)

1313(TO) 999 999. NA

1315(FROM)

1316(TO) 000 000. Inap, 1038; 1301; 1303

1318(FROM)

1319(TO/MAX)

1321(OVER)

89 SCF -- PENSION PROVIDERS

Screens 11, 13, 15
(C12 - C16)

VAR #

1322 C12a. Aside from IRS maximums, what annual or career average maximums apply to the voluntary (VOL) contributions?

0 in 1325-1341 ---01. a - NO MAXIMUM AMOUNT

0 in 1327-1341 ---02. b - FIXED DOLLAR AMOUNT: \$

---03. c - FIXED PERCENTAGE OF PAY: %
 UP TO MAXIMUM CONTRIBUTION: \$

---04. d - FIXED PERCENTAGE PAY AT OR BELOW SWB: %

---05. e - FIXED PERCENTAGE PAY ABOVE SWB: %

---06. f - FIXED PERCENTAGE PAY ABOVE SWB: %
 UP TO MAXIMUM CONTRIBUTION: \$

0 in 1325-1326 ---07. g - VARYING DOLLAR OR PERCENTAGE:

0 in 1325-1341 ---97. z - OTHER

---99. NA

00. Inap, 1038; 1301

1323 C12a. CHECK: ANNUAL

1. ANNUAL
2. CAREER

9. NA

0. NOT CITED: Inap, 1038; 1301; 1322

VAR #

1324

C12a. DEFINE ASY

Code ASY # (01-09, 16-18)-----

See ASY MC for combination codes.

99. NA

00. NOT USED; Inap, 1038; 1301; 1322

1325

C12a. b-f: \$ Dollar field (000 001-999 995; 999 999)

999 999. NO MAXIMUM

000 000. Inap, 1038; 1301; 1322

1326

C12a. b-f: % Percent field to 2 decimals

0001-9500.

9996. 100%

9999. NA

0000. Inap, 1038; 1301; 1322

1327

C12a. q: "\$ AMOUNT" BOX

1. \$ AMOUNT

2. % PAY

3. % (PAY > SWB)

4. % (PAY ≤ SWB)

7. Other

9. NA

0. Inap, 1038; 1301; 1322

VAR #

1328 C12a. g: "PAY" BOX

1. PAY
2. AGE
3. ASY
4. AGE + ASY

7. Other

9. NA

0. Inap, 1038; 1301; 1322

1329(UNDER) C12a. g: \$ or % field to 2 decimals

1331(FROM MIN) 000 000 01 - 999 995 00

1334(FROM) 999 999 99. NA

1337(FROM) 000 000 00. Inap, 1038; 1301; 1322

1340(OVER)

1330(UNDER) C12a. g: PAY/AGE/ASY Field

1332(FROM MIN) Code # given as a 6 digit whole number (000 001-999 995)

1333(TO) 999 999. NA

1335(FROM) 000 000. Inap, 1038; 1301; 1322

1336(TO)

1338(FROM)

1339(TO/MAX)

1341(OVER)

VAR #

1342 C13. Do any of the voluntary contribution formulas depend on
the Social Security taxable wage base (SWB)?

- 1. a - YES, ACTUAL SWB IN EFFECT EACH YEAR
- 2. b - YES, CAREER AVERAGE SWB CALCULATED EACH YEAR
- 3. c - YES, CAREER AVERAGE SWB ESTIMATED IN YEAR: 19__
- 4. d - NO
- 7. z - OTHER
- 9. NA
- 0. Inap, 1038; 1301

1343 C13. YEAR (01-95; 99)

- 00. Inap, 1038; 1301

VAR #

1344 C14. Can participants withdraw any voluntary contributions they made to this pension plan if they terminate employment before they become eligible for retirement?

- 01. a - YES, CAN IMMEDIATELY WITHDRAW CONTRIBUTIONS AT TERMINATION
- 02. b - YES, AFTER AGE:
- 03. c - YES, AFTER ASY:
- 04. d - YES, AFTER AGE+ASY:
- 05. e - YES, AFTER AGE:
OR AFTER ASY:
- 06. f - YES, AFTER AGE:
AND AFTER ASY:
- 07. g - NO, CANNOT WITHDRAW CONTRIBUTIONS
- 08. h - NOT CITED
- 97. z - OTHER
- 99. NA
- 00. Inap, 1038; 1301

1345 C14. DEFINE ASY

- Code ASY # (01-09, 16-18)-----
- 99. NA
- 00. NOT USED; Inap, 1038; 1301

See ASY MC for combination codes.

VAR #

- 1351 C16. Does the interest accrue over the entire time period since the participant first started contributions, or only after a certain age or minimum number of service years?
-
01. a - DOES NOT APPLY--NO INTEREST PAID
02. b - ACCRUE INTEREST OVER THE ENTIRE PERIOD
03. c - ACCRUE INTEREST AFTER AGE:
04. d - ACCRUE INTEREST AFTER ASY:
05. e - ACCRUE INTEREST AFTER AGE PLUS ASY:
06. f - ACCRUE INTEREST AFTER AGE:
OR AFTER ASY:
07. g - ACCRUE INTEREST AFTER AGE:
AND AFTER ASY:
08. h - NOT CITED
97. z - OTHER
99. NA
00. Inap, 1038; 1301

- 1352 C16. DEFINE ASY
-

Code ASY # (01-09, 16-18)-----

99. NA

00. NOT USED; Inap, 1038; 1301

See ASY MC for combination codes.

VAR #

1353 C16. AGE (17-85; 99)

 00. Inap, 1038; 1301

1354 C16. ASY (01-50; 99)

 00. Inap, 1038; 1301

1355 C16. AGE + ASY (001-150; 999)

 000. Inap, 1038; 1301

VAR #

SS1 = V1603-1624	SS4 = 1903-1924
SS2 = V1703-1724	SS5 = 2003-2024
SS3 = V1803-1824	SS6 = 2103-2124

1603 C18. How is the Social Security term (SS) defined for use in
 the retirement formulas?

1. a - SOCIAL SECURITY BENEFIT AMOUNT (CHECK WHETHER
 PRIMARY OR JOINT, AND WHETHER REDUCED OR
 UNREDUCED.)
2. b - SOCIAL SECURITY TAXABLE WAGE BASE
7. z - OTHER
9. NA
0. Inap, 1601; 1602

1604 C18. If a: PRIMARY ...

1. PRIMARY
2. JOINT
3. NOT CITED
9. NA
0. Inap, 1601; 1602

1605 C18. If a: UNREDUCED ...

1. UNREDUCED
2. REDUCED
3. NOT CITED
9. NA
0. Inap, 1601; 1602

1606 C18. If b: AT RETIREMENT ...

VAR #

1. AT RETIREMENT
2. CAREER TOTAL
3. CAREER AVERAGE

9. NA
0. Inap, 1601; 1602

1607

C18. If b: ACTUAL ...

1. ACTUAL
2. ESTIMATED AT RETIREMENT
3. ESTIMATED IN YEAR: 19__

7. OTHER
9. NA
0. Inap, 1601; 1602

1608

C18. If b: YEAR: 19 (01-95; 99)

00. Inap, 1601; 1602

1609

C19. When used in the benefit formulas, is SS expressed in terms of monthly or annual amounts?

1. a - MONTHLY AMOUNT
2. b - YEARLY AMOUNT
3. c - DOES NOT APPLY--SS DEFINED AS CAREER TOTAL SWB

7. z - OTHER
9. NA
0. Inap, 1601; 1602

VAR #

- 1610 C20. Are the above definitions of SS based only on specific calendar time periods, or on all years covered by this pension plan?
1. a - COVERS ALL YEARS: NO SPECIFIC DATES CITED
 2. b - BEFORE:
 3. c - AFTER:
 4. d - FROM/TO:
 7. z - OTHER
 9. NA
 0. Inap, 1601; 1602
- 1611 C20. BEFORE/AFTER/FROM: MONTH (01-12; 99)
00. Inap, 1601; 1602
- 1612 C20. BEFORE/AFTER/FROM: YEAR (01-95; 99)
00. Inap, 1601; 1602
- 1613 C20. TO: MONTH (01-12; 99)
00. Inap, 1601; 1602
- 1614 C20. TO: YEAR (01-95; 99)
00. Inap, 1601; 1602

VAR #

1615

C21. Is there a maximum limitation on the amount of SS that can be used to determine benefits or a maximum on service years or age after which SS no longer accrues?

- 01. a - YES, MAXIMUM PERCENTAGE OF SS:
- 02. b - YES, MAXIMUM DOLLAR AMOUNT:
- 03. c - YES, MAXIMUM AGE:
- 04. d - YES, MAXIMUM ASY:
- 05. e - YES, MAXIMUM AGE PLUS ASY:
- 06. f - YES, WHEN OVER MAXIMUM AGE:
 OR OVER MAXIMUM ASY:
- 07. g - YES, WHEN OVER MAXIMUM AGE:
 AND OVER MAXIMUM ASY:
- 08. h - NO
- 97. z - OTHER
- 99. NA
- 00. Inap, 1601; 1602

1616

C21. DEFINE ASY

- Code ASY # (01-09, 16-18)-----
- 99. NA
- 00. NOT USED; Inap, 1601; 1602

See ASY MC for combination codes.

VAR #

1617	<u>C21. % PERCENT to 2 decimals (0001-9500, 9999)</u> 0000. Inap, 1601; 1602
1618	<u>C21. \$ DOLLARS (000 001-999 995; 999 999)</u> 000 000. Inap, 1601; 1602
1619	<u>C21. AGE (17-85; 99)</u> 00. Inap, 1601; 1602
1620	<u>C21. ASY (01-50; 99)</u> 00. Inap, 1601; 1602
1621	<u>C21. AGE + ASY (001-150; 999)</u> 000. Inap, 1601; 1602

VAR #

- 1622 C22. If participants can retire before they are eligible to receive Social Security benefits, how is the SS term estimated for use in the pension formula(s)?
1. a - DOES NOT APPLY
 2. b - BENEFIT AMOUNT EXPECTED AT AGE:
ASSUMES PARTICIPANTS HAVE NO FUTURE WAGE OR SALARY INCOME
 3. c - BENEFIT AMOUNT EXPECTED AT AGE:
ASSUMES PARTICIPANTS WORK UNTIL THEN AT CURRENT WAGES OR SALARIES
 4. d - BENEFIT AMOUNT EXPECTED AT AGE:
ASSUMES PARTICIPANTS WORK UNTIL THEN, WITH ANNUAL INCOME INCREASES OF:
 5. e - ESTIMATION METHOD NOT CITED
 7. z - OTHER
 9. NA
 0. Inap, 1601; 1602
- 1623 C22. AGE (17-85; 99)
00. Inap, 1601; 1602
- 1624 C22. % PERCENT ("d" only) to 2 decimals (0001-9500; 9999)
0000. Inap, 1601; 1602

89 SCF -- PENSION PROVIDERS

Screens 22-23
(C23 - C25)

VAR #

2201 C23. Do any of the retirement benefit or supplement formulas depend on the number of potential years of service?

1. YES

0 in 2202-2235
and Scrn 23

5. NO

9. NA

2202 C23. SUMMARY: # of Psy's

0 in 2214-2235
and Scrn 23

1. One

0 in 2225-2235
and Scrn 23

2. Two

0 in Scrn 23

3. Three

0 in 2314-2335

4. Four

0 in 2325-2335

5. Five

6. Six

0. Inap, 2201

VAR #

PSY1 = V2203-2213	PSY4 = V2303-2313
PSY2 = V2214-2224	PSY5 = V2314-2324
PSY3 = V2225-2235	PSY6 = V2325-2335

2203(1) C23a. How is the number of potential years of credited service
 2214(2) (PSY) determined for use in the normal retirement formulas?
 2225(3)

1. a - ASSUMES PARTICIPANT WORKS UNTIL AGE:
COUNT ALL YEARS SINCE FIRST EMPLOYED
2. b - ASSUMES PARTICIPANT WORKS UNTIL AGE:
COUNT ONLY YEARS EMPLOYED AFTER AGE:
3. c - ASSUMES PARTICIPANT WORKS UNTIL AGE:
COUNT ONLY YEARS AFTER FIRST # YRS:
4. d - ASSUMES PARTICIPANT WORKS UNTIL AGE:
COUNT ONLY YEARS EMPLOYED AFTER AGE:
OR ONLY YEARS AFTER FIRST # YRS:
5. e - ASSUMES PARTICIPANT WORKS UNTIL AGE:
COUNT ONLY YEARS EMPLOYED AFTER AGE:
AND ONLY YEARS AFTER FIRST # YRS:
7. z - OTHER
9. NA
0. Inap, 2201; 2202

2204(1) C23a. UNTIL AGE (17-85; 99)
 2215(2)
 2226(3) 00. Inap, 2201; 2202

2205(1) C23a. AFTER AGE (17-85; 99)
 2216(2)
 2227(3) 00. Inap, 2201; 2202

2206(1) C23a. # YEARS (01-50; 99)
 2217(2)
 2228(3) 00. Inap, 2201; 2202

VAR #

2207(1) C24. Are the above definitions of PSY based only on specific
2218(2) calendar time periods, or on all years covered by this
2229(3) pension plan?

1. a - COVERS ALL YEARS: NO SPECIFIC DATES CITED
2. b - BEFORE:
3. c - AFTER:
4. d - FROM/TO
7. z - OTHER
9. NA
0. Inap, 2201; 2202

2208(1) C24. BEFORE/AFTER/FROM: MONTH (01-12; 99)

2219(2)
2230(3) 00. Inap, 2201; 2202

2209(1) C24. BEFORE/AFTER/FROM: YEAR (01-95; 99)

2220(2)
2231(3) 00. Inap, 2201; 2202

2210(1) C24. TO: MONTH (01-12; 99)

2221(2)
2232(3) 00. Inap, 2201; 2202

2211(1) C24. TO: YEAR (01-95; 99)

2222(2)
2233(3) 00. Inap, 2201; 2202

VAR #

2212(1)
2223(2)
2234(3)

C25. Is there a maximum number of potential credited service years that can be used for retirement benefits?

- 1. a - YES, MAXIMUM PSY:
- 2. b - NO
- 7. z - OTHER
- 9. NA
- 0. Inap, 2201; 2202

2213(1)
2224(2)
2235(3)

C25. MAXIMUM PSY (01-50; 99)

- 00. Inap, 2201; 2202

89 SCF -- PENSION PROVIDERS

Screens 24-25
(C26 - C31)

VAR #

2401 C26. Is final average pay used to determine the amount of
benefits?

1. YES

0 in 2402-2453
and Screen 25 — 5. NO

9. NA

2402 C26. SUMMARY: # of FAP's

0 in 2420-2453
and Screen 25 — 1. One

0 in 2437-2453
and Screen 25 — 2. Two

0 in Screen 25 — 3. Three

0 in 2520-2553 — 4. Four

0 in 2537-2553 — 5. Five

6. Six

0. Inap, 2401

VAR #

FAP1 = V2403-2419	FAP4 = V2503-2519
FAP2 = V2420-2436	FAP5 = V2520-2536
FAP3 = V2437-2453	FAP6 = V2537-2553

2403(1)
2420(2)
2437(3)

C27. How is Final Average Pay (FAP) defined for use in retirement formulas?

01. a - FINAL SALARY OR WAGE RATE
02. b - TOTAL OF ALL MO/YRS
03. c - AVERAGE OF ALL MO/YRS
04. d - AVERAGE DURING FINAL # MO/YRS
05. e - HIGHEST MO/YR DURING FINAL # MO/YRS
06. f - AVERAGE OF THE HIGHEST # MO/YRS:
DURING FINAL # MO/YRS:
07. g - AVERAGE HIGHEST CONSECUTIVE # MO/YRS:
DURING FINAL # MO/YRS:
08. h - NO SPECIFIED PERIOD, HIGHEST MO/YR
09. i - NO SPECIFIED PERIOD,
AVERAGE OF THE HIGHEST # MO/YRS:
10. j - NO SPECIFIED PERIOD,
AVERAGE HIGHEST CONSECUTIVE # MO/YRS:
97. z - OTHER
99. NA
00. Inap, 2401; 2402

VAR #

2404(1) C27. CHECK: MO or YR
2421(2)
2438(3) 1. MO
2. YR

9. NA

0. Inap, 2401; 2402

2405(1) C27. FIRST # MO/YRS (001-240; 99)
2422(2)
2439(3) 000. Inap, 2401; 2402

2406(1) C27. SECOND # MO/YRS (f and g only) (001-240; 999)
2423(2)
2440(3) 000. Inap, 2401; 2402

2407(1) C28. Is FAP expressed in monthly or annual amounts in benefit
2424(2) formulas?
2441(3) 1. a - MONTHLY AMOUNT
2. b - YEARLY AMOUNT
3. c - DOES NOT APPLY--FAP DEFINED AS TOTAL OF ALL MO/YRS

7. z - OTHER

9. NA

0. Inap, 2401; 2402

VAR #

2408(1) C29. Are the above definitions of FAP based on wages and
2425(2) salaries earned during specific calendar time periods, or
2442(3) during all years covered by this pension plan?

1. a - COVERS ALL YEARS: NO SPECIFIC DATES CITED
2. b - BEFORE
3. c - AFTER
4. d - FROM/TO:

7. z - OTHER

9. NA

0. Inap, 2401; 2402

2409(1) C29. BEFORE/AFTER/FROM: MONTH (01-12; 99)
2426(2)
2443(3) 00. Inap, 2401; 2402

2410(1) C29. BEFORE/AFTER/FROM: YEAR (01-95; 99)
2427(2)
2444(3) 00. Inap, 2401; 2402

2411(1) C27. TO: MONTH (01-12; 99)
2428(2)
2445(3) 00. Inap, 2401; 2402

2412(1) C27. TO: YEAR (01-95; 99)
2429(2)
2446(3) 00. Inap, 2401; 2402

VAR #

2413(1) C30. Is there a maximum age or service years after which any
 2430(2) wages or salaries cannot be used in calculating FAP?
 2447(3) _____

1. a - YES, MAXIMUM AGE:
2. b - YES, MAXIMUM ASY:
3. c - YES, MAXIMUM AGE PLUS ASY:
4. d - YES, WHEN OVER MAXIMUM AGE:
 OR OVER MAXIMUM ASY:
5. e - YES, WHEN OVER MAXIMUM AGE:
 AND OVER MAXIMUM ASY:
6. f - NO
7. z - OTHER
9. NA
0. Inap, 2401; 2402

2414(1) C30. DEFINE ASY
 2431(2) _____
 2448(3)

- Code ASY # (01-09, 16-18) _____
99. NA
 00. NOT USED; Inap, 2401; 2402

See ASY MC for combination codes.

VAR #

2415(1)	<u>C30. AGE (17-85; 99)</u>
2432(2)	
2449(3)	00. Inap, 2401; 2402
2416(1)	<u>C30. ASY (01-50; 99)</u>
2433(2)	
2450(3)	00. Inap, 2401; 2402
2417(1)	<u>C30. AGE + ASY (001-150; 999)</u>
2434(2)	
2451(3)	000. Inap, 2401; 2402
2418(1)	<u>C31. Is there a maximum on the amount of monthly/annual wages</u>
2435(2)	<u>and salaries that can be used to calculate FAP?</u>
2452(3)	1. a - YES, MAXIMUM OF:
	2. b - NO
	7. z - OTHER
	9. NA
	0. Inap, 2401; 2402
2419(1)	<u>C31. MAXIMUM OF: (\$000 001-999 995; 999 999)</u>
2436(2)	
2453(3)	000 000. Inap, 2401; 2402

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Screens 26-31
(C32 - C33)

VAR #

2601 C32. Do any of the retirement benefit or supplement benefit
 formulas include a reduction factor to adjust benefits?

1. YES

0 in 2502-2626
and Scrns 27-31

5. NO

9. NA

2602 C32. SUMMARY: # of RED's

0 in Scrns 27-31

1. One

0 in Scrns 28-31

2. Two

0 in Scrns 29-31

3. Three

0 in Scrns 30-31

4. Four

0 in Scrn 31

5. Five

6. Six

0. Inap, 2601

VAR #

RED1 = V2603-2626	RED4 = 2903-2926
RED2 = V2703-2726	RED5 = 3003-3026
RED3 = V2803-2826	RED6 = 3103-3126

2603 C33. How are reduction factors for early retirement, disability retirement, vested deferred retirement and death benefit defined? (USE MORE THAN ONE COLUMN IF NECESSARY.)

- 0 in 2608-2626 --- 1. a - ACTUARIALLY EQUIVALENT TO BENEFITS RECEIVED AT:
- 0 in 2605-2607, 2612-2626 --- 2. b - FIXED DOLLAR OR PERCENT REDUCTION, MULTIPLIED BY THE NUMBER OF YEARS THE PARTICIPANT RETIRES BEFORE REACHING A CERTAIN AGE, ASY OR SUM OF ASG + ASY
- 0 in 2605-2611 --- 3. c - VARYING DOLLAR OR PERCENT REDUCTION DEPENDING ON AGE, ASY OR SUM AGE + ASY
- 0 in 2605-2626 --- 4. d - UNSPECIFIED REDUCTION
- 5. z - "COSTRED" (Edited in)
- 6. z - "WORK COMP" (Edited in)
- 7. z - OTHER
- 9. NA
- 0. Inap, 2601; 2602

2604 C33. DEFINE ASY

- Code ASY # (01-09, 16-18) ----- See ASY MC for combination codes.
- 99. NA
- 00. NOT USED: Inap, 2601; 2602; 2603

VAR #

2605	<hr/> <u>If a: "AGE" BOX</u> <hr/> <ul style="list-style-type: none">1. AGE2. AGE + ASY3. NORMAL 7. OTHER 9. NA 0. Inap, 2601; 2602; 2603
2606	<hr/> <u>If a: AGE (00, 17-85; 99)</u> <hr/> <ul style="list-style-type: none">00. At time of death; Inap, 2601; 2602; 2603
2607	<hr/> <u>If a: AGE + ASY (001-150; 999)</u> <hr/> <ul style="list-style-type: none">000. Inap, 2602; 2602; 2603

VAR #

2608	<hr/> <u>If b: "\$" BOX</u> <hr/>
	1. \$
	2. %
	7. Other
	9. NA
	0. Inap, 2601; 2602; 2603
2609	<hr/> <u>If b: "AGE" BOX</u> <hr/>
	1. AGE
	2. ASY
	3. AGE + ASY
	7. Other
	9. NA
	0. Inap, 2601; 2602; 2603
2610	<hr/> <u>If b: \$ or % field to 2 decimals</u> <hr/>
	000 000 01 - 999 995 00
	999 999 99. NA
	000 000 00. Inap, 2601; 2602; 2603
2611	<hr/> <u>If b: AGE/ASY field (001-150; 999)</u> <hr/>
	000. Inap, 2601; 2602; 2603

VAR #

2612

_____ If c: "\$" BOX

- 1. \$
- 2. %
- 7. Other
- 9. NA
- 0. Inap, 2601; 2602; 2603

2613

_____ If c: "AGE" BOX

- 1. AGE
- 2. ASY
- 3. AGE + ASY
- 7. Other
- 9. NA
- 0. Inap, 2601; 2602; 2603

VAR #

2614(UNDER) _____ If c: \$ or % field to 2 decimals _____

2616(FROM MIN) 000 000 01 - 999 995 00

2619(FROM) 999 999 99. NA

2622(FROM) 000 000 00. Inap, 2601; 2602; 2603

2625(OVER)

2615(UNDER) _____ If c: AGE/ASY field (001-150; 999) _____

2617(FROM MIN) 000. Inap, 2601; 2602; 2603

2618(TO)

2620(FROM)

2621(TO)

2623(FROM)

2624(TO MAX)

2626(OVER)

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Screen 01
(Facesheet)

VAR #

- 1 Case ID (from upper left of facesheet)
 0001 -
 3001 -
 5001 -
- 2 Item 1. SEO# (4 digits) (0001-0899)
- 3 Item 2. PP ID (4 digits) (0001-2648, 5001-5038)
- 4 Item 3. PLAN # (3 digits) (001-999)
- 5 Item 4. Recorder's ID (01-13, 51-63)

CODER NOTE: If ages are given in fractional years, please
 round up ("20½" codes as 21 years).

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Screen 32

Section D: Benefit Formulas
(D1: NR#1-NR#4)

VAR #

VAR #

3201 D1. Express the normal retirement benefit and supplement formulas, including any other minimums or maximums on benefit amounts, using the terms defined in the above questions (ASY, PSY, FAP, SS) and standard notation.
CHECK BOX: TOTAL NUMBER OF SEPARATE FORMULAS CODED:

Code # checked (01-12)

- 0 in 3215-3250 & Scrns 33-34 — 01. One formula
- 0 in 3227-3250 & Scrns 33-34 — 02. Two formulas
- 0 in 3239-3250 & Scrns 33-34 — 03. Three formulas
- 0 in Scrns 33-34 — 04. Four formulas
- 0 in 3315-3350 & Scrn 34 — 05. Five formulas
- 0 in 3327-3350 & Scrn 34 — 06. Six formulas
- 0 in 3339-3350 & Scrn 34 — 07. Seven formulas
- 0 in Scrn 34 — 08. Eight formulas
- 0 in 3415-3450 — 09. Nine formulas
- 0 in 3427-3450 — 10. Ten formulas
- 0 in 3439-3450 — 11. Eleven formulas
- 99. NA -- See Supervisor

VAR #

3202

CHECK BOX: ALL FORMULAS DETERMINE ...

- 1. MONTHLY
- 2. YEARLY BENEFITS

- 9. NA

NR#1 = V3203-3214	NR#2 = V3215-3226
NR#3 = V3227-3238	NR#4 = V3239-3250
NR#5 = V3303-3314	NR#6 = V3315-3320
NR#7 = V3327-3338	NR#8 = V3339-3350
NR#9 = V3403-3414	NR#10 = V3415-3420
NR#11 = V3427-3438	NR#12 = V3439-3450

VAR #

3203(1) NR#1 = RAS (01-17; 99)
3215(2)
3227(3) 00. Inap, 3201
3239(4)

3204(1) NR#1 = DAT (01-06; 99)
3216(2)
3228(3) 00. Inap, 3201
3240(4)

3205(1) NR#1 = ROT (01-15; 99)
3217(2)
3229(3) 00. Inap, 3201
3241(4)

3206(1) NR#1 = LNG (01-06; 99)
3218(2)
3230(3) 00. Inap, 3201
3242(4)

3207- NR#1 FORMULA
 3214(1)
 0. Inap, 3201

3219-
 3226(2)

3231-
 3238(3)

3243-
 3250(4)

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Screen 33

(D1: NR#5-NR#8)

VAR #

3303(5) NR#5 = RAS (01-17; 99)
3315(6)
3327(7) 00. Inap, 3201
3339(8)

3304(5) NR#5 = DAT (01-06; 99)
3316(6)
3328(7) 00. Inap, 3201
3340(8)

3305(5) NR#5 = ROT (01-15; 99)
3317(6)
3329(7) 00. Inap, 3201
3341(8)

3306(5) NR#5 = LNG (01-06; 99)
3318(6)
3330(7) 00. Inap, 3201
3342(8)

3307- NR#5 FORMULA
3314(5)
0. Inap, 3201

3319-
3326(6)

3331-
3338(7)

3343-
3350(8)

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Screen 34

(D1: NR#9-NR#12)

VAR #

3403(9)	<u>NR#9 = RAS (01-17; 99)</u>
3415(10)	
3427(11)	00. Inap, 3201
3439(12)	
3404(9)	<u>NR#9 = DAT (01-06; 99)</u>
3416(10)	
3428(11)	00. Inap, 3201
3440(12)	
3405(9)	<u>NR#9 = ROT (01-15; 99)</u>
3417(10)	
3429(11)	00. Inap, 3201
3441(12)	
3406(9)	<u>NR#9 = LNG (01-06; 99)</u>
3418(10)	
3430(11)	00. Inap, 3201
3442(12)	
3407-	<u>NR#9 FORMULA</u>
3414(9)	
	0. Inap, 3201
3419-	
3426(10)	
3431-	
3438(11)	
3443-	
3450(12)	

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Screen 35
(D2: NRT Formula)

VAR #

3501-
3508

D2. Please express how the normal retirement benefit and supplement formulas are combined to determine the total normal retirement benefit amount, including and limitations on the minimum or maximum total normal retirement benefit.

NRT #1 = Code formula

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Screen 36
(D3: Formulas ER#1-ER#4)

VAR #

VAR #

3601 D3. Express the early retirement benefit and supplement formulas, including any other minimums or maximums on benefit amounts, using the terms defined in the above questions (ASY, PSY, FAP, SS, RED) and standard notation. If this plan has no provisions for early retirement, write "NA" in ER#1,

CHECK BOX: TOTAL NUMBER OF SEPARATE FORMULAS CODED:
Code # checked (01-12)

0 in 3615-3650
& Scrns 37-38

01. One formula

0 in 3627-3650
& Scrns 37-38

02. Two formulas

0 in 3639-3650
& Scrns 37-38

03. Three formulas

0 in Scrns 37-38

04. Four formulas

0 in 3715-3750
& Scrn 38

05. Five formulas

0 in 3727-3750
& Scrn 38

06. Six formulas

0 in 3939-3750
& Scrn 38

07. Seven formulas

0 in Scrn 38

08. Eight formulas

0 in 3815-3850

09. Nine formulas

0 in 3827-3850

10. Ten formulas

0 in 3839-3850

11. Eleven formulas

99. NA

VAR #

3602

CHECK BOX: ALL FORMULAS DETERMINE:

- 1. MONTHLY
- 2. YEARLY BENEFITS

- 9. NA

- 0. Inap, 3601, no further formulas

ER#1 = V3603-3614	ER#5 = V3703-3714	ER#9 = V3803-3814
ER#2 = V3615-3626	ER#6 = V3715-3726	ER#10= V3815-3826
ER#3 = V3627-3638	ER#7 = V3727-3738	ER#11= V3827-3838
ER#4 = V3639-3650	ER#8 = V3739-3750	ER#12= V3839-3850

VAR #

3603(1) ER#1 = RAS (01-17, 96, 99)
 3615(2)
 3627(3) 00. Inap, 3601
 3639(4)

For VARS 3603-3606 "T" = 96

3604(1) ER#1 = DAT (01-06; 96, 99)
 3616(2)
 3628(3) 00. Inap, 3601
 3640(4)

3605(1) ER#1 = ROT (01-15; 96, 99)
 3617(2)
 3629(3) 00. Inap, 3601
 3641(4)

3606(1) ER#1 = LNG (01-06; 96, 99)
 3618(2)
 3630(3) 00. Inap, 3601
 3642(4)

3607- ER#1 - FORMULA
 3614(1)
 0. Inap, 3601
 3619-
 3626(2)
 3631-
 3638(3)
 3643-
 3650(4)

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Screen 37
(D3: Formulas ER#5-ER#8)

VAR #

3703(5) ER#5 = RAS (01-17, 96, 99)
3715(6)
3727(7) 00. Inap, 3601
3739(8)

3704(5) ER#5 = DAT (01-06; 96, 99)
3716(6)
3728(7) 00. Inap, 3601
3740(8)

3705(5) ER#5 = ROT (01-15; 96, 99)
3717(6)
3729(7) 00. Inap, 3601
3741(8)

3706(5) ER#5 = LNG (01-06; 96, 99)
3718(6)
3730(7) 00. Inap, 3601
3742(8)

3707- ER#5 - FORMULA
3714(5)
 0. Inap, 3601
3719-
3726(6)
3731-
3738(7)
3743-
3750(8)

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Screen 38
(D3: Formulas ER#9-ER#12)

VAR #

3803(9) ER#9 = RAS (01-17, 96, 99)
3815(10)
3827(11) 00. Inap, 3601
3839(12)

3804(9) ER#9 = DAT (01-06; 96, 99)
3816(10)
3828(11) 00. Inap, 3601
3840(12)

3805(9) ER#9 = ROT (01-15; 96, 99)
3817(10)
3829(11) 00. Inap, 3601
3838(12)

3806(9) ER#9 = LNG (01-06; 96, 99)
3818(10)
3830(11) 00. Inap, 3601
3838(12)

3807- ER#9 - FORMULA
3814(9) 0. Inap, 3601

3819-
3826(10)

3831-
3838(11)

3843-
3850(12)

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Screen 39
(D4: ERT Formula)

VAR #

3901-
3908

D4. Please express how the early retirement benefit and supplement formulas are combined to determine the total early retirement benefit amount, including any limitations on the minimum or maximum total early retirement benefit. If this plan contains no provisions for early retirement, write "NA" for ERT#1.
ERT#1=

Code formula up to 120 digits

- 99. NA, no provision for early retirement
- 00. Inap,

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Screen 40
(D5: Formulas VD#1-VD#4)

VAR #

VAR #

4001 D5. Express the vested deferred retirement benefit and supplement formulas, including any other minimums or maximums on benefit amounts.

CHECK BOX: TOTAL NUMBER OF SEPARATE FORMULAS CODED:

Code # checked (01-12)

0 in 4015-4050
& Scrns 41-42

01. One formula

0 in 4027-4050
& Scrns 41-42

02. Two formulas

0 in 4039-4050
& Scrns 41-42

03. Three formulas

0 in Scrns 41-42

04. Four formulas

0 in 4115-4150
& Scrn 42

05. Five formulas

0 in 4127-4150
& Scrn 42

06. Six formulas

0 in 4139-4150
& Scrn 42

07. Seven formulas

0 in Scrn 42

08. Eight formulas

0 in 4215-4250

09. Nine formulas

0 in 4227-4250

10. Ten formulas

0 in 4239-4250

11. Eleven formulas

99. NA--See Supervisor

VAR #

4002

CHECK BOX: ALL FORMULAS DETERMINE:

- 1. MONTHLY
- 2. YEARLY BENEFITS

- 9. NA

- 0. Inap, 4001

VD#1 = V4003-4014	VD#5 = V4103-4114	VD#9 = V4203-4214
VD#2 = V4015-4026	VD#6 = V4115-4126	VD#10= V4215-4226
VD#3 = V4027-4038	VD#7 = V4127-4138	VD#11= V4227-4238
VD#4 = V4039-4050	VD#8 = V4139-4150	VD#12= V4239-4250

VAR #

4003(1) VD#1 = DAT (01-06, 96, 99)
4015(2)
4027(3) 00. Inap, 4001
4039(4)

For VARS 4003-4006
"T" = 96

4004(1) VD#1 = ROT (01-15; 96, 99)
4016(2)
4028(3) 00. Inap, 4001
4040(4)

4005(1) VD#1 = LNG (01-06; 96, 99)
4017(2)
4029(3) 00. Inap, 4001
4041(4)

4006(1) VD#1 = BEG (01-09; 96, 99)
4018(2)
4030(3) 00. Inap, 4001
4042(4)

4007- VD#1 - FORMULA
4014(1) 0. Inap, 4001
4019-
4026(2)
4031-
4038(3)
4043-
4050(4)

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Screen 41
(D5: Formulas VD#5-VD#8)

VAR #

4103(5) VD#5 = DAT (01-06, 96, 99)
4115(6)
4127(7) 00. Inap, 4001
4139(8)

4104(5) VD#5 = ROT (01-15; 96, 99)
4116(6)
4128(7) 00. Inap, 4001
4140(8)

4105(5) VD#5 = LNG (01-06; 96, 99)
4117(6)
4129(7) 00. Inap, 4001
4141(8)

4106(5) VD#5 = BEG (01-09; 96, 99)
4118(6)
4130(7) 00. Inap, 4001
4142(8)

4107- VD#5 - FORMULA
4114(5)
0. Inap, 4001

4119-
4126(6)

4131-
4138(7)

4143-
4150(8)

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Screen 42
(D5: Formulas VD#9-VD#12)

VAR #

4203(9)	<u>VD#9 = DAT (01-06, 96, 99)</u>
4215(10)	
4227(11)	00. Inap, 4001
4239(12)	
4204(9)	<u>VD#9 = ROT (01-15; 96, 99)</u>
4216(10)	
4228(11)	00. Inap, 4001
4240(12)	
4205(9)	<u>VD#9 = LNG (01-06; 96, 99)</u>
4217(10)	
4229(11)	00. Inap, 4001
4242(12)	
4206(9)	<u>VD#9 = BEG (01-09; 96, 99)</u>
4218(10)	
4230(11)	00. Inap, 4001
4242(12)	
4207-	<u>VD#9 - FORMULA</u>
4214(9)	
	0. Inap, 4001
4219-	
4226(10)	
4231-	
4238(11)	
4243-	
4250(12)	

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Screen 43
(D6: VDT#1 Formula)

VAR #

4301-
4308

D6. Please express how the vested deferred retirement benefit and supplement formulas are combined to determine the total vested deferred retirement benefit amount, including any limitations on the minimum or maximum total vested deferred retirement benefit.

VDT#1=

Code formula up to 120 digits

99. NA

00. Inap

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Screen 44
(D7: Formulas DR#1-DR#4)

VAR #

VAR #

4401 D7. Express the disability retirement benefit and supplement formulas, including any other minimums or maximums on benefit amounts, using the terms defined in the above questions (ASY, PSY, FAP, SS, RED) and standard notation.
CHECK BOX: TOTAL NUMBER OF SEPARATE FORMULAS CODED:

Code # checked (01-12)

- 0 in 4416-4454 & Scrns 45-46 — 01. One formula
- 0 in 4429-4454 & Scrns 45-46 — 02. Two formulas
- 0 in 4442-4454 & Scrns 45-46 — 03. Three formulas
- 0 in Scrns 45-46 — 04. Four formulas
- 0 in 4516-4554 & Scrn 46 — 05. Five formulas
- 0 in 4529-4554 & Scrn 46 — 06. Six formulas
- 0 in 4542-4554 & Scrn 46 — 07. Seven formulas
- 0 in Scrn 46 — 08. Eight formulas
- 0 in 4616-4654 — 09. Nine formulas
- 0 in 4629-4654 — 10. Ten formulas
- 0 in 4642-4654 — 11. Eleven formulas
- 99. NA--See Supervisor

VAR #

4402

CHECK BOX: ALL FORMULAS DETERMINE:

- 1. MONTHLY
- 2. YEARLY BENEFITS

- 9. NA

- 0. Inap, 4401

DR#1 = V4403-4415	DR#5 = V4503-4515	DR#9 = V4603-4615
DR#2 = V4416-4428	DR#6 = V4516-4528	DR#10= V4616-4628
DR#3 = V4429-4441	DR#7 = V4529-4541	DR#11= V4629-4641
DR#4 = V4442-4454	DR#8 = V4542-4554	DR#12= V4642-4654

VAR #

4403(1) DR#1 = RAS (01-17, 96, 99)
4416(2)
4429(3) 00. Inap, 4401
4442(4)

4404(1) DR#1 = DAT (01-06; 96, 99)
4417(2)
4430(3) 00. Inap, 4401
4443(4)

4405(1) DR#1 = ROT (01-15; 96, 99)
4418(2)
4431(3) 00. Inap, 4401
4444(4)

4406(1) DR#1 = LNG (01-06; 96, 99)
4419(2)
4432(3) 00. Inap, 4401
4445(4)

4407(1) DR#1 = BEG (01-09; 96, 99)
4420(2)
4433(3) 00. Inap, 4401
4446(4)

4408- DR#1 - FORMULA
4415(1) 0. Inap, 4401
4421-
4428(2)
4434-
4441(3)
4447-
4454(4)

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Screen 45
(D7: Formulas DR#5-DR#8)

VAR #

4503(5)	<u>DR#5 = RAS (01-17, 96, 99)</u>
4516(6)	
4529(7)	00. Inap, 4401
4542(8)	
4504(5)	<u>DR#5 = DAT (01-06; 96, 99)</u>
4517(6)	
4530(7)	00. Inap, 4401
4543(8)	
4505(5)	<u>DR#5 = ROT (01-15; 96, 99)</u>
4518(6)	
4531(7)	00. Inap, 4401
4544(8)	
4506(5)	<u>DR#5 = LNG (01-06; 96, 99)</u>
4519(6)	
4532(7)	00. Inap, 4401
4545(8)	
4507(5)	<u>DR#5 = BEG (01-09; 96, 99)</u>
4520(6)	
4533(7)	00. Inap, 4401
4546(8)	

VAR #

4508-
4515(5)

DR#5 - FORMULA

00. Inap, 4401

4521-
4528(6)

4534-
4541(7)

4547-
4554(8)

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Screen 46
(D7: Formulas DR#9-DR#12)

VAR #

4603(9)	<u>DR#9 = RAS (01-17, 96, 99)</u>
4616(10)	
4629(11)	00. Inap, 4401
4642(12)	
4604(9)	<u>DR#9 = DAT (01-06; 96, 99)</u>
4617(10)	
4630(11)	00. Inap, 4401
4643(12)	
4605(9)	<u>DR#9 = ROT (01-15; 96, 99)</u>
4618(10)	
4631(11)	00. Inap, 4401
4644(12)	
4606(9)	<u>DR#9 = LNG (01-06; 96, 99)</u>
4619(10)	
4632(11)	00. Inap, 4401
4645(12)	
4607(9)	<u>DR#9 = BEG (01-09; 96, 99)</u>
4620(10)	
4633(11)	00. Inap, 4401
4646(12)	

VAR #

4608-
4615(9)

DR#9 - FORMULA

00. Inap, 4401

4621-
4628(10)

4634-
4641(11)

4647-
4654(12)

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Screen 47
(D8: DRT#1 Formula)

VAR #

4701-
4708

D8. Please express how the disability retirement benefit and supplement formulas are combined to determine the total disability retirement benefit amount, including any limitations on the minimum or maximum total disability retirement benefit. If this plan contains no provisions for disability retirement, write "NA" in DRT#1.
DRT#1=

Code formula

NA. No provision for disability retirement

00. Inap,

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Screen 48
(D9 Formulas DS#1-DS#4)

VAR #

VAR #

4801 D9. Express the death and survivor benefit and supplement formulas, including any other minimums or maximums on benefit amounts, using the terms defined in the above questions (ASY, PSY, FAP, SS, RED) and standard notation. If this plan contains no provisions for death and survivor benefits, write "NA" for DS#1.

CHECK BOX: TOTAL NUMBER OF SEPARATE FORMULAS CODED:

Code # checked (01-12)

- | | | |
|---------------------------------|---|---------------------|
| 0 in 4816-4854
& Scrns 49-50 | — | 01. One formula |
| 0 in 4829-4854
& Scrns 49-50 | — | 02. Two formulas |
| 0 in 4842-4854
& Scrns 49-50 | — | 03. Three formulas |
| 0 in Scrns 49-50 | — | 04. Four formulas |
| 0 in 4916-4954
& Scrn 50 | — | 05. Five formulas |
| 0 in 4929-4954
& Scrn 50 | — | 06. Six formulas |
| 0 in 4942-4954
& Scrn 50 | — | 07. Seven formulas |
| 0 in Scrn 50 | — | 08. Eight formulas |
| 0 in 5016-5054 | — | 09. Nine formulas |
| 0 in 5029-5054 | — | 10. Ten formulas |
| 0 in 5042-5054 | — | 11. Eleven formulas |
| | | 99. NA "blank" |

VAR #

4802

CHECK BOX: ALL FORMULAS DETERMINE:

- 1. MONTHLY
- 2. YEARLY BENEFITS

- 9. NA

- 0. Inap, 4801

DR#1 = V4803-4815	DR#5 = V4903-4915	DR#9 = V5003-5015
DR#2 = V4816-4828	DR#6 = V4916-4928	DR#10= V5016-5028
DR#3 = V4829-4841	DR#7 = V4929-4941	DR#11= V5029-5041
DR#4 = V4842-4854	DR#8 = V4942-4954	DR#12= V5042-5054

VAR #

4803(1) DS#1 = RAS (01-17, 96, 99)
4816(2)
4829(3) 00. Inap, 4801
4842(4)

4804(1) DS#1 = DAT (01-06; 96, 99)
4817(2)
4830(3) 00. Inap, 4801
4843(4)

4805(1) DS#1 = ROT (01-15; 96, 99)
4818(2)
4831(3) 00. Inap, 4801
4844(4)

4806(1) DS#1 = LNG (01-06; 96, 99)
4819(2)
4832(3) 00. Inap, 4801
4845(4)

4807(1) DS#1 = BEG (01-09; 96, 99)
4820(2)
4833(3) 00. Inap, 4801
4846(4)

4808- DS#1 - FORMULA
4815(1) 0. Inap, 4801
4821-
4828(2) "NA." No provisions for death and survivor benefits
4834-
4841(3)
4847-
4854(4)

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Screen 49
(D9 Formulas DS#5-DS#8)

VAR #

4903(5) DS#5 = RAS (01-17, 96, 99)
4916(6)
4929(7) 00. Inap, 4801
4942(8)

4904(5) DS#5 = DAT (01-06; 96, 99)
4917(6)
4930(7) 00. Inap, 4801
4943(8)

4905(5) DS#5 = ROT (01-15; 96, 99)
4918(6)
4931(7) 00. Inap, 4801
4944(8)

4906(5) DS#5 = LNG (01-06; 96, 99)
4919(6)
4932(7) 00. Inap, 4801
4945(8)

4907(5) DS#5 = BEG (01-09; 96, 99)
4920(6)
4933(7) 00. Inap, 4801
4946(8)

VAR #

	<u>DS#5 - FORMULA</u>
4908- 4915(5)	
4921- 4928(6)	0. Inap, 4801
4934- 4941(7)	
4947- 4954(8)	

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Screen 50
(D9 Formulas DS#9-DS#12)

VAR #

5003(9)	<u>DS#9 = RAS (01-17, 96, 99)</u>
5016(10)	
5029(11)	00. Inap, 4801
5042(12)	
5004(9)	<u>DS#9 = DAT (01-06; 96, 99)</u>
5017(10)	
5030(11)	00. Inap, 4801
5043(12)	
5005(9)	<u>DS#9 = ROT (01-15; 96, 99)</u>
5018(10)	
5031(11)	00. Inap, 4801
5044(12)	
5006(9)	<u>DS#9 = LNG (01-06; 96, 99)</u>
5019(10)	
5032(11)	00. Inap, 4801
5045(12)	
5007(9)	<u>DS#9 = BEG (01-09; 96, 99)</u>
5020(10)	
5033(11)	00. Inap, 4801
5046(12)	

VAR #

5008-
5015(9)

DS#9 - FORMULA

0. Inap, 4801

5021-
5028(10)

5034-
5041(11)

5047-
5054(12)

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Screen 51
(D10: DST#1 Formula)

VAR #

5101-
5108

D10. Please express how the death and survivor benefit and supplement formulas are combined to determine the total death and survivor benefit amount, including any limitations on the minimum or maximum total death and survivor benefit.

DST#1=

Code actual formula given

NA. "NA" in 4801

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Screens 52-56

Section E: Eligibility Defined
(E1 - E1z)

VAR #

VAR #

5201 E1. What combinations of age and actual service years are required (RAS) to receive disability retirement benefits or supplements?
E1. SUMMARY: # of RAS's defined (01-15)

- 0 in 5222-5254 & Scrns 53-56 — 01. One
- 0 in 5241-5259 & Scrns 53-56 — 02. Two
- 0 in Scrns 53-56 — 03. Three
- 0 in 5322-5359 & Scrns 54-56 — 04. Four
- 0 in 5341-5359 & Scrns 54-56 — 05. Five
- 0 in Scrns 54-56 — 06. Six
- 0 in 5422-5459 & Scrns 55-56 — 07. Seven
- 0 in 5441-5459 & Scrns 55-56 — 08. Eight
- 0 in Scrns 55-56 — 09. Nine
- 0 in 5522-5559 & Scrn 56 — 10. Ten
- 0 in 5541-5559 & Scrn 56 — 11. Eleven
- 0 in Scrn 56 — 12. Twelve

VAR #

5201 E1. Continued

0 in 5622-5659 — 13. Thirteen

0 in 5641-5659 — 14. Fourteen

RAS1 = V5203-5221	RAS6 = V5341-5359	RAS11 = V5522-5540
RAS2 = V5222-5240	RAS7 = V5403-5421	RAS12 = V5541-5559
RAS3 = V5241-5259	RAS8 = V5422-5240	RAS13 = V5603-5621
RAS4 = V5303-5321	RAS9 = V5441-5459	RAS14 = V5622-5640
RAS5 = V5322-5340	RAS10 = V5503-5521	RAS15 = V5641-5659

5203(1) E1. DEFINE ASY

5222(2)

5241(3)

Code ASY # (01-09, 16-18)

See ASY MC for
combination codes.

99. NA

00. NOT USED; Inap, 5201

VAR #

5204(1) E1a. NO MINIMUM AGE OR ASY
5223(2)
5242(3)

1. "a" checked
9. NA
0. "a" not checked; Inap, 5201

5205(1) E1b. MINIMUM AGE OF: AND NO MINIMUM ASY
5224(2)
5243(3)

Code AGE (17-85)
99. NA
00. Inap, 5201

5206(1) E1c. MINIMUM ASY OF: AND NO MINIMUM AGE
5225(2)
5244(3)

Code ASY (01-50)
99. NA
00. Inap, 5201

5207(1) E1d. MINIMUM AGE OF:
5226(2)
5245(3)

Code AGE (17-85)
99. NA
00. Inap, 5201

5208(1) E1d. AND MINIMUM ASY OF:
5227(2)
5246(3)

Code ASY (01-50)
99. NA
00. Inap, 5201

VAR #

5209(1)	<u>Elc. MINIMUM AGE OF: (17-85, 99)</u>
5228(2)	
5247(3)	00. Inap, 5201
5210(1)	<u>Elc. AND MINIMUM ASY OF: (01-50, 99)</u>
5229(2)	
5248(3)	00. Inap, 5201
5211(1)	<u>Elf. MINIMUM AGE OF: (17-85, 99)</u>
5230(2)	
5249(3)	00. Inap, 5201
5212(1)	<u>Elf. AND MINIMUM ASY OF: (01-50, 99)</u>
5231(2)	
5250(3)	00. Inap, 5201
5213(1)	<u>Elg. AGE PLUS ASY OF: (001-150, 999) NO MIN AGE OR ASY</u>
5232(2)	
5251(3)	000. Inap, 5201
5214(1)	<u>Elh. AGE PLUS ASY OF: 001-150, 999)</u>
5233(2)	
5252(3)	000. Inap, 5201
5215(1)	<u>Elh. AND MINIMUM AGE OF: (17-85, 99)</u>
5234(2)	
5253(3)	00. Inap, 5201

VAR #

5216(1) E1i. AGE PLUS ASY OF: (001-150, 999)

5235(2)

5254(3)

000. Inap, 5201

5217(1) E1i. AND MINIMUM ASY OF: (01-50, 99)

5236(2)

5255(3)

00. Inap, 5201

5218(1) E1i. AGE PLUS ASY OF: (001-150, 999)

5237(2)

5256(3)

000. Inap, 5201

5219(1) E1i. AND MINIMUM AGE OF: (17-85, 99)

5238(2)

5257(3)

00. Inap, 5201

5220(1) E1i. AND MINIMUM ASY OF: (01-50, 99)

5239(2)

5258(3)

00. Inap, 5201

5221(1) E1z. OTHER

5240(2)

5259(3)

1. "z" checked

9. NA

0. "z" not checked; Inap, 5201

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Screen 57
(E2)

VAR #

DAT1 = V5702-5706	DAT4 = V5717-5721
DAT2 = V5707-5711	DAT5 = V5722-5726
DAT3 = V5712-5716	DAT6 = V5727-5731

5701 E2. SUMMARY: # of DAT's

- 0 in 5707-5731 — 1. One
- 0 in 5712-5731 — 2. Two
- 0 in 5717-5731 — 3. Three
- 0 in 5722-5731 — 4. Four
- 0 in 5727-5731 — 5. Five

VAR #

5702(1) E2. Do the benefit formulas apply only to participants that
 5707(2) terminate employment during a specific calendar time
 5712(3) period, or to all retirement dates (DAT)? Note:
 5717(4) Employment termination includes normal retirement, early
 5722(5) retirement, disability retirement, and death.
 5727(6) _____

1. a - COVERS ALL YEARS: NO SPECIFIC DATES CITED

2. b - BEFORE:

3. c - AFTER:

4. d - FROM:
TO:

7. z - OTHER

9. NA

0. Inap, 5701

5703(1) E2. BEFORE/AFTER/FROM: MONTH (01-12; 99)
 5708(2) _____
 5713(3) 00. Inap, 5701
 5718(4)
 5723(5)
 5728(6)

5704(1) E2. BEFORE/AFTER/FROM: YEAR (01-98; 99)
 5709(2) _____
 5714(3) 00. Inap, 5701
 5719(4)
 5724(5)
 5729(6)

VAR #

5705(1) E2. TO: MONTH (01-12; 99)
5710(2)
5715(3) 00. Inap, 5701
5720(4)
5725(5)
5730(6)

5706(1) E2. TO: YEAR (01-95; 99)
5711(2)
5716(3) 00. Inap, 5701
5721(4)
5726(5)
5731(6)

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Screens 58-60
(E3)

VAR #

ROT1 = V5802-5806	ROT6 = V5902-5906	ROT11 = V6002-6006
ROT2 = V5807-5811	ROT7 = V5907-5911	ROT12 = V6007-6011
ROT3 = V5812-5816	ROT8 = V5912-5916	ROT13 = V6012-6016
ROT4 = V5817-5821	ROT9 = V5917-5921	ROT14 = V6017-6021
ROT5 = V5822-5826	ROT10 = V5922-5926	ROT15 = V6022-6026

5801 E3. SUMMARY: # of ROT's (01-15)

0 in 5807-5826
& Scrns 59-60

—01. One

0 in 5812-5826
& Scrns 59-60

—02. Two

0 in 5817-5826
& Scrns 59-60

—03. Three

0 in 5822-5826
& Scrns 59-60

—04. Four

0 in Scrns 59-60

—05. Five

VAR #

5801 E3. Continued _____

0 in 5907-5926
& Scrn 60

—06. Six

0 in 5912-5926
& Scrn 60

—07. Seven

0 in 5917-5926
& Scrn 60

—08. Eight

0 in 5922-5926
& Scrn 60

—09. Nine

0 in Scrn 60

—10. Ten

0 in 6007-6026

—11. Eleven

0 in 6012-6026

—12. Twelve

0 in 6017-6026

—13. Thirteen

0 in 6022-6026

—14. Fourteen

VAR #

- 5802(1) E3. Are there any other requirements (ROT) for any of the
5807(2) benefits?
5812(3)
5817(4)
5822(5)
01. a - NO OTHER SPECIAL REQUIREMENTS
 02. b - EMPLOYER APPROVAL REQUIRED
 03. c - VOLUNTARY CONTRIBUTIONS REQUIRED
 04. d - PAST SERVICE CREDIT REQUIRED
 05. e - IF PLAN PARTICIPANT ON:
 06. f - IF PLAN PARTICIPANT BEFORE:
 07. g - IF PLAN PARTICIPANT AFTER:
 08. h - IF PLAN PARTICIPANT FROM:
TO:
 09. i - VD BENEFIT ONLY IF NO WITHDRAWAL OF MANDATORY
CONTRIBUTIONS
 10. j - DISABILITY BENEFIT ONLY FOR EMPLOYMENT RELATED
DISABILITIES
 11. k - DISABILITY BENEFIT ONLY FOR NON-EMPLOYMENT
RELATED DISABILITIES
 12. l - DISABILITY BENEFIT ONLY IF ELIGIBLE FOR SS
BENEFITS
 13. m - DISABILITY BENEFIT ONLY IF NOT ELIGIBLE FOR SS
BENEFITS
 14. n - DEATH BENEFIT ONLY FOR EMPLOYMENT RELATED DEATHS
 15. o - DEATH BENEFIT ONLY FOR NON-EMPLOYMENT RELATED
DEATHS
 16. p - DEATH BENEFIT ONLY IF BENEFICIARY NOT ELIGIBLE
FOR SS BENEFITS

VAR #

5802(1)
 5807(2)
 5812(3)
 5817(4)
 5822(5)

E3. ContinuedCombinations of E3 Choices (* = 3 or more)

51. A + Z	72. G + I
	73. G + J
52. B + F	74. G + N
53. B + J	75. G + Z
54. B + L	76. * G + I + Z
55. * B + C + F	
56. * B + F + J	77. I + Z
57. * B + H + J	
	78. J + L
58. C + F	79. J + M
59. C + J	80. J + Z
60. C + L	
61. C + N	81. K + Z
62. C + Z	
63. * C + F + Z	82. L + N
	83. L + Z
64. E + Z	84. H + N
	85. G + J
65. F + I	
66. F + J	
67. F + K	
68. F + N	
69. F + O	
70. F + Z	
71. * F + I + Z	

Make Card

97. z - OTHER
 99. NA
 00. Inap, 5801

VAR #

5803(1) E3. ON/BEFORE/AFTER/FROM: MONTH (01-12; 99)
5808(2)
5813(3) 00. Inap, 5801
5818(4)
5823(5)

5804(1) E3. ON/BEFORE/AFTER/FROM: YEAR (01-95; 99)
5809(2)
5814(3) 00. Inap, 5801
5819(4)
5824(5)

5805(1) E3. TO: MONTH (01-12; 99)
5810(2)
5815(3) 00. Inap, 5801
5820(4)
5825(5)

5806(1) E3. TO: YEAR (01-95; 99)
5811(2)
5816(3) 00. Inap, 5801
5821(4)
5826(5)

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Screen 61
(E4)

VAR #

6101 E4. SUMMARY: # of LNG's (1-6)

0 in 6107-6131 — 1. One

0 in 6112-6131 — 2. Two

0 in 6117-6131 — 3. Three

0 in 6122-6131 — 4. Four

0 in 6127-6131 — 5. Five

LNG1 = V6102-6106	LNG4 = V6117-6121
LNG2 = V6107-6111	LNG5 = V6122-6126
LNG3 = V6112-6116	LNG6 = V6127-6131

VAR #

6102(1) E4. Aside from lump-sum withdrawal options, are the normal
6107(2) retirement benefits and supplements paid on a regular
6112(3) basis for life, on a regular basis for some other
6117(4) specified time period, a one-time payment, or how long
6122(5) (LNG)?
6127(6)

- 01. a - FOR LIFE
- 02. b - FOR # YRS:
- 03. c - UNTIL AGE:
- 04. d - AFTER AGE:
- 05. e - FROM AGE:
TO AGE:
- 06. f - UNTIL ELIGIBLE FOR SOCIAL SECURITY BENEFITS
- 07. g - AFTER ELIGIBLE FOR SOCIAL SECURITY BENEFITS
- 08. h - ONE TIME PAYMENT
- 09. i - UNTIL ELIGIBLE FOR SS DISABILITY BENEFITS
- 10. j - UNTIL ELIGIBLE FOR EARLY RETIREMENT BENEFITS
- 11. k - UNTIL ELIGIBLE FOR NORMAL RETIREMENT BENEFITS
- 12. l - DEATH BENEFIT LASTS FOR LIFE UNLESS SPOUSE
REMARRIES
- 97. z - OTHER
- 99. NA
- 00. Inap, 6101

VAR #

6103(1) E4. # YRS (01-50; 99)
6108(2)
6113(3) 00. Inap, 6101
6118(4)
6123(5)
6128(6)

6104(1) E4. UNTIL/AFTER/FROM AGE: (17-95; 99)
6109(2)
6114(3) 00. Inap, 6101
6119(4)
6124(5)
6129(6)

6105(1) E4. TO AGE: (17-95; 99)
6110(2)
6115(3) 00. Inap, 6101
6120(4)
6125(5)
6130(6)

6106(1) E4. (f-g) UNREDUCED ...
6111(2)
6116(3) 1. UNREDUCED
6121(4) 2. REDUCED
6126(5) 3. NOT CITED
6131(6) 9. NA
0. Inap, 6101

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Screen 62
(E5)

VAR #

6201 E5. SUMMARY: # of BEG's (1-9)

- 0 in 6207-6246 — 1. One
- 0 in 6212-6246 — 2. Two
- 0 in 6217-6246 — 3. Three
- 0 in 6222-6246 — 4. Four
- 0 in 6227-6246 — 5. Five
- 0 in 6232-6246 — 6. Six
- 0 in 6237-6246 — 7. Seven
- 0 in 6242-6246 — 8. Eight

BEG1 = V6202-6206	BEG4 = V6217-6221	BEG7 = V6232-6236
BEG2 = V6207-6211	BEG5 = V6222-6226	BEG8 = V6237-6241
BEG3 = V6212-6216	BEG6 = V6227-6231	BEG9 = V6242-6246

VAR #

- 6202(1) E5. Do vested deferred benefit payments begin at the time of
6207(2) the participants employment termination, or do the
6212(3) payments begin at some later date (BEG)?
6217(4)
6222(5) 01. a - PAYMENTS BEGIN AT TIME OF TERMINATION
6227(6) 02. b - PAYMENTS BEGIN AT TIME OF DISABILITY
6232(7) 03. c - PAYMENTS BEGIN AT TIME OF DEATH
6237(8) 04. d - PAYMENTS BEGIN ANYTIME PARTICIPANTS CHOOSE AFTER
6242(9) TERMINATION
05. e - PAYMENTS BEGIN WHEN PARTICIPANTS REACH AGE:
06. f - PAYMENTS BEGIN ANYTIME PARTICIPANTS CHOOSE AFTER
AGE:
07. g - PAYMENTS BEGIN ANYTIME PARTICIPANTS CHOOSE BETWEEN
TERMINATION AND AGE:
08. h - PAYMENTS BEGIN ANYTIME PARTICIPANTS CHOOSE
FROM AGE:
TO AGE:
09. i - PAYMENTS BEGIN WHEN PARTICIPANTS AGE+ASY REACH:
10. j - PAYMENTS BEGIN WHEN PARTICIPANT WOULD HAVE
QUALIFIED FOR NORMAL RETIREMENT
11. k - PAYMENTS BEGIN WHEN PARTICIPANT WOULD HAVE
QUALIFIED FOR EARLY RETIREMENT
97. z - OTHER
99. NA
00. Inap, 6201

VAR #

6203(1)
6208(2)
6213(3)
6218(4)
6223(5)
6228(6)
6233(7)
6238(8)
6243(9)

E5. DEFINE ASY

Code ASY # (01-09, 16-18)

See ASY MC for
combination codes.

99. NA
00. NOT USED; Inap, 6201

6204(1)
6209(2)
6214(3)
6219(4)
6224(5)
6229(6)
6234(7)
6239(8)
6244(9)

E5. REACH/AFTER/AND/FROM AGE: (17-85; 99) (e,f,g,h)

00. Inap, 6201

6205(1)
6210(2)
6215(3)
6220(4)
6225(5)
6230(6)
6235(7)
6240(8)
6245(9)

E5. TO AGE: (17-85; 99) (h)

00. Inap, 6201

6206(1)
6211(2)
6216(3)
6221(4)
6226(5)
6231(6)
6236(7)
6241(8)
6246(9)

E5. AGE + ASY (001-150; 999) (l)

000. Inap, 6201

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Screen 63

Section F: Special Features
(F1 - F11)

VAR #

6301 F1. Are participants in this pension plan subject to a
mandatory retirement age?

1. YES

0 in 6302

5. NO

9. NA

6302 F2. What is the mandatory retirement age?

Code AGE (50-95)

99. NA

00. Inap, 6301

VAR #

6303 F3. For vested participants who retire later than the normal retirement age (but on or before the mandatory age), are benefit increases calculated using the normal retirement formulas and maximums coded above, or is there a special factor used to adjust normal retirement benefit amounts for participants who retire late?

1. USE SPECIAL ADJUSTMENT FACTOR

0 in 6304-6328

5. USE NORMAL RETIREMENT FORMULAS AND MAXIMUMS

9. NA

6304 F4. How is the adjustment factor defined?

0 in 6309-6328

1. a - NORMAL RETIREMENT BENEFITS ACTUARIALLY INCREASED FOR EACH YEAR WORK AFTER:

0 in 6306-6308, 6314-6328

2. b - FIXED DOLLAR OR PERCENT INCREASE MULTIPLIED BY THE NUMBER OF YEARS THE PARTICIPANT RETIRES AFTER REACHING A CERTAIN AGE, ASY, OR SUM OF AGE+ASY

0 in 6306-6313

3. c - VARYING DOLLAR OR PERCENT INCREASE DEPENDING ON AGE, ASY, OR SUM AGE+ASY

0 in 6306-6328

4. d - Greater of: The benefit entitlement at retirement using normal retirement formulas or the benefit entitlement at normal retirement age actuarially increased for years after normal retirement age

7. z - OTHER

9. NA

0. Inap, 6303

VAR #

6305 F4. DEFINE ASY

Code ASY # (01-09, 16-18)

See ASY MC for
combination codes.

99. NA

00. NOT USED; Inap, 6303; 6304

6306 If a: "AGE" BOX

1. AGE

2. ASY

3. AGE+ASY

7. Other

9. NA

0. Inap, 6303; 6304

6307 If a: OVER (001- 150, 999)

000. Inap, 6303, 6304

6308 If a: TO MAX: (001-150; 999)

000. Inap, 6303, 6304

VAR #

6309

If b: "\$" BOX

1. \$
2. %
7. Other
9. NA
0. Inap, 6303, 6304

6310

If b: "AGE" BOX

1. AGE
2. ASY
3. AGE+ASY
7. Other
9. NA
0. Inap, 6303, 6304

6311

If b: \$ or % field to 2 decimals

- 000 000 01 - 999 995 00
- 999 999 99. NA
- 000 000 00. Inap, 6303; 6304

6312

If b: OVER: (AGE/ASY field) (001-150; 999)

000. Inap, 6303, 6304

6313

If b: TO MAX: (AGE/ASY field) (001-150; 999)

000. Inap, 6303, 6304

VAR #

6314	<u> If c: "S" BOX</u>
	1. \$
	2. %
	7. Other
	9. NA
	0. Inap, 6303; 6304
6315	<u> If c: "AGE" BOX</u>
	1. AGE
	2. ASY
	3. AGE+ASY
	7. Other
	9. NA
	0. Inap, 6303; 6304
6316(UNDER)	<u> If c: \$ or % field to two decimals</u>
6318(FROM-MIN)	
6321(FROM)	000 000 01 - 999 995 00
6324(FROM)	
6327(OVER)	999 999 99. NA
	000 000 00. Inap, 6303; 6304
6317(UNDER)	<u> If c: AGE/ASY/AGE+ASY field (001-150; 999)</u>
6319(FROM-MIN)	000. Inap, 6303; 6304
6320 (TO)	
6322(FROM)	
6323(TO)	
6325(FROM)	
6326(TO MAX)	
6328(OVER)	

VAR #

6329 F5. Does this pension plan include any provisions for
disability retirement benefits?

1. YES

0 in 6330-6339

5. NO

9. NA

6330 F6. Does this pension plan include a provision that increases
the vesting percentage for participants that were not
fully vested at the time of disablement?

01. a - INCREASED TO 100% AT TIME OF DISABLEMENT

02. b - INCREASED TO 100% WHEN ABOVE MINIMUM AGE:

03. c - INCREASED TO 100% WHEN ABOVE MINIMUM ASY:

04. d - INCREASED TO 100% WHEN ABOVE MINIMUM AGE+ASY:

05. e - INCREASED TO 100% WHEN ABOVE MINIMUM AGE:
OR ABOVE MINIMUM ASY:

06. f - INCREASED TO 100% WHEN ABOVE MINIMUM AGE:
AND ABOVE MINIMUM ASY:

07. g - VESTING PERCENTAGE IS NOT INCREASED ABOVE AMOUNT
CALCULATED AT TIME OF DISABLEMENT

97. z - OTHER

99. NA

00. Inap, 6329

6331 F6. DEFINE ASY

Code ASY # (01-09, 16-18)

See ASY MC for
combination codes.

99. NA

00. NOT USED; Inap 6329

VAR #

6332 F6. AGE (17-85; 99) _____

00. Inap, 6329

6333 F6. ASY (01-50; 99) _____

00. Inap, 6329

6334 F6. AGE + ASY (001-150; 999) _____

000. Inap, 6329

VAR #

6335

F7. After disablement, do participants continue to accrue service credit until they are eligible for early or normal retirement benefits, or are no additional service years credited after the time of disablement.

- 01. a - ACCRUE ONE ASY FOR EACH YEAR DISABLED, NO MAXIMUM
- 02. b - ACCRUE ONE ASY FOR EACH YEAR, TO MAXIMUM AGE:
- 03. c - ACCRUE ONE ASY FOR EACH YEAR, TO MAXIMUM TOTAL ASY:
- 04. d - ACCRUE ONE ASY FOR EACH YEAR, TO MAXIMUM ADDITIONAL ASY:
- 05. e - ACCRUE ONE ASY FOR EACH YEAR, TO MAXIMUM TOTAL AGE+ASY:
- 06. f - USE GREATER OF AGE AT DISABLEMENT OR AGE:
- 07. g - USE GREATER OF ASY AT DISABLEMENT OR ASY:
- 08. h - USE GREATER OF AGE+ASY AT DISABLEMENT OR AGE+ASY:
- 09. i - NO ADDITIONAL SERVICE YEARS CREDITED
- 97. z - OTHER
- 99. NA
- 00. Inap, 6329

6336

F7. DEFINE ASY

Code ASY # (01-09, 16-18)

See ASY MC for combination codes.

99. NA

00. NOT USED, Inap, 6329

VAR #

6337 F7. AGE (17-85; 99) _____

00. Inap, 6329

6338 F7. ASY (01-50; 99) _____

00. Inap, 6329

6339 F7. AGE + ASY (001-150; 999) _____

000. Inap, 6329

6340 F8. Does this pension plan include a provision for the payment
of any benefits to the surviving beneficiaries or estates
whose death occurs before retirement? _____

1. YES

0 in 6341-6351 — 5. NO

9. NA

VAR #

6341 F9. Does this pension plan include a provision that increases the vesting percentage for participants that were not fully vested at the time of death?

- 01. a - INCREASED TO 100% AT TIME OF DEATH
- 02. b - INCREASED TO 100% WHEN ABOVE MINIMUM AGE:
- 03. c - INCREASED TO 100% WHEN ABOVE MINIMUM ASY:
- 04. d - INCREASED TO 100% WHEN ABOVE MINIMUM AGE+ASY:
- 05. e - INCREASED TO 100% WHEN ABOVE MINIMUM AGE:
OR ABOVE MINIMUM ASY:
- 06. f - INCREASED TO 100% WHEN ABOVE MINIMUM AGE:
AND ABOVE MINIMUM ASY:
- 07. g - VESTING PERCENTAGE IS NOT INCREASED ABOVE AMOUNT
CALCULATED AT TIME OF DEATH
- 97. z - OTHER
- 99. NA
- 00. Inap, 6340 .

6342 F9. DEFINE ASY

Code ASY # (01-09, 16-18)

See ASY MC for combination codes.

99. NA

00. NOT USED; Inap, 6340

VAR #

6343 F9. AGE (17-85; 99)

 00. Inap, 6340

6344 F9. ASY (01-50; 99)

 00. Inap, 6340

6345 F9. AGE + ASY (001-150; 999)

 000. Inap, 6340

VAR #

6346 F10. After the participant's death, does service credit continue to accrue until the participant would have been eligible for early or normal retirement benefits, or are no additional service years credited after the time of death?

- 01. a - ACCRUE ONE ASY FOR EACH YEAR AFTER DEATH, NO MAXIMUM
- 02. b - ACCRUE ONE ASY FOR EACH YEAR, TO MAXIMUM AGE:
- 03. c - ACCRUE ONE ASY FOR EACH YEAR, TO MAXIMUM TOTAL ASY:
- 04. d - ACCRUE ONE ASY FOR EACH YEAR, TO MAXIMUM ADDITIONAL ASY:
- 05. e - ACCRUE ONE ASY FOR EACH YEAR, TO MAXIMUM TOTAL AGE+ASY:
- 06. f - USE GREATER OF AGE AT DEATH OR AGE:
- 07. g - USE GREATER OF ASY AT DEATH OR ASY:
- 08. h - USE GREATER OF AGE+ASY AT DEATH OR AGE+ASY:
- 09. i - NO ADDITIONAL SERVICE YEARS CREDITED
- 97. z - OTHER
- 99. NA
- 00. Inap, 6340

6347 F10. DEFINE ASY

Code ASY # (01-09, 16-18)

See ASY MC for combination codes.

99. NA

00. NOT USED; Inap, 6340

VAR #

6348 F10. AGE (17-85; 99)

 00. Inap, 6340

6349 F10. ASY (01-50; 99)

 00. Inap, 6340

6350 F10. AGE + ASY (001-150; 999)

 000. Inap, 6340

VAR #

6351 F11. Enter below the description of the survivor payment option selected for coding in this section. Assume the beneficiary spouse and is the same age as the participant.

01. 50% Joint and survivors (J&S)

02. 2/3 J&S

03. 100% J&S

04. 60% J&S

05. Single life annuity

06. 55% J&S

07. 75% J&S

Make Card

97. Other

99. NA

00. Inap, 6340

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Screen 64
(F12 - F23)

VAR #

6401 F12. Does this plan include provision for automatic cost of living adjustments to post retirements benefits?

1. YES

0 in 6402-6413

5. NO

9. NA

6402 F13. What is the frequency of determining these cost of living adjustments?

1. a - EVERY _____ MONTHS

2. b - EVERY _____ YEARS

7. z - OTHER

9. NA

0. Inap, 6401

6403 F13. Actual # Months or Years (01-95; 99)

00. Inap, 6401

VAR #

6404 F14. Is the Consumer Price Index (CPI) or some other price index used to calculate these cost of living adjustments?

- 0 in 6409-6413 — 1. YES, CPI
- Make Card — 3. YES, OTHER PRICE INDEX (SPECIFY)
- 6. CPI for Canada
- 7. State/local COL or CPI
- 0 in 6405-6408 — 5. NO
- 9. NA
- 0. Inap, 6401

6405 F15. How is the price index used to adjust benefits?

- 1. a - PRICE INDEX MINUS ___ PERCENTAGE POINTS
- 2. b - ___ % OF INCREASE IN PRICE INDEX, NO MAX
- 3. c - ___ % OF INCREASE IN PRICE INDEX, WITH MAX ADJUSTMENT OF:
- 4. d - ___ % OF INCREASE IN PRICE INDEX, NO MAX ADJUSTMENT IF INDEX INCREASED BY AT LEAST ___ %
- 5. e - ___ % OF INCREASE IN PRICE INDEX, WITH MAX ADJUSTMENT OF ___ % IF INDEX INCREASED BY AT LEAST ___ %
- 7. z - OTHER
- 9. NA
- 0. Inap, 6401; 6404

VAR #

6410 F17. How are average wage and salary increased among active
employees used to adjust retirement benefits?

1. a - ___ % INCREASE ACTIVE PAY, NO MAX ADJUSTMENT
2. b - ___ % INCREASE ACTIVE PAY, WITH MAX ADJUSTMENT OF:
___ %
7. z - OTHER
9. NA
0. Inap, 6401; 6404; 6409

6411 F17. FIRST % field to 2 decimals

- 0001-9500
9999. NA
0000. Inap, 6401; 6404; 6409

6412 F17. SECOND % field to 2 decimals (d and e only)

- 0001-9500
9999. NA
0000. Inap, 6401; 6404; 6409

VAR #

6413

F18. How are the cost of living benefit adjustments calculated?

01. Fixed 3% annual increase regardless of inflation rate

Make Card

97. Other

99. NA

00. Inap, 6401; 6404; 6409

VAR #

6414 F19. Excluding survivor benefits, does this pension plan include a provision for any lump-sum benefit payment upon the death of a retired participant?

1. YES

0 in 6415-6423

5. NO

9. NA

6415 F20. Under which retirement circumstances are the death benefit payments made? (CHECK ALL THAT APPLY.)

F20. a - NORMAL RETIREMENT

- 1. Checked
- 5. Not checked

USE SAME CODE FOR V6416-6420

9. NA to all of F20

0. Inap, 6414

6416 F20. b - LATE RETIREMENT

6417 F20. c - EARLY RETIREMENT

6418 F20. d - VESTED DEFERRED RETIREMENT

6419 F20. e - DISABILITY RETIREMENT

6420 F20. z - OTHER

VAR #

6421 F21. How are the lump-sum death benefits calculated for a
retired participant?

1. a - FIXED DOLLAR AMOUNT: \$ _____
2. b - FIXED PERCENT YEARLY BENEFITS _____ %
7. z - OTHER
9. NA
0. Inap, 6414

6422 F21. \$ DOLLAR AMOUNT (000 001-999 995; 999 999)

000 000. Inap, 6414

6423 F21. % PERCENT YEARLY BENEFITS to 2 decimals (0001-9500; 9999)

0000. Inap, 6414

VAR #

6424 F22. Does this pension plan include a payment option available at the time of retirement under which benefits would continue to be paid to a surviving beneficiary?

1. YES

0 in 6425-6430 — 5. NO

9. NA

6425 F23. Under which retirement circumstances is the survivor payment option available? (CHECK ALL THAT APPLY.)

F23. a - NORMAL RETIREMENT

- 1. Checked
- 5. Not checked

USE SAME CODE FOR V6426-6430

9. NA to all of F23

0. Inap, 6424

6426 F23. b - LATE RETIREMENT

6427 F23. c - EARLY RETIREMENT

6428 F23. d - VESTED DEFERRED RETIREMENT

6429 F23. e - DISABILITY RETIREMENT

6430 F23. z - OTHER

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Screen 65
(F24 - F25)

VAR #

6501 F24. Which of the following vesting schedules defines
eligibility for deferred vested benefits?

0 in 6502-6532 ---1. a - IMMEDIATE 100% VESTING FROM TIME OF INITIAL PARTICIPATION

0 in 6509-6531 ---2. b - CLIFF VESTING: VESTING INCREASES FROM 0% TO 100% WHEN:

0 in 6502-6508 ---3. c - STEP VESTING:
BEGINS AT:

0 in 6502-6532 ---4. d - NO VESTING SCHEDULE CITED

---7. z - OTHER

---9. NA

VAR #

CLIFF VESTING

- 6502 If b: AGE (17-85; 99)
 00. Inap, 6501

- 6503 If b: ASY (01-50; 99)
 00. Inap, 6501

- 6504 If b: AGE + ASY (001-150; 999)
 000. Inap, 6501

- 6505 If b: AGE OR ASY - AGE (17-85, 99)
 00. Inap, 6501

- 6506 If b: AGE OR ASY - ASY (01-50, 99)
 00. Inap, 6501

- 6507 If b: AGE AND ASY - AGE (17-85, 99)
 00. Inap, 6501

- 6508 If b: AGE AND ASY - ASY (01-50, 99)
 00. Inap, 6501

VAR #

STEP VESTING BEGINS AT ____% WHEN:

6509	<hr/> If c: % to 2 decimals (0001-9500, 9999) <hr/> 0000. Inap, 6501
6510	<hr/> If c: AGE (17-85; 99) <hr/> 00. Inap, 6501
6511	<hr/> If c: ASY (01-50; 99) <hr/> 00. Inap, 6501
6512	<hr/> If c: AGE + ASY (001-150; 999) <hr/> 000. Inap, 6501
6513	<hr/> If c: AGE OR ASY - AGE (17-85, 99) <hr/> 00. Inap, 6501
6514	<hr/> If c: AGE OR ASY - ASY (01-50, 99) <hr/> 00. Inap, 6501
6515	<hr/> If c: AGE AND ASY - AGE (17-85, 99) <hr/> 00. Inap, 6501
6516	<hr/> If c: AGE AND ASY - ASY (01-50, 99) <hr/> 00. Inap, 6501

VAR #

STEP VESTING REACHES: ____% WHEN:
--

6517	<u>If c: % to 2 decimals (0001-9500, 9999)</u>
	0000. Inap, 6501
6518	<u>If c: AGE (17-85; 99)</u>
	00. Inap, 6501
6519	<u>If c: ASY (01-50; 99)</u>
	00. Inap, 6501
6520	<u>If c: AGE + ASY (001-150; 999)</u>
	000. Inap, 6501
6521	<u>If c: AGE OR ASY - AGE (17-85, 99)</u>
	00. Inap, 6501
6522	<u>If c: AGE OR ASY - ASY (01-50, 99)</u>
	00. Inap, 6501
6523	<u>If c: AGE AND ASY - AGE (17-85, 99)</u>
	00. Inap, 6501
6524	<u>If c: AGE AND ASY - ASY (01-50, 99)</u>
	00. Inap, 6501

VAR #

STEP VESTING REACHES 100% WHEN:

- 6525 If c: AGE (17-85; 99)
 00. Inap, 6501

- 6526 If c: ASY (01-50; 99)
 00. Inap, 6501

- 6527 If c: AGE + ASY (001-150; 999)
 000. Inap, 6501

- 6528 If c: AGE OR ASY - AGE (17-85, 99)
 00. Inap, 6501

- 6529 If c: AGE OR ASY - ASY (01-50, 99)
 00. Inap, 6501

- 6530 If c: AGE AND ASY - AGE (17-85, 99)
 00. Inap, 6501

- 6531 If c: AGE AND ASY - ASY (01-50, 99)
 00. Inap, 6501

VAR #

6532 F24b or c: VESTING: DEFINE ASY

Code ASY # (01-09, 16-18) ————— See ASY MC for
combination codes.

99. NA

00. NOT USED; Inap, 6501

6533 F25. Record below any unusual characteristic(s) of this pension
plan, or any other pertinent information needed to
interpret the plan provisions as coded in Sections B-J.

1. Comments

0. No comments

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FORMULA COMPONENT NAMES--Parts II & III

<u>Abbrev.</u>	<u>Meaning</u>	<u>Q#</u>	<u># Range</u>	
			<u>Pt. II</u>	<u>Pt. III</u>
ASY	Actual Service Years	C1-C4,L2-L2D	1-9	16-18
MAN	Mandatory Contribution	C6-C9,M2-M2D	1-3	1-3
VOL	Voluntary Contribution	C11-C16,M3-M3F	1-3	1-3
SS	Social Security	C17-C22	1-6	---
PSY	Potential Service Years	C23-C25	1-6	---
FAP	Final Average Pay	C26-C31	1-6	---
RED	Reduction Factor	C32-C33	1-6	---
RAS	Required Actual Service (yrs)	E1	1-15	---
DAT	Requirement Dates	E2	1-6	---
ROT	Other Requirements	E3	1-15	---
LNG	Length of Payments	E4	1-6	---
BEG	Beginning Payment Date	E5	1-9	---
MMAN	Matching Mandatory Contributions	M2E-M2J	---	1-3
MVOL	Matching Voluntary Contributions	M3G-M3K	---	1-3
CTE	Actual Contribution by Employer	R2-R2M	---	1-3
COE	Other Contribution by Employer	R3-R3H	---	1-3
CLS	Lump Sum Contribution	S1A-S1C,T3-T3B, W2A-W2D,W4A-W4C	---	1-12
CRAS	Combined Requirement for Age Service	S3-S4	---	1-2

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FORMULA NAMES--Parts II & III

<u>Abbrev.</u>	<u>Meaning</u>	<u>Q#</u>
PART II:		
NR#1 - NR#12	Normal Retirement	D1
NRT#1	Normal Retirement Total	D2
ER#1 - ER#12	Early Retirement	D3
ER#1	Early Retirement Total	D4
VD#1 - VD#12	Vested Deferred Benefits	D5
VDT#1	Vested Deferred Total	D6
DR#1 - DR#12	Disability Retirement	D7
DRT#1	Disability Retirement Total	D8
DS#1 - DS#12	Death and Survivor's Benefits	D9
DST#1	Death and Survivor's Total	D10
PART III:		
CNRT#1	Combined Normal Retirement Total	S2

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GENERAL INSTRUCTIONS FOR FORMULA CODING

Enter formulas exactly as written except:

1. Formula definitions which are generated in other parts of the interview (e.g., RED4, FAP1, ASY13) should not have a # sign. Delete the # sign for these if the editor has included one.

ASY#1 = ASY1

Do, however, include the # sign when the referent is a formula itself (e.g., ERT#1).

2. Fractions must be converted to percentages and the percent sign (%) added:

1-1/2 = 1.5%

Common conversions:	
1/8 = .125	1/3 = .333
1/4 = .25	2/3 = .667
3/8 = .375	
1/2 = .50	
5/8 = .625	
3/4 = .75	
7/8 = .875	

3. Leave out the comma which marks the thousands digit:

\$10,725 = \$10725

4. Leave out zero cents:

\$47.00 = \$47

5. If any characters in a formula are illegible, use "?" to mark the spaces and MAKE CARD.
6. If a formula is too long (more than 120 digits), code what will fit and MAKE CARD.
7. Convert ">" to "GE".
8. Convert "<" to "LE".

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ASY MASTER CODE

Code ASY # 01-09 plus:

40.	ASY1 + ASY4	(1+4)
41.	ASY1 - ASY6	(1+2+3+4+5+6)
42.	ASY2 + ASY3 + ASY4 + ASY5	(2+3+4+5)
43.	ASY1 + ASY2 + ASY3 + ASY5	(1+2+3+5)
44.	ASY1 + ASY2 + ASY3 + ASY4	(1+2+3+4)
45.	ASY3 + ASY4 + ASY5	(3+4+5)
46.	ASY1 + ASY4 + ASY5	(1+4+5)
47.	ASY1 + ASY2 + ASY5	(1+2+5)
48.	ASY1 + ASY2 + ASY4	(1+2+4)
49.	ASY6 + ASY7	(6+7)
50.	ASY4 + ASY6	(4+6)
51.	ASY1 + ASY2	(1+2)
52.	ASY3 + ASY4	(3+4)
53.	ASY1 + ASY2 + ASY3	(1+2+3)
54.	ASY2 + ASY4	(2+4)
55.	ASY1 + ASY3	(1+3)
56.	ASY2 + ASY3	(2+3)
57.	ASY2 + ASY3 + ASY4	(2+3+4)
58.	ASY1 + ASY5	(1+5)
59.	ASY3 + ASY5	(3+5)
60.	ASY4 + ASY5	(4+5)

PENS3 only	-----61.	ASY16 + ASY17	(16+17)
	-----62.	ASY17 + ASY18	(17+18)

Make Card	-----97.	Other combinations	
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Screen 01
(Facesheet)

VAR #

- 1 Case ID (from upper left of facesheet)
- 0001 - 0421 Parts I and II
 3001 - 3358 Parts I and III
 5001 - 5018 Parts I, II and III
- 2 Item 4. Recorder's ID (01-13, 51-63)

CODER NOTES: 1) If ages are given in fractional years,
 please round up. (Code "20½" as 21 years.)

 2) In Vars 8505 and 9705 the answer "100% is
 coded as 9995.

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Screen 81
(Section L: Plan Identification: L1-L2D)

VAR #

8101 L1. Identify the specific pension plan coversheet (SEQ#), the pension provider (PPID), and the plan number (PLAN #) that is coded below:
SEQ# (0001-0899)

8102 L1. PENSION PROVIDER - PPID (0001-2648, 5001-5038)

8103 L1. PLAN # (001-999)

8104 L2. Do any of the contribution formulas or eligibility requirements depend on the number of actual years of credited service the employee has accumulated?

1. YES

0 in 8105-8159

5. NO

9. NA

8105 L2. SUMMARY: # of ASY's

0 in 8124-8159

1. ONE

0 in 8142-8159

2. TWO

3. Three

0. Inap, 8104

VAR #

ASY16 = V8106-8123
ASY17 = V8124-8141
ASY18 = V8142-8159

8106(16) L2A. How is the number of actual years of credited service
 8124(17) (ASY) determined for use in the contribution formulas or
 8142(18) for eligibility requirements?

1. a - ALL YEARS OF EMPLOYMENT ARE CREDITED
2. b - ONLY COUNT YEARS AFTER AGE:
3. c - ONLY COUNT YEARS AFTER FIRST # YEARS:
4. d - ONLY COUNT YEARS AFTER AGE:
OR AFTER FIRST # YEARS:
5. e - ONLY COUNT YEARS AFTER AGE:
AND AFTER FIRST # YEARS:
6. f - ONLY COUNT YEARS DURING FINAL # YEARS:
7. z - OTHER
9. NA
0. Inap, 8104; 8105

8107(16) L2A. AFTER AGE (17-85; 99) (b, d or e)
 8125(17)
 8143(18) 00. Inap, 8104; 8105

8108(16) L2A. # YEARS (01-50; 99) (c, d, e or f)
 8126(17)
 8144(18) 00. Inap, 8104; 8105

VAR #

8109(16) L2B. Are the above definitions of ASY based only on specific
8127(17) calendar time periods, or on all years covered by this
8145(18) pension plan?

1. a - COVERS ALL YEARS: NO SPECIFIC DATES CITED
2. b - BEFORE:
3. c - AFTER:
4. d - FROM/TO:
7. z - OTHER
9. NA
0. Inap, 8104; 8105

8110(16) L2B. BEFORE/AFTER/FROM: MONTH (01-12; 99)

8128(17)
8146(18) 00. Inap, 8104; 8105

8111(16) L2B. BEFORE/AFTER/FROM: YEAR (01-95; 99)

8129(17)
8147(18) 00. Inap, 8104; 8105

8112(16) L2B. TO: MONTH (01-12; 99)

8130(17)
8148(18) 00. Inap, 8104; 8105

8113(16) L2B. TO: YEAR (01-95; 99)

8131(17)
8149(18) 00. Inap, 8104; 8105

VAR #

8114(16) L2C. Is there a maximum number of actual years of credited
8132(17) service that can be used in the contribution formulas or a
8150(18) maximum age after which service years can no longer be
credited?

1. a - YES, MAXIMUM AGE:
2. b - YES, MAXIMUM ASY:
3. c - YES, MAXIMUM AGE PLUS ASY:
4. d - YES, WHEN OVER MAXIMUM AGE:
OR OVER MAXIMUM ASY:
5. e - YES, WHEN OVER MAXIMUM AGE:
AND OVER MAXIMUM ASY:
6. f - NO
7. z - OTHER
9. NA
0. Inap, 8104; 8105

8115(16) L2C. AGE (17-85; 99)
8133(17)
8151(18) 00. Inap, 8104; 8105

8116(16) L2C. ASY (01-50; 99)
8134(17)
8152(18) 00. Inap, 8104; 8105

8117(16) L2C. AGE + ASY (001-150; 999)
8135(17)
8153(18) 000. Inap, 8104; 8105

VAR #

8118(16) L2D. Are participants credited one service year for each year
8136(17) they meet minimum employment requirements, or does the
8154(18) amount of credited service depend on the actual number of
hours worked each year?

- 01. a - CREDITED ONE ASY FOR EACH YEAR EMPLOYED;
NO MINIMUM WORK REQUIREMENTS
- 02. b - CREDITED WITH EITHER ZERO OR ONE ASY; ONE ASY IS
CREDITED FOR EACH YEAR WORKED A MINIMUM OF:
HOURS PER YEAR
- 03. c - # WEEKS PER YEAR, AND
HOURS PER WEEK
- 04. d - # MONTHS PER YEAR, AND
HOURS PER MONTH
- 05. e - CREDITED WITH A PRORATED FRACTION FROM ZERO TO
ONE ASY; ONE FULL ASY IS CREDITED FOR EACH YEAR
WORKED A MINIMUM OF: # HOURS PER YEAR
- 06. f - # WEEKS PER YEAR, AND
HOURS PER WEEK
- 07. g - # MONTHS PER YEAR, AND
HOURS PER MONTH
- 08. h - CREDITED EACH YEAR WITH A PRORATED FRACTION FROM
ZERO TO MORE THAN ONE ASY; ONE FULL ASY IS
CREDITED FOR EVERY: # HOURS PER YEAR
- 09. i - # WEEKS PER YEAR, AND
HOURS PER WEEK
- 10. j - # MONTHS PER YEAR, AND
HOURS PER MONTH
- 97. z - OTHER
- 99. NA
- 00. Inap, 8104; 8105

VAR #

8119(16)	<u>L2D. # HOURS PER YEAR (0001-2496, 9999)</u>
8137(17)	
8155(18)	0000. Inap, 8104; 8105
8120(16)	<u>L2D. # WEEKS PER YEAR (01-52, 99)</u>
8138(17)	
8156(18)	00. Inap, 8104; 8105
8121(16)	<u>L2D. # HOURS PER WEEK (01-48, 99)</u>
8139(17)	
8157(18)	00. Inap, 8104; 8105
8122(16)	<u>L2D. # MONTHS PER YEAR (01-12, 99)</u>
8140(17)	
8158(18)	00. Inap, 8104; 8105
8123(16)	<u>L2D. # HOURS PER MONTH (001-208, 999)</u>
8141(17)	
8159(18)	000. Inap, 8104; 8105

89 SCF -- PENSION PROVIDERS

Screens 82-84

Section M: Participant Contributions
(M1 - M2D)

VAR #

8201 M1. Are employees required to make contributions in order to
participate in this defined contribution plan?

0 in 8202	-----	1. YES
		5. NO
		9. NA
		0. Inap, No III

8202 M1A. Can the participants make any voluntary contributions to
this defined contribution pension plan?

0 in 8203-8238, Scrns 83-90, and V9101	-----	1. YES
--	-------	--------

0 in 8203-8238, and Scrns 83-102	-----	5. NO
		9. NA
		0. Inap, 8201

VAR #

8203 M2. SUMMARY: # of MAN's _____

0 in 8304-8338,
8404-8438

1. One

0 in 8404-8438

2. Two

3. Three

0. Inap, 8202

MAN1 = 8204-8238
MAN2 = 8304-8338
MAN3 = 8404-8438

8204 M2. On an annual basis, how is the mandatory (MAN) contribution calculated? _____

0 in 8209-8223

02. b - FIXED DOLLAR AMOUNT:

03. c - FIXED PERCENTAGE OF PAY:
UP TO MAXIMUM CONTRIBUTION:

04. d - FIXED PERCENTAGE PAY AT OR BELOW SWB:

05. e - FIXED PERCENTAGE PAY ABOVE SWB:

06. f - FIXED PERCENTAGE PAY ABOVE SWB:
UP TO MAXIMUM CONTRIBUTION:

0 in 8207-8208

07. g - VARYING DOLLAR OR PERCENTAGE:

0 in 8207-8223

97. z - OTHER (SPECIFY BELOW):

99. NA

00. Inap, 8202; 8203

VAR #

8205 M2. CHECK: PRE TAX ...

 1. PRE TAX

 2. POST TAX

 9. NA

 0. NOT CITED; Inap, 8202; 8203; 8204

8206 M2. DEFINE ASY

 Code ASY # (01-09, 16-18)-----

See ASY MC for combination codes.

 99. NA

 00. NOT USED; Inap, 8202; 8203; 8204

8207 M2. If b-f: \$ amount field (000 001-999 995, 999 999)

 999 996. NO MAXIMUM

 000 000. Inap, 8202; 8203; 8204

8208 M2. If b-f: % percent field to 2 decimals (0001-9500, 9999)

 0000. Inap, 8202; 8203; 8204

VAR #

8209 M2. If g: "\$ AMOUNT" BOX

1. \$ AMOUNT
2. % PAY
3. % (PAY > SWB)
4. % (PAY ≤ SWB)

7. Other

9. NA

0. Inap, 8202; 8203; 8204

8210 M2. If g: "PAY" BOX

1. PAY
2. AGE
3. ASY
4. AGE + ASY

7. Other

9. NA

0. Inap, 8202; 8203; 8204

VAR #

8211(UNDER) M2. If g: \$ or % field to 2 decimals

8213(FROM-MIN) 000 000 01 - 999 995 00

8216(FROM) 999 999 99. NA

8219(FROM) 000 000 00. Inap, 8202; 8203; 8204

8222(OVER)

8212(UNDER) M2. If g: PAY/AGE/ASY Field

8214(FROM-MIN) Code # given as a 6-digit whole number (000 001-999 995)

8215(TO) 999 999. NA

8217(FROM)

8218(TO) 000 000. Inap, 8202; 8203; 8204

8220(FROM)

8221(TO-MAX)

8223(OVER)

VAR #

8224

M2A. Do any of the above mandatory contribution formulas depend
on the Social Security taxable wage base (SWB)?

- 1. a - YES, ACTUAL SWB IN EFFECT EACH YEAR
- 2. b - YES, CAREER AVERAGE SWB CALCULATED EACH YEAR
- 3. c - YES, CAREER AVERAGE SWB ESTIMATED IN YEAR: 19
- 4. d - NO
- 7. z - OTHER
- 9. NA
- 0. Inap, 8202; 8203; 8204

8225

M2A. YEAR (01-95, 99)

- 00. Inap, 8202; 8203; 8204

VAR #

- 8226 M2B. Are the mandatory contribution formulas based on wages and salaries earned during specific calendar time periods, or during all years covered by this pension plan?
1. a - COVERS ALL YEARS: NO SPECIFIC DATES CITED
 2. b - BEFORE:
 3. c - AFTER:
 4. d - FROM:
TO:
 7. z - OTHER
 9. NA
 0. Inap, 8202; 8203; 8204
- 8227 M2B. BEFORE/AFTER/FROM: MONTH (01-12, 99)
00. Inap, 8202; 8203; 8204
- 8228 M2B. BEFORE/AFTER/FROM: YEAR (01-95, 99)
00. Inap, 8202; 8203; 8204
- 8229 M2B. TO: MONTH (01-12, 99)
00. Inap, 8202; 8203; 8204
- 8230 M2B. TO: YEAR (01-95, 99)
00. Inap, 8202; 8203; 8204

VAR #

8231 M2C. When are participants required to start making these mandatory contributions?

- 1. a - AT ONSET OF EMPLOYMENT
- 2. b - AFTER EMPLOYEE REACHES AGE:
- 3. c - AFTER EMPLOYEE COMPLETES FIRST # YRS:
- 4. d - AFTER EMPLOYEE REACHES AGE:
OR AFTER COMPLETES FIRST # YRS:
- 5. e - AFTER EMPLOYEE REACHES AGE:
AND AFTER COMPLETES FIRST YRS:
- 7. z - OTHER
- 9. NA
- 0. Inap, 8202; 8203; 8204

8232 M2C. AGE (17-85, 99)

- 00. Inap, 8202; 8203; 8204

8233 M2C. # YEARS (01-50, 99)

- 00. Inap, 8202; 8203; 8204

VAR #

8234 M2D. Is there a maximum age or service years after which participants are no longer required to make these contributions?

1. a - YES, MAXIMUM AGE:
2. b - YES, MAXIMUM ASY:
3. c - YES, MAXIMUM AGE PLUS ASY:
4. d - YES, WHEN OVER MAXIMUM AGE:
 OR OVER MAXIMUM ASY:
5. e - YES, WHEN OVER MAXIMUM AGE:
 AND OVER MAXIMUM ASY:
6. f - NO
7. z - OTHER
9. NA
0. Inap, 8202;, 8203; 8204

8235 M2D. DEFINE ASY

Code ASY # (01-09, 16-18)-----

See ASY MC for combination codes.

99. NA

00. NOT USED; Inap, 8202; 8203; 8204

VAR #

8236 M2D. AGE (17-85, 99)

00. Inap, 8202; 8203; 8204

8237 M2D. ASY (01-50, 99)

00. Inap, 8202; 8203; 8204

8238 M2D. AGE + ASY (001-150, 999)

000. Inap, 8202; 8203; 8204

89 SCF -- PENSION PROVIDERS

Screens 85, 87, 89
(M2E - M2H)

VAR #

8501 M2E. Does this defined contribution pension plan include a provision that the employer automatically matches all or part of each participant's mandatory contribution?

1. YES

0 in 8502-8536,
and Scrns 86-90

5. NO

9. NA

0. Inap, 8202

8502 M2E. SUMMARY: # of MMAN's

0 in Scrns 87-90

1. One

0 in Scrns 89-90

2. Two

3. Three

0. Inap, 8202; 8501

VAR #

MMAN1 = V8503-8536, 8601-8633
MMAN2 = V8703-8736, 8801-8833
MMAN3 = V8903-8936, 9001-9033

8503 M2F. For each dollar or percentage point of pay the participant contributes, how much does the employer contribute in matching funds (MMAN).

—1. a. FIXED MATCH RATE:

—2. b. MATCHING IS DISCRETIONARY, AND DETERMINED ANNUALLY

—3. c. VARYING MATCH RATE: (In 1983 = Category #2)

—7. z. OTHER

—9. NA

0. Inap, 8202; 8501; 8502

8504 M2F. DEFINE ASY

Code ASY # (01-09, 16-18) —

See ASY MC for combination codes.

99. NA

00. NOT USED; Inap, 8202; 8501; 8502; 8503

VAR #

8505 M2F. If a: % field to 2 decimals

 0001-9500.

 9995. 100%

 9996. 140%

 9997. 150-156%

 9998. 200%

 9999. NA

 0000. Inap, 8202; 8501; 8502; 8503

8506 M2F. If c: "\$/¢" BOX

 1. \$/¢

 2. % PAY

 7. Other

 9. NA

 0. Inap, 8202; 8501; 8502; 8503

8507 M2F. If c: "PAY" BOX

 1. PAY

 2. AGE

 3. ASY

 4. AGE+ASY

 5. % CONTRIB

 7. Other

 9. NA

 0. Inap, 8202; 8501; 8502; 8503

VAR #

8508(UNDER) M2F. If c: Dollar or % Field to 2 decimals

8511(FROM-MIN) 000 000 01 - 999 995 00

8516(FROM) 999 999 99. NA

8521(FROM) 000 000 00. Inap, 8202; 8501; 8502; 8503
8526(OVER)

8509(UNDER) M2F. If c: PAY/AGE/ASY Field

8512(FROM MIN) Code PAY/AGE/ASY as a 6 digit whole number
8514(TO) (000 001-999 995)

8517(FROM) 999 999. NA
8519(TO)

000 000. Inap, 8202; 8501; 8502; 8503
8522(FROM)
8524(TO-MAX)

8527(OVER)

8510(UNDER) M2F. If c: % CONTRIB Field to 2 decimal places
(0001-9500, 9999)

8513(FROM MIN)
8515(TO) 0000. Inap, 8202; 8501; 8502; 8503

8518(FROM)
8520(TO)

8523(FROM)
8525(TO MAX)

8528(OVER)

VAR #

- 8529 M2G. Is there a maximum on the amount the employer will contribute in matching funds?
- 1. a - YES, MAXIMUM DOLLAR CONTRIBUTION:
 - 2. b - YES, MAXIMUM PERCENTAGE PAY:
 - 3. c - NO (ADDITIONAL) MAXIMUMS ON MATCHING MANDATORY CONTRIBUTIONS
 - 7. z - OTHER
 - 9. NA
 - 0. Inap, 8202; 8501; 8502
- 8530 M2G. \$ Field (000 001-999 995, 999 999)
- 000 000. Inap, 8202; 8501; 8502
- 8531 M2G. % Field to 2 decimals (0001-9500, 9999)
0000. Inap, 8202; 8501; 8502

VAR #

- 8532 M2H. To receive any of the matching employer contributions, are there any other special requirements?
- 01. a - NO OTHER SPECIAL REQUIREMENTS (EXCEPT MATCHING AMOUNTS)
 - 02. b - EMPLOYER APPROVAL REQUIRED
 - 03. c - PAST SERVICE REQUIRED
 - 04. d - IF PLAN PARTICIPANT ON:
 - 05. e - IF PLAN PARTICIPANT BEFORE:
 - 06. f - IF PLAN PARTICIPANT AFTER:
 - 07. g - IF PLAN PARTICIPANT FROM:
TO:
 - 97. z - OTHER
 - 99. NA
 - 00. Inap, 8202; 8501; 8502
- 8533 M2H. ON/BEFORE/AFTER/FROM: MONTH (01-12, 99)
- 00. Inap, 8202; 8501; 8502
- 8534 M2H. ON/BEFORE/AFTER/FROM: YEAR (01-95, 99)
- 00. Inap, 8202; 8501; 8502
- 8535 M2H. TO: MONTH (01-12, 99)
- 00. Inap, 8202; 8501; 8502
- 8536 M2H. TO: YEAR (01-95, 99)
- 00. Inap, 8202; 8501; 8502

89 SCF -- PENSION PROVIDERS

Screens 86, 88, 90
(M2J)

VAR #

8601 M2J. What is the vesting schedule that applies to these matching employer contributions?

0 in 8604-8633 ---1. a - SAME AS: _____

0 in 8603,
8603-8633 ---2. b - IMMEDIATE 100% VESTING FROM TIME OF INITIAL PARTICIPATIONS

0 in 8603,
8611-8633 ---3. c - CLIFF VESTING: VESTING INCREASES FROM 0% TO 100% WHEN:

0 in 8603-8610 ---4. d - STEP VESTING:
BEGINS AT:

0 in 8603-8633 ---5. e - NO VESTING SCHEDULE CITED

---7. z - OTHER

---9. NA

0. Inap, 8202; 8501; 8502

8602 M2J. DEFINE ASY

Code ASY # (01-09, 16-18)----- See ASY MC for combination codes.

99. NA

00. NOT USED; Inap, 8202; 8501; 8502; 8601

VAR #

8603

M2J. SAME AS: _____

- 01. MMAN1
- 02. MMAN2
- 03. MMAN3

- 04. MVOL1
- 05. MVOL2
- 06. MVOL3

- 07. CTE1
- 08. CTE2
- 09. CTE3

- 10. COE1
- 11. COE2
- 12. COE3

- 13. Defined Benefit (DB)

Make Card

- 97. Other
- 99. NA
- 00. Inap, 8202; 8501; 8502; 8601

VAR #**CLIFF VESTING**

8604	<hr/> <u>If c: AGE (17-85, 99)</u> <hr/>
	00. Inap, 8202; 8501; 8502; 8601
8605	<hr/> <u>If c: ASY (01-50, 99)</u> <hr/>
	00. Inap, 8202; 8501; 8502; 8601
8606	<hr/> <u>If c: AGE + ASY (001-150, 999)</u> <hr/>
	000. Inap, 8202; 8501; 8502; 8601
8607	<hr/> <u>If c: AGE OR ASY - AGE (17-85, 99)</u> <hr/>
	00. Inap, 8202; 8501; 8502; 8601
8608	<hr/> <u>If c: AGE OR ASY - ASY (01-50, 99)</u> <hr/>
	00. Inap, 8202; 8501; 8502; 8601
8609	<hr/> <u>If c: AGE AND ASY - AGE (17-85, 99)</u> <hr/>
	00. Inap, 8202; 8501; 8502; 8601
8610	<hr/> <u>If c: AGE AND ASY - ASY (01-50, 99)</u> <hr/>
	00. Inap; 8202; 8501; 8502; 8601

VAR #

STEP VESTING BEGINS AT _____% WHEN:
--

8611	<hr/> If d: % to 2 decimals (0001-9500, 9999) <hr/> 0000. Inap, 8202; 8501; 8502; 8601
8612	<hr/> If d: AGE (17-85, 99) <hr/> 00. Inap, 8202; 8501; 8502; 8601
8613	<hr/> If d: ASY (01-50, 99) <hr/> 00. Inap, 8202; 8501; 8502; 8601
8614	<hr/> If d: AGE + ASY (001-150, 999) <hr/> 000. Inap, 8202; 8501; 8502; 8601
8615	<hr/> If d: AGE OR ASY - AGE (17-85, 99) <hr/> 00. Inap, 8202; 8501; 8502; 8601
8616	<hr/> If d: AGE OR ASY - ASY (01-50, 99) <hr/> 00. Inap, 8202; 8501; 8502; 8601
8617	<hr/> If d: AGE AND ASY - AGE (17-85, 99) <hr/> 00. Inap, 8202; 8501; 8502; 8601
8618	<hr/> If d: AGE AND ASY - ASY (01-50, 99) <hr/> 00. Inap; 8202; 8501; 8502; 8601

VAR #

STEP VESTING REACHES: _____% WHEN:

8619	<hr/> If d: % to 2 decimals (0001-9500, 9999) <hr/> 0000. Inap, 8202; 8501; 8502; 8601
8620	<hr/> If d: AGE (17-85, 99) <hr/> 00. Inap, 8202; 8501; 8502; 8601
8621	<hr/> If d: ASY (01-50, 99) <hr/> 00. Inap, 8202; 8501; 8502; 8601
8622	<hr/> If d: AGE + ASY (001-150, 999) <hr/> 000. Inap, 8202; 8501; 8502; 8601
8623	<hr/> If d: AGE OR ASY - AGE (17-85, 99) <hr/> 00. Inap, 8202; 8501; 8502; 8601
8624	<hr/> If d: AGE OR ASY - ASY (01-50, 99) <hr/> 00. Inap, 8202; 8501; 8502; 8601
8625	<hr/> If d: AGE AND ASY - AGE (17-85, 99) <hr/> 00. Inap, 8202; 8501; 8502; 8601
8626	<hr/> If d: AGE AND ASY - ASY (01-50, 99) <hr/> 00. Inap; 8202; 8501; 8502; 8601

VAR #**STEP VESTING REACHES 100% WHEN:**

8627	<u>If d: AGE (17-85, 99)</u>
	00. Inap, 8202; 8501; 8502; 8601
8628	<u>If d: ASY (01-50, 99)</u>
	00. Inap, 8202; 8501; 8502; 8601
8629	<u>If d: AGE + ASY (001-150, 999)</u>
	000. Inap, 8202; 8501; 8502; 8601
8630	<u>If d: AGE OR ASY - AGE (17-85, 99)</u>
	00. Inap, 8202; 8501; 8502; 8601
8631	<u>If d: AGE OR ASY - ASY (01-50, 99)</u>
	00. Inap, 8202; 8501; 8502; 8601
8632	<u>If d: AGE AND ASY - AGE (17-85, 99)</u>
	00. Inap, 8202; 8501; 8502; 8601
8633	<u>If d: AGE AND ASY - ASY (01-50, 99)</u>
	00. Inap; 8202; 8501; 8502; 8601

89 SCF -- PENSION PROVIDERS

Screens 91, 93, 95
(M2K - M3A)

VAR #

9101 M2K. Can the participant make any voluntary contributions to
this defined contribution pension plan?

- 1. YES
- 5. NO

0 in 9102-9142
& Scrns 92-102

- 9. NA
- 0. Inap, 8202

9102 M3. SUMMARY: # of VOL's

0 in Scrns 93-96

- 1. ONE

0 in Scrns 95-96

- 2. TWO
- 3. THREE
- 0. Inap, 8202, 9101

VAR #

VOL 1 = V9103-9142, 9201-9220
VOL 2 = V9303-9342, 9401-9420
VOL 3 = V9503-9542, 9601-9620

9103 M3. What annual minimums apply to the voluntary contributions?

0 in 9106-9122 ---01. a - NO MINIMUM AMOUNT

0 in 9108-9122 ---02. b - FIXED DOLLAR AMOUNT:

---03. c - FIXED PERCENTAGE OF PAY:
 UP TO MAXIMUM AMOUNT:

---04. d - FIXED PERCENTAGE PAY AT OR BELOW SWB:

---05. e - FIXED PERCENTAGE PAY ABOVE SWB:

---06. f - FIXED PERCENTAGE PAY ABOVE SWB:
 WITH MINIMUM CONTRIBUTION:

0 in 9106-9107 ---07. g - VARYING DOLLAR OR PERCENTAGE:

0 in 9106-9122 ---97. z - OTHER (SPECIFY BELOW):

---99. NA

00. Inap, 8202, 9101, 9102

9104 M3. CHECK: PRE TAX ...

- 1. PRE TAX
- 2. POST TAX

9. NA

0. NOT CITED; Inap, 8202, 9101, 9102, 9103

VAR #

9105

M3. DEFINE ASY

Code ASY # (01-09, 16-18)----- See ASY MC for
combination codes.

99. NA

00. NOT USED: Inap, 8202, 9101, 9102, 9103

9106

M3. If b-f: \$ amount field (000 001-999 995, 999 999)

999 996. NO MAXIMUM

000 000. Inap, 8202, 9101, 9102, 9103

9107

M3. If b-f: % percent field (0001-9500, 9999)

0000. Inap, 8202, 9101, 9102, 9103

VAR #

9108 M3. If g: "\$ AMOUNT" BOX _____
 1. \$ AMOUNT
 2. % PAY
 3. % (PAY > SWB)
 4. % (PAY ≤ SWB)

 7. OTHER

 9. NA

 0. Inap, 8202, 9101, 9102, 9103

9109 M3. If g: "PAY" BOX _____
 1. PAY
 2. AGE
 3. ASY
 4. AGE + ASY

 7. OTHER

 9. NA

 0. Inap, 8202, 9101, 9102, 9103

VAR #

9110(UNDER) M3. If q: \$ or % field to 2 decimals

9112(FROM-MIN) 000 000 01 - 999 995 00.

9115(FROM) 999 999 99. NA

9118(FROM) 000 000 00. Inap, 8202, 9101, 9102, 9103

9121(OVER)

9111(UNDER) M3. If q: PAY/AGE/ASY Field

9113(FROM-MIN) Code as 6 digit whole number

9114(TO) 000 001 - 999 995.

9116(FROM)

9117 (TO) 999 999. NA

9119(FROM) 000 000. Inap, 8201, 9101, 9102, 9103

9120(TO-MAX)

9122(OVER)

VAR #

9123 M3A. Aside from IRS maximums, what annual or career average maximums apply to the voluntary (VOL) contributions?

0 in 9126-9142 —01. a - NO MAXIMUM AMOUNT

0 in 9128-9142 —02. b - FIXED DOLLAR AMOUNT:

—03. c - FIXED PERCENTAGE OF PAY:
UP TO MAXIMUM AMOUNT:

—04. d - FIXED PERCENTAGE PAY AT OR BELOW SWB:

—05. e - FIXED PERCENTAGE PAY ABOVE SWB:

—06. f - FIXED PERCENTAGE PAY ABOVE SWB:
UP TO MAXIMUM CONTRIBUTION:

0 in 9126-9127 —07. g - VARYING DOLLAR OR PERCENTAGE:

0 in 9126-9142 —97. z - OTHER

—99. NA

00. Inap, 8202, 9101, 9102

9124 M3A. CHECK: ANNUAL ...

- 1. ANNUAL
- 2. CAREER

9. NA

0. NOT CITED: Inap, 8202, 9101, 9102, 9123

9125 M3A. DEFINE ASY

Code ASY # (01-09, 16-18) ———— See ASY MC for combination codes.

99. NA

00. NOT USED; Inap, 8202, 9101, 9102, 9123

VAR #

9128 M3A. If g: "\$ AMOUNT" BOX

- 1. \$ AMOUNT
- 2. % PAY
- 3. % (PAY > SWB)
- 4. % (PAY ≤ SWB)

- 7. OTHER

- 9. NA

- 0. Inap, 8202, 9101, 9102, 9123

9129 M3A. If g: "PAY" BOX

- 1. PAY
- 2. AGE
- 3. ASY
- 4. AGE + ASY

- 7. OTHER

- 9. NA

- 0. Inap, 8202, 9101, 9102, 9123

VAR #

9130(UNDER) M3A. If g: \$ or % field to 2 decimals

9132(FROM-MIN) 000 000 01 - 999 995 00.

9135(FROM) 999 999 99. NA

9138(FROM) 000 000 00. Inap, 8202, 9101, 9102, 9123

9141(OVER)

9131(UNDER) M3A. If q: PAY/AGE/ASY Field

9133(FROM-MIN) Code as whole 6 digit number

9134 (TO) 000 001 - 999 995.

9136(FROM)

9137(TO) 999 999. NA

9139(FROM) 000 000. Inap, 8202, 9101, 9102, 9123

9140(TO-MAX)

9142(OVER)

89 SCF -- PENSION PROVIDERS

Screens 92, 94, 96
(M3B - M3F)

VAR #

9201 M3B. Do any of the above voluntary contribution formulas depend
on the Social Security taxable wage base (SWB)?

1. a - YES, ACTUAL SWB IN EFFECT EACH YEAR
2. b - YES, CAREER AVERAGE SWB CALCULATED EACH YEAR
3. c - YES, CAREER AVERAGE SWB ESTIMATED IN YEAR:
4. d - NO
7. z - OTHER
9. NA
0. Inap, 8202, 9101, 9102

9202 M3B. If c: YEAR: (01-95, 99)

00. Inap, 8202, 9101, 9102

VAR #

- 9203 M3C. Are the above voluntary contribution formulas based only on specific calendar time periods, or on all years covered by this pension plan?

1. a - COVERS ALL YEARS: NO SPECIFIC DATES CITED.
 2. b - BEFORE:
 3. c - AFTER:
 4. d - FROM://TO:

 7. z - OTHER

 9. NA

 0. Inap, 8202, 9101, 9102
- 9204 M3C. BEFORE/AFTER/FROM: MONTH (01-12, 99)

00. Inap, 8202, 9101, 9102
- 9205 M3C. BEFORE/AFTER/FROM: YEAR (01-95, 99)

00. Inap, 8202, 9101, 9102
- 9206 M3C. TO: MONTH (01-12, 99)

00. Inap 8202, 9101, 9102
- 9207 M3C. TO: YEAR (01-95, 99)

00. Inap, 8202, 9101, 9102

VAR #

- 9208 M3D. When are participants eligible to make these voluntary contributions?
1. a - AT ONSET OF EMPLOYMENT
 2. b - AFTER EMPLOYEE REACHES AGE:
 3. c - AFTER EMPLOYEE COMPLETES FIRST YRS:
 4. d - AFTER EMPLOYEE REACHES AGE:
OR AFTER COMPLETES FIRST # YRS:
 5. e - AFTER EMPLOYEE REACHES AGE:
AND AFTER COMPLETES FIRST # YRS:
 7. z - OTHER
 9. NA
 0. Inap, 8202, 9101, 9102
- 9209 M3D. AGE (17-85, 99)
00. Inap, 8202, 9101, 9102
- 9210 M3D. # YEARS (01-50, 99)
00. Inap, 8202, 9101, 9102

VAR #

9211 M3E. Is there a maximum age or service years after which participants can no longer make voluntary contributions?

- 1. a - YES, MAXIMUM AGE:
- 2. b - YES, MAXIMUM ASY:
- 3. c - YES, MAXIMUM AGE PLUS ASY:
- 4. d - YES, WHEN OVER MAXIMUM AGE:
OR OVER MAXIMUM ASY:
- 5. e - YES, WHEN OVER MAXIMUM AGE:
AND OVER MAXIMUM ASY:
- 6. f - NO
- 7. z - OTHER
- 9. NA
- 0. Inap, 8202, 9101, 9102

9212 M3E. DEFINE ASY

- Code ASY # (01-09, 16-18)-----
- 99. NA
- 00. NOT USED; Inap, 8202, 9101, 9102

See ASY MC for combination codes.

9213 M3E. AGE (17-85, 99)

- 00. Inap, 8202, 9101, 9102

9214 M3E. ASY (01-50, 99)

- 00. Inap, 8202, 9101, 9102

9215 M3E. AGE + ASY (001-150, 999)

- 000. Inap, 8202, 9101, 9102

VAR #

- 9216 M3F. Can vested participants at their own discretion withdraw any of their voluntary contributions while still employed?
- 01. a - YES
 - 02. b - YES, AFTER AGE:
 - 03. c - YES, AFTER ASY:
 - 04. d - YES, AFTER AGE:
 - 05. e - YES, AFTER AGE:
 - 06. f - YES, AFTER AGE PLUS ASY EQUALS:
 - 07. g - NO, CANNOT WITHDRAW UNTIL RETIRE OR TERMINATE EMPLOYMENT
 - 97. z - OTHER
 - 99. NA
 - 00. Inap, 8202, 9101, 9102
- 9217 M3F. DEFINE ASY
- Code ASY # (01-09, 16-18)-----
- See ASY MC for combination codes.
- 99. NA
 - 00. Inap, 8202, 9101, 9102
- 9218 M3F. AGE (17-85, 99)
- 00. Inap, 8202, 9101, 9102
- 9219 M3F. ASY (01-50, 99)
- 00. Inap, 8202, 9101, 9102
- 9220 M3F. AGE + ASY (001-150, 999)
- 000. Inap, 8202, 9101, 9102

89 SCF -- PENSION PROVIDERS

Screens 97, 99, 101
(M3G - M3J)

VAR #

9701 M3G. Does this defined contribution pension plan include a
provision that the employer automatically matches all or
part of each participant's annual voluntary contributions?

1. YES

0 in 9702-9736 — 5. NO

& Scrns 98-102 — 9. NA

0. Inap, 8202, 9101

9702 M3G. SUMMARY: # of MVOL's

0 in — 1. ONE
Scrns 99-102

0 in — 2. TWO
Scrns 101-102

3. THREE

0. Inap, 8202, 9101, 9701

VAR #

MVOL1 = 9703-9736,	9801-9833
MVOL2 = 9903-9936,	10001-10033
MVOL3 = 10103-10136,	10201-10233

9703 M3G. EMPLOYER MATCH OF VOLUNTARY CONTRIBUTIONS -- LETTER

0 in 9706-9728 } 1. a - FIXED MATCH RATE: %

0 in 9705-9728 } 2. b - MATCHING IS DISCRETIONARY, AND DETERMINED ANNUALLY

0 in 9705 } 3. c - VARYING MATCH RATE: (in 1983 = Category #2)

0 in 9705-9728 } 7. z - OTHER

9. NA

0. Inap, 8202, 9101, 9701, 9702

9704 M3G. DEFINE ASY

Code ASY # (01-09, 16-18) } See ASY MC for combination codes.

99. NA

00. NOT USED; 8202, 9101, 9701, 9702, 9703

9705 M3G. If a: fixed percent rate: % to 2 decimals

0001-9500.

9995. 100%

9998. 200%

9999. NA

0000. Inap, 8202, 9101, 9701, 9702, 9703

VAR #

9706 M3G. If c: "\$/¢" BOX

- 1. \$/¢
- 2. % PAY

- 7. OTHER

- 9. NA

- 0. Inap, 8202, 9101, 9701, 9702, 9703

9707 M3G. If c: "PAY" BOX

- 1. PAY
- 2. AGE
- 3. ASY
- 4. AGE + ASY
- 5. % CONTRIBUTION

- 7. OTHER

- 9. NA

- 0. Inap, 8202, 9101, 9701, 9702, 9703

VAR #

9708(UNDER) M3G. If c: Dollar or % Field to 2 decimals

9711(FROM-MIN) 000 000 01 - 999 995 00.

9716(FROM) 999 999 99. NA

9721(FROM) 000 000 00. Inap, 8202, 9101, 9701, 9702, 9703

9726(OVER)

9709(UNDER) M3G. If c: PAY/AGE/ASY Field

9712(FROM-MIN) Code # given for PAY/AGE/ASY/AGE + ASY as a 6 digit
9714(TO) whole number (000 001 - 999 995)

9717(FROM) 999 999. NA

9719(TO) 000 000. Inap, 8202, 9101, 9701, 9702, 9703

9722(FROM)
9724(TO-MAX)

9727(OVER)

9710(UNDER) M3G. If c: % CONTRIB field to 2 decimals

9713(FROM-MIN) Code % CONTRIB (if approp.) 0001-9500
9715(TO)

9999. NA

9718(FROM)
9720(TO) 0000. Inap, 8202, 9101, 9701, 9702, 9703

9723(FROM)
9725(TO-MAX)

9728(OVER)

VAR #

- 9729 M3H. Is there a maximum on the amount the employer will contribute in matching funds?
- 1. a - YES, MAXIMUM DOLLAR CONTRIBUTION: \$
 - 2. b - YES, MAXIMUM PERCENTAGE PAY: %
 - 3. c - NO (ADDITIONAL) MAXIMUMS ON MATCHING VOLUNTARY CONTRIBUTIONS

 - 7. z - OTHER

 - 9. NA

 - 0. Inap, 8202, 9101, 9701, 9702, 9703
- 9730 M3H. \$ field (000 001 - 999 995, 999 999)
- 000 000. Inap, 8202, 9101, 9701, 9702, 9703
- 9731 M3H. % percent field to 2 decimals (0001-9500, 9999)
0000. Inap, 8202, 9101, 9701, 9702, 9703

VAR #

- 9732 M3J. To receive any of the matching employer contributions, are there any other special requirements?
- 01. a - NO OTHER SPECIAL REQUIREMENTS (EXCEPT MATCHING AMOUNTS)
 - 02. b - EMPLOYER APPROVAL REQUIRED
 - 03. c - PAST SERVICE REQUIRED
 - 04. d - IF PLAN PARTICIPANT ON:
 - 05. e - IF PLAN PARTICIPANT BEFORE:
 - 06. f - IF PLAN PARTICIPANT AFTER:
 - 07. g - IF PLAN PARTICIPANT FROM:/TO:

 - 97. z - OTHER

 - 99. NA

 - 00. Inap, 8202, 9101, 9701, 9702, 9703
- 9733 M3J. ON/BEFORE/AFTER/FROM: MONTH (01-12, 99)
- 00. Inap, 8202, 9101, 9701, 9702, 9703
- 9734 M3j. ON/BEFORE/AFTER/FROM: YEAR (01-95, 99)
- 00. Inap, 8202, 9101, 9701, 9702, 9703
- 9735 M3J. TO: MONTH (01-12, 99)
- 00. Inap, 8202, 9101, 9701, 9702, 9703
- 9736 M3J. TO: YEAR (01-95, 99)
- 00. Inap, 8202, 9101, 9701, 9702, 9703

89 SCF -- PENSION PROVIDERS

Screens 98, 100, 102
(M3K)

VAR #

9801 M3K. What is the vesting schedule that applies to these matching employer contributions?

- 0 in 9804-9833 --- 1. a - SAME AS: _____
- 0 in 9803-9833 --- 2. b - IMMEDIATE 100 % VESTING FROM TIME OF INITIAL PARTICIPATIONS
- 0 in 9803, 9811-9833 --- 3. c - CLIFF VESTING: VESTING INCREASES FROM 0% TO 100 % WHEN:
- 0 in 9803-9810 --- 4. d - STEP VESTING: BEGINS AT:
- 0 in 9803-9833 --- 5. e - NO VESTING SCHEDULE CITED
- 7. z - OTHER
- 9. NA
0. Inap, 8202, 9101, 9701, 9702

9802 M3K. DEFINE ASY

- Code ASY # (01-09, 16-18) --- See ASY MC for combination codes.
99. NA
00. NOT USED: Inap, 8202; 9101, 9701, 9702

VAR #

9803

M3K. SAME AS: _____

- 01. MMAN1
- 02. MMAN2
- 03. MMAN3

- 04. MVOL1
- 05. MVOL2
- 06. MVOL3

- 07. CTE1
- 08. CTE2
- 09. CTE3

- 10. COE1
- 11. COE2
- 12. COE3

- 13. Defined Benefit (DB)

Make Card

- 97. Other
- 99. NA
- 00. Inap, 8202, 9101, 9701, 9702, 9801

VAR #**CLIFF VESTING**

9804	<u>If c: AGE (17-85, 99)</u> 00. Inap, 8202, 9101, 9701, 9702, 9801
9805	<u>If c: ASY (01-50, 99)</u> 00. Inap, 8202, 9101, 9701, 9702, 9801
9806	<u>If c: AGE + ASY (001-150, 999)</u> 000. Inap, 8202, 9101, 9701, 9702, 9801
9807	<u>If c: AGE OR ASY - AGE (17-85, 99)</u> 00. Inap, 8202, 9101, 9701, 9702, 9801
9808	<u>If c: AGE OR ASY - ASY (01-50, 99)</u> 00. Inap, 8202, 9101, 9701, 9702, 9801
9809	<u>If c: AGE AND ASY - AGE (17-85, 99)</u> 00. Inap, 8202, 9101, 9701, 9702, 9801
9810	<u>If c: AGE AND ASY - ASY (01-50, 99)</u> 00. Inap, 8202, 9101, 9701, 9702, 9801

VAR #

STEP VESTING BEGINS AT _____% WHEN:
--

9811	<u> If d: % to 2 decimals (0001-9500, 9999)</u>
	0000. Inap, 8202, 9101, 9701, 9702, 9801
9812	<u> If d: AGE (17-85, 99)</u>
	00. Inap, 8202, 9101, 9701, 9702, 9801
9813	<u> If d: ASY (01-50, 99)</u>
	00. Inap, 8202, 9101, 9701, 9702, 9801
9814	<u> If d: AGE + ASY (001-150, 999)</u>
	000. Inap, 8202, 9101, 9701, 9702, 9801
9815	<u> If d: AGE OR ASY - AGE (17-85, 99)</u>
	00. Inap, 8202, 9101, 9701, 9702, 9801
9816	<u> If d: AGE OR ASY - ASY (01-50, 99)</u>
	00. Inap, 8202, 9101, 9701, 9702, 9801
9817	<u> If d: AGE AND ASY - AGE (17-85, 99)</u>
	00. Inap, 8202, 9101, 9701, 9702, 9801
9818	<u> If d: AGE AND ASY - ASY (01-50, 99)</u>
	00. Inap, 8202, 9101, 9701, 9702, 9801

VAR #

STEP VESTING REACHES _____% WHEN:
--

9819	<u> If d: % to 2 decimals (0001-9500, 9999)</u> 0000. Inap, 8202, 9101, 9701, 9702, 9801
9820	<u> If d: AGE (17-85, 99)</u> 00. Inap, 8202, 9101, 9701, 9702, 9801
9821	<u> If d: ASY (01-50, 99)</u> 00. Inap, 8202, 9101, 9701, 9702, 9801
9822	<u> If d: AGE + ASY (001-150, 999)</u> 000. Inap, 8202, 9101, 9701, 9702, 9801
9823	<u> If d: AGE OR ASY - AGE (17-85, 99)</u> 00. Inap, 8202, 9101, 9701, 9702, 9801
9824	<u> If d: AGE OR ASY - ASY (01-50, 99)</u> 00. Inap, 8202, 9101, 9701, 9702, 9801
9825	<u> If d: AGE AND ASY - AGE (17-85, 99)</u> 00. Inap, 8202, 9101, 9701, 9702, 9801
9826	<u> If d: AGE AND ASY - ASY (01-50, 99)</u> 00. Inap, 8202, 9101, 9701, 9702, 9801

VAR #

STEP VESTING REACHES 100 % WHEN:

9827	<u>If d: AGE (17-85, 99)</u>
	00. Inap, 8202, 9101, 9701, 9702, 9801
9828	<u>If d: ASY (01-50, 99)</u>
	00. Inap, 8202, 9101, 9701, 9702, 9801
9829	<u>If d: AGE + ASY (001-150, 999)</u>
	000. Inap, 8202, 9101, 9701, 9702, 9801
9830	<u>If d: AGE OR ASY - AGE (17-85, 99)</u>
	00. Inap, 8202, 9101, 9701, 9702, 9801
9831	<u>If d: AGE OR ASY - ASY (01-50, 99)</u>
	00. Inap, 8202, 9101, 9701, 9702, 9801
9832	<u>If d: AGE AND ASY - AGE (17-85, 99)</u>
	00. Inap, 8202, 9101, 9701, 9702, 9801
9833	<u>If d: AGE AND ASY - ASY (01-50, 99)</u>
	00. Inap, 8202, 9101, 9701, 9702, 9801

89 SCF -- PENSION PROVIDERS

Screens 103, 105, 107

Section R: Employer Contributions
(R1 - R2K)

VAR #

10301 R1. Aside from the above matching contributions, does the employer make any (other) regular annual contributions to each participants account?

1. YES

0 in 10302-10347
& Scrns 104-114

5. NO

9. NA

10302 R1a. Does this pension plan include any provisions that determine the total amount of the employer's annual contribution by company revenues, profits, assets, or other measures of company performance (this total is then allocated among participants, which may be based on the participant's PAY, AGE, ASY, hours worked, or other participant characteristics)?

0 in 10303

1. YES

5. NO

9. NA

0. Inap, 10301

VAR #

10303 R1b. Does this pension plan include any provisions that calculate the total amount of the employer's annual contribution as the sum of the contributions dues each participant, as determined by the participant's PAY, AGE, ASY, hours worked, or other participant characteristics?

0 in 10304-10347
& Scrns 104-108,
and V10901

1. YES

"5 NO" may not be coded--it should have signaled editor revisions only.

9. NA - exit this case and see supervisor

0. Inap, 10301, 10302

10304 R2. SUMMARY: # of CTE's

0 in Scrns
105-108

1. ONE

0 in Scrns
107-108

2. TWO

3. THREE

0. Inap, 10301, 10303

VAR #

CTE1 = V10305-10347, V10401-10438
CTE2 = V10505-10547, V10601-10638
CTE3 = V10705-10747, V10801-10838

10305

R2. On what basis is the total annual employer contribution (CTE) calculated?

- 01. a - PRE TAX PROFITS
- 02. b - POST TAX PROFITS
- 03. c - PROFITS--PRE TAX OR POST TAX NOT CITED

- 04. d - AGGREGATE PAYROLL
- 05. e - REVENUES
- 06. f - NET FINANCIAL ASSETS

- 07. g - CAPITAL INVESTMENTS
- 08. h - COMPANY NET WORTH
- 09. i - CHANGE IN COMPANY NET WORTH

- 10. j - CHANGE IN VALUE OF COMPANY STOCK
- 11. k - FORFEITURES (SEPARATE ADDITIONAL CONTRIBUTIONS)
- 12. l - POOL OF FIRM'S STOCK DETERMINED AT ONE POINT
IN TIME (new choice in 1990 version)

- 97. z - OTHER

- 99. NA

- 00. Inap, 10301, 10303, 10304

VAR #

- 10306 R2A. Is the total employer contribution calculated at a fixed dollar or percentage rate set in advance, or is the amount or rate of contribution determined on a yearly basis?
(ENTER IN SAME COLUMN AS ABOVE FOR EACH DEFINITION.)
1. a - FIXED DOLLAR AMOUNT: \$
 2. b - FIXED PERCENTAGE RATE: %
 3. c - AMOUNT OF CONTRIBUTION DETERMINED YEARLY

 7. z - OTHER

 9. NA

 0. Inap, 10301, 10303, 10304
- 10307 R2A. \$ field (000 001-999 995, 999 999)
000 000. Inap, 10301, 10303, 10304
- 10308 R2A. % Field to 2 decimals (0001-9500, 9999)
0000. Inap, 10301, 10303, 10304

VAR #

10309 R2B. What annual minimums apply to the total employer contributions?

- 1. a - NO MINIMUM; DOES NOT APPLY--FIXED CONTRIBUTION RATE
- 2. b - MINIMUM TOTAL DOLLARS: \$
- 3. c - MINIMUM PERCENTAGE: %

- 7. z - OTHER

- 9. NA

- 0. Inap, 10301, 10303, 10304

10310 R2B. \$ Field (000 001-999 995, 999 999)

000 000. Inap, 10301, 10303, 10304

10311 R2B. % Field to 2 decimals (0001-9500, 9999)

0000. Inap, 10301, 10303, 10304

VAR #

10312 R2C. Aside from IRS maximums, what annual maximums apply to the total employer contribution?

- 1. a - NO MAXIMUM; DOES NOT APPLY--FIXED CONTRIBUTION RATE
- 2. b - MAXIMUM TOTAL DOLLARS: \$
- 3. c - MAXIMUM PERCENTAGE: %

- 7. z - OTHER

- 9. NA

- 0. Inap, 10301, 10303, 10304

10313 R2C. \$ field (000 001 - 999 995, 999 999)

000 000. Inap, 10301, 10303, 10304

10314 R2C. % Field to 2 decimals (0001-9500, 9999)

0000. Inap, 10301, 10303, 10304

VAR #

10315

R2D. How is the total annual employer contribution allocated among the participants?

01. a - EQUALLY DIVIDED AMONG ALL PARTICIPANTS
02. b - PROPORTIONAL TO HOURS WORKED, NO MAXIMUM HOURS
03. c - PROPORTIONAL TO HOURS WORKED DURING YEAR, WITH MAXIMUM HOURS PER YEAR:
04. d - PROPORTIONAL TO CREDITED SERVICE YEARS, NO MAXIMUM SERVICE YEARS
05. e - PROPORTIONAL TO CREDITED SERVICE YEARS, WITH MAXIMUM NUMBER SERVICE YEARS:
06. f - PROPORTIONAL TO WAGES AND SALARIES PAID DURING YEAR, NO MAXIMUM
07. g - PROPORTIONAL TO WAGES AND SALARIES PAID DURING YEAR, WITH MAXIMUM WAGES & SALARY:
08. h - PROPORTIONAL TO WAGES AND SALARIES AT OR BELOW THE SWB
09. i - PROPORTIONAL TO WAGES AND SALARIES ABOVE THE SWB, NO MAXIMUM
10. j - PROPORTIONAL TO WAGES AND SALARIES ABOVE THE SWB, WITH MAXIMUM WAGES & SALARIES:
11. k - PROPORTIONAL TO WAGES AND SALARIES AND CREDITED SERVICE YEARS
12. l - PROPORTIONAL TO WAGES AND SALARIES AND CREDITED SERVICE YEARS, WITH MAXIMUM WAGES AND SALARIES: MAXIMUM CREDITED SERVICE YEARS:
13. m - PROPORTIONAL TO MANDATORY CONTRIBUTION:
14. n - PROPORTIONAL TO VOLUNTARY CONTRIBUTION:
15. o - PROPORTIONAL TO VOLUNTARY CONTRIBUTIONS: WITH MAXIMUM VOLUNTARY CONTRIBUTION:
16. p - PROPORTIONAL TO VOLUNTARY CONTRIBUTIONS: WITH MAX VOL AS A PERCENT OF PAY:
17. q - PROPORTIONAL TO TOTAL PARTICIPANT CONTRIBUTIONS, NO MAXIMUM
18. r - PROPORTIONAL TO TOTAL PARTICIPANT CONTRIBUTIONS, WITH MAX ON TOTAL CONTRIBUTION:
19. s - PROPORTIONAL TO TOTAL PARTICIPANT CONTRIBUTIONS, WITH MAX ON TOTAL AS PERCENT PAY:

97. z - OTHER

99. NA

00. Inap, 10301, 10303, 10304

VAR #

10316	<u>R2D.(c)</u>	<u>HOURS PER YEAR (0001-2496, 9999)</u>
		0000. Inap, 10301, 10303, 10304
10317	<u>R2D.(e,l)</u>	<u>SERVICE YEARS (01-50, 99)</u>
		00. Inap, 10301, 10303, 10304
10318	<u>R2D.(q,j,l,o,r)</u>	<u>\$ DOLLARS (000 001-999 995, 999 999)</u>
		000 000. Inap, 10301, 10303, 10304
10319	<u>R2D.(m)</u>	<u>MAN (01-03, 99)</u>
		51. Comb MAN1 + MAN2 (new choice in 1990 version)
		00. Inap, 10301, 10303, 10304
10320	<u>R2D.(n,o,p)</u>	<u>VOL (01-03, 99)</u>
		00. Inap, 10301, 10303, 10304
10321	<u>R2D.(p,s)</u>	<u>% PERCENT to 2 decimals (0001-9500, 9999)</u>
		0000. Inap, 10301, 10303, 10304

VAR #

10322 R2E. Do any of the above employer contribution formulas depend
on the Social Security taxable wage base (SWB)?

- 1. a - YES, ACTUAL SWB IN EFFECT EACH YEAR
- 2. b - YES, CAREER AVERAGE SWB CALCULATED EACH YEAR
- 3. c - YES, CAREER AVERAGE SWB ESTIMATED IN YEAR:

- 4. d - NO

- 7. z - OTHER

- 9. NA

- 0. Inap, 10301, 10303, 10304

10323 R2E. YEAR 19 (01-95, 99)

- 00. Inap, 10301, 10303, 10304

VAR #

- 10324 R2F. Are the above employer contribution formulas based only on specific calendar time periods, or on all years covered by this pension plan?

1. a - COVERS ALL YEARS: NO SPECIFIC DATES CITED.
 2. b - BEFORE:
 3. c - AFTER:
 4. d - FROM:
TO:
 7. z - OTHER
 9. NA
 0. Inap, 10301, 10303, 10304
- 10325 R2F. BEFORE/AFTER/FROM: MONTH (01-12, 99)

00. Inap, 10301, 10303, 10304
- 10326 R2F. BEFORE/AFTER/FROM: YEAR (01-95, 99)

00. Inap, 10301, 10303, 10304
- 10327 R2F. TO: MONTH (01-12, 99)

00. Inap, 10301, 10303, 10304
- 10328 R2F. TO: YEAR (01-95, 99)

00. Inap, 10301, 10303, 10304

VAR #

10329

R2G. Are participants eligible for employer contributions for each year they meet minimum employment requirements, or does the amount of the contribution depend on the actual number of hours worked each year?

01. a - FULL CONTRIBUTION FOR EACH YEAR EMPLOYED; NO MINIMUM WORK REQUIREMENTS
02. b - CONTRIBUTION EITHER FULL OR ZERO; FULL CONTRIBUTION IS GIVEN FOR EACH YEAR WORKED A MINIMUM OF: #HOURS PER YEAR
03. c - #WEEKS PER YEAR AND #HOURS PER WEEK
04. d - #MONTHS PER YEAR AND #HOURS PER MONTH
05. e - CONTRIBUTION A PRORATED FRACTION FROM ZERO TO ONE ASY; FULL CONTRIBUTION IS GIVEN FOR EACH YEAR WORKED A MINIMUM OF: #HOURS PER YEAR
06. f - #WEEKS PER YEAR AND #HOURS PER WEEK
07. g - #MONTHS PER YEAR AND #HOURS PER MONTH
08. h - CONTRIBUTION A PRORATED FRACTION FROM ZERO TO MORE THAN ONE ASY; FULL CONTRIBUTION GIVEN FOR EVERY: #HOURS PER YEAR
09. i - #WEEKS PER YEAR, AND #HOURS PER WEEK
10. j - #MONTHS PER YEAR, AND #HOURS PER MONTH
97. z - OTHER
99. NA
00. Inap, 10301, 10303, 10304

VAR #

10330 R2G. # HOURS PER YEAR (0001-2496, 9999) _____

0000. Inap, 10301, 10303, 10304

10331 R2G. # WEEKS PER YEAR (01-52, 99) _____

00. Inap, 10301, 10303, 10304

10332 R2G. # HOURS PER WEEK (01-48, 99) _____

00. Inap, 10301, 10303, 10304

10333 R2G. # MONTHS PER YEAR (01-12, 99) _____

00. Inap, 10301, 10303, 10304

10334 R2G. # HOURS PER MONTH (001-208, 999) _____

000. Inap, 10301, 10303, 10304

VAR #

- 10335 R2H. To receive any of the above employer contributions, are there any other special requirements?
- 01. a - NO OTHER SPECIAL REQUIREMENTS (EXCEPT MATCHING AMOUNTS)
 - 02. b - EMPLOYER APPROVAL REQUIRED
 - 03. c - PAST SERVICE REQUIRED
 - 04. d - IF PLAN PARTICIPANT ON:
 - 05. e - IF PLAN PARTICIPANT BEFORE:
 - 06. f - IF PLAN PARTICIPANT AFTER:
 - 07. g - IF PLAN PARTICIPANT FROM:/TO:

 - 97. z - OTHER

 - 99. NA

 - 00. Inap, 10301, 10303, 10304
- 10336 R2H. ON/BEFORE/AFTER/FROM: MONTH (01-12, 99)
- 00. Inap, 10301, 10303, 10304
- 10337 R2H. ON/BEFORE/AFTER/FROM: YEAR (01-95, 99)
- 00. Inap, 10301, 10303, 10304
- 10338 R2H. TO: MONTH (01-12, 99)
- 00. Inap, 10301, 10303, 10304
- 10339 R2H. TO: YEAR (01-95, 99)
- 00. Inap, 10301, 10303, 10304

VAR #

- 10340 R2J. When does the employer begin to make these contributions
on behalf of the participant?
1. a - AT ONSET OF EMPLOYMENT
 2. b - AFTER EMPLOYEE REACHES AGE:
 3. c - AFTER EMPLOYEE COMPLETES FIRST # YRS:
 4. d - AFTER EMPLOYEE REACHES AGE:
OR AFTER COMPLETES FIRST # YRS:
 5. e - AFTER EMPLOYEE REACHES AGE:
AND AFTER COMPLETES FIRST # YRS:
 7. z - OTHER
 9. NA
 0. Inap, 10301, 10303, 10304
- 10341 R2J. AGE (17-85, 99)
00. Inap, 10301, 10303, 10304
- 10342 R2J. # YRS (01-50, 99)
00. Inap, 10301, 10303, 10304

VAR #

- 10343 R2K. Is there a maximum age or service years after which employer contributions are no longer made on behalf of the participant?
1. a - YES, MAXIMUM AGE:
 2. b - YES, MAXIMUM ASY:
 3. c - YES, MAXIMUM AGE PLUS ASY
 4. d - YES, WHEN OVER MAXIMUM AGE:
 OR OVER MAXIMUM ASY:
 5. e - YES, WHEN OVER MAXIMUM AGE:
 AND OVER MAXIMUM ASY:
 6. f - NO
 7. z - OTHER
 9. NA
 0. Inap, 10301, 10303, 10304
- 10344 R2K. DEFINE ASY
- Code ASY # (01-09, 16-18)-----
- See ASY MC for combination codes.
99. NA
 00. Inap, 10301, 10303, 10304
- 10345 R2K. AGE (17-85, 99)
00. Inap, 10301, 10303, 10304
- 10346 R2K. ASY (01-50, 99)
00. Inap, 10301, 10303, 10304
- 10347 R2K. AGE + ASY (001-150, 999)
000. Inap, 10301, 10303, 10304

89 SCF -- PENSION PROVIDERS

Screens 104, 106, 108
(R2L - R2M)

VAR #

10401 R2L. What is the vesting schedule that applies to these employer contributions?

0 in
10404-10433

-----1. a - SAME AS: _____

0 in
10403-10433

-----2. b - IMMEDIATE 100% VESTING FROM TIME OF INITIAL PARTICIPATION

0 in 10403,
10411-10433

-----3. c - CLIFF VESTING: VESTING INCREASES FROM 0% TO 100% WHEN:

0 in
10403-10410

-----4. d - STEP VESTING:
BEGINS AT:

0 in
10403-10433

-----5. e - NO VESTING SCHEDULE CITED

-----7. z - OTHER

-----9. NA

0. Inap, 10301, 10303, 10304

10402 R2L. DEFINE ASY

Code ASY # (01-09, 16-18)-----

See ASY MC for
combination codes.

99. NA

00. NOT USED: Inap, 10301, 10303, 10304

VAR #

10403

R2L. SAME AS: _____

- 01. MMAN1
- 02. MMAN2
- 03. MMAN3

- 04. MVOL1
- 05. MVOL2
- 06. MVOL3

- 07. CTE1
- 08. CTE2
- 09. CTE3

- 10. COE1
- 11. COE2
- 12. COE3

- 13. Defined Benefit (DB)

Make Card

- 97. Other
- 99. NA
- 00. Inap, 10301, 10303, 10304

VAR #**CLIFF VESTING**

10404	<hr/> If c: AGE (17-85, 99) <hr/>
	00. Inap, 10301, 10303, 10304
10405	<hr/> If c: ASY (01-50, 99) <hr/>
	00. Inap, 10301, 10303, 10304
10406	<hr/> If c: AGE + ASY (001-150, 999) <hr/>
	000. Inap, 10301, 10303, 10304
10407	<hr/> If c: AGE OR ASY - AGE (17-85, 99) <hr/>
	00. Inap, 10301, 10303, 10304
10408	<hr/> If c: AGE OR ASY - ASY (01-50, 99) <hr/>
	00. Inap, 10301, 10303, 10304
10409	<hr/> If c: AGE AND ASY - AGE (17-85, 99) <hr/>
	00. Inap, 10301, 10303, 10304
10410	<hr/> If c: AGE AND ASY - ASY (01-50, 99) <hr/>
	00. Inap, 10301, 10303, 10304

VAR #

STEP VESTING BEGINS AT ____% WHEN:

10411	<hr/> If d: % to 2 decimals (0001-9500, 9999) <hr/> 0000. Inap, 10301, 10303, 10304
10412	<hr/> If d: AGE (17-85, 99) <hr/> 00. Inap, 10301, 10303, 10304
10413	<hr/> If d: ASY (01-50, 99) <hr/> 00. Inap, 10301, 10303, 10304
10414	<hr/> If d: AGE + ASY (001-150, 999) <hr/> 000. Inap, 10301, 10303, 10304
10415	<hr/> If d: AGE OR ASY - AGE (17-85, 99) <hr/> 00. Inap, 10301, 10303, 10304
10416	<hr/> If d: AGE OR ASY - ASY (01-50, 99) <hr/> 00. Inap, 10301, 10303, 10304
10417	<hr/> If d: AGE AND ASY - AGE (17-85, 99) <hr/> 00. Inap, 10301, 10303, 10304
10418	<hr/> If d: AGE AND ASY - ASY (01-50, 99) <hr/> 00. Inap, 10301, 10303, 10304

VAR #

STEP VESTING REACHES: ____% WHEN:
--

10419	<hr/> If d: % to 2 decimals (0001-9500, 9999) <hr/> 0000. Inap, 10301, 10303, 10304
10420	<hr/> If d: AGE (17-85, 99) <hr/> 00. Inap, 10301, 10303, 10304
10421	<hr/> If d: ASY (01-50, 99) <hr/> 00. Inap, 10301, 10303, 10304
10422	<hr/> If d: AGE + ASY (001-150, 999) <hr/> 000. Inap, 10301, 10303, 10304
10423	<hr/> If d: AGE OR ASY - AGE (17-85, 99) <hr/> 00. Inap, 10301, 10303, 10304
10424	<hr/> If d: AGE OR ASY - ASY (01-50, 99) <hr/> 00. Inap, 10301, 10303, 10304
10425	<hr/> If d: AGE AND ASY - AGE (17-85, 99) <hr/> 00. Inap, 10301, 10303, 10304
10426	<hr/> If d: AGE AND ASY - ASY (01-50, 99) <hr/> 00. Inap, 10301, 10303, 10304

VAR #**STEP VESTING REACHES 100% WHEN:**

10427	<hr/> If d: AGE (17-85, 99) <hr/>
	00. Inap, 10301, 10303, 10304
10428	<hr/> If d: ASY (01-50, 99) <hr/>
	00. Inap, 10301, 10303, 10304
10429	<hr/> If d: AGE + ASY (001-150, 999) <hr/>
	000. Inap, 10301, 10303, 10304
10430	<hr/> If d: AGE OR ASY - AGE (17-85, 99) <hr/>
	00. Inap, 10301, 10303, 10304
10431	<hr/> If d: AGE OR ASY - ASY (01-50, 99) <hr/>
	00. Inap, 10301, 10303, 10304
10432	<hr/> If d: AGE AND ASY - AGE (17-85, 99) <hr/>
	00. Inap, 10301, 10303, 10304
10433	<hr/> If d: AGE AND ASY - ASY (01-50, 99) <hr/>
	00. Inap, 10301, 10303, 10304

VAR #

10434 R2M. Can vested participants at their own discretion withdraw any of these employer contributions while still employed?

- 01. a - YES
- 02. b - YES, AFTER AGE:
- 03. c - YES, AFTER ASY:
- 04. d - YES, AFTER AGE: _____ OR ASY:
- 05. e - YES, AFTER AGE: _____ AND ASY:
- 06. f - YES, AFTER AGE PLUS ASY EQUALS:

- 07. g - NO, CANNOT WITHDRAW UNTIL RETIRE OR TERMINATE EMPLOYMENT

- 97. z - OTHER

- 99. NA

- 00. Inap, 10301, 10303, 10304

10435 R2M. DEFINE ASY

- Code ASY # (01-09, 16-18)-----
- 99. NA
- 00. Inap, 10301, 10303, 10304

See ASY MC for combination codes.

10436 R2M. AGE (17-85, 99)

- 00. Inap, 10301, 10303, 10304

10437 R2M. ASY (01-50, 99)

- 00. Inap, 10301, 10303, 10304

10438 R2M. AGE + ASY (001-150, 999)

- 000. Inap, 10301, 10303, 10304

89 SCF -- PENSION PROVIDERS

Screens 109, 111, 113
(R2N - R3F)

VAR #

10901 R2N. Does this pension plan include any provisions that calculate the total amount of the employer's annual contribution as the sum of the contributions due each participant, as determined by the participants' PAY, AGE, ASY, hours worked, or other participant characteristics?

1. YES

0 in
10902-10948
& Scrns 110-114

5. NO

9. NA

0. Inap, 10301, 10303

10902 R3. SUMMARY: # of COE's

0 in
Scrns 111-114

1. ONE

0 in
Scrns 113-114

2. TWO

3. THREE

0. Inap, 10301, 10901

VAR #

COE1 = V10903-10948, 11001-11038
COE2 = V11103-11148, 11201-11238
COE3 = V11303-11348, 11401-11438

10903 R3. On an annual basis, how are these (other) employer contributions (COE) calculated?

0 in 10908-10922	-----02. b - FIXED DOLLAR AMOUNT:
	-----03. c - FIXED PERCENTAGE OF PAY: UP TO MAXIMUM AMOUNT:
	-----04. d - FIXED PERCENTAGE PAY AT OR <u>BELOW</u> SWB:
	-----05. e - FIXED PERCENTAGE PAY <u>ABOVE</u> SWB:
	-----06. f - FIXED PERCENTAGE PAY <u>ABOVE</u> SWB: UP TO MAXIMUM CONTRIBUTION:

0 in 10906-10907	-----07. g - VARYING DOLLAR OR PERCENTAGE:
---------------------	--

0 in 10906-10922	-----97. z - OTHER
	-----99. NA

00. Inap, 10301, 10901, 10902

10904 R3. CHECK: PRE TAX

- 1. PRE TAX
- 2. POST TAX
- 9. NA
- 0. NOT CITED; Inap, 10301, 10901, 10902, 10903

10905 R3. DEFINE ASY

Code ASY # (01-09, 16-18)	-----	See ASY MC for combination codes.
---------------------------	-------	-----------------------------------

- 99. NA
- 00. NOT USED; Inap, 10301, 10901, 10902, 10903

VAR #

10910(UNDER) R3. g: \$ or % Field to 2 decimals _____

10912(FROM-MIN) 000 000 01 - 999 995 00.

10915(FROM) 999 999 99. NA

10918(FROM) 000 000 00. Inap, 10301, 10901, 10902, 10903

10921(OVER)

10911(UNDER) R3. q: PAY/AGE/ASY Field _____

10913(FROM-MIN) Code # given as 6 digit whole number (000 001-999 995)

10914(TO)

000 000. Inap, 10301, 10901, 10902, 10903

10916(FROM)

10917(TO)

10919(FROM)

10920(TO-MAX)

10922(OVER)

10923 R3A. Do any of the (other) employer contribution formulas depend on the Social Security taxable wage base (SWB)?

1. a - YES, ACTUAL SWB IN EFFECT EACH YEAR
2. b - YES, CAREER AVERAGE SWB CALCULATED EACH YEAR
3. c - YES, CAREER AVERAGE SWB ESTIMATED IN YEAR: 19____

4. d - NO

7. z - OTHER

9. NA

0. Inap, 10301, 10901, 10902

10924 R3A. YEAR 19 (01-95, 99) _____

00. Inap, 10301, 10901, 10902

VAR #

10930

R3C. Are participants eligible for employer contributions for each year they meet minimum employment requirements, or does the amount of the contribution depend on the actual number of hours worked each year?

- 01. a - FULL CONTRIBUTION FOR EACH YEAR EMPLOYED; NO MINIMUM WORK REQUIREMENTS
- 02. b - CONTRIBUTION EITHER FULL OR ZERO; FULL CONTRIBUTION IS GIVEN FOR EACH YEAR WORKED A MINIMUM OF: #HOURS PER YEAR
- 03. c - #WEEKS PER YEAR, AND #HOURS PER WEEK
- 04. d - #MONTHS PER YEAR, AND #HOURS PER MONTH
- 05. e - CONTRIBUTION A PRORATED FRACTION FROM ZERO TO ONE ASY; FULL CONTRIBUTION IS GIVEN FOR EACH YEAR WORKED A MINIMUM OF: #HOURS PER YEAR
- 06. f - #WEEKS PER YEAR, AND #HOURS PER WEEK
- 07. g - #MONTHS PER YEAR, AND #HOURS PER MONTH
- 08. h - CONTRIBUTION A PRORATED FRACTION FROM ZERO TO MORE THAN ONE ASY; FULL CONTRIBUTION GIVEN FOR EVERY: #HOURS PER YEAR
- 09. i - #WEEKS PER YEAR, AND #HOURS PER WEEK
- 10. j - #MONTHS PER YEAR, AND #HOURS PER MONTH
- 97. z - OTHER
- 99. NA
- 00. Inap, 10301, 10901, 10902

VAR #

- 10931 R3C. #HOURS PER YEAR (0001-2496, 9999)
 0000. Inap, 10301, 10901, 10902

- 10932 R3C. #WEEKS PER YEAR (01-52, 99)
 00. Inap, 10301, 10901, 10902

- 10933 R3C. #HOURS PER WEEK (01-48, 99)
 00. Inap, 10301, 10901, 10902

- 10934 R3C. #MONTHS PER YEAR (01-12, 99)
 00. Inap, 10301, 10901, 10902

- 10935 R3C. #HOURS PER MONTH (001-208, 999)
 000. Inap, 10301, 10901, 10902

VAR #

- 10936 R3D. To receive any of the above employer contributions, are there any other special requirements?
- 01. a - NO OTHER SPECIAL REQUIREMENTS (EXCEPT MATCHING AMOUNTS)
 - 02. b - EMPLOYER APPROVAL REQUIRED
 - 03. c - PAST SERVICE REQUIRED
 - 04. d - IF PLAN PARTICIPANT ON:
 - 05. e - IF PLAN PARTICIPANT BEFORE:
 - 06. f - IF PLAN PARTICIPANT AFTER:
 - 07. g - IF PLAN PARTICIPANT FROM:/TO:

 - 97. z - OTHER

 - 99. NA

 - 00. Inap, 10301, 10901, 10902
- 10937 R3D. ON/BEFORE/AFTER/FROM: MONTH (01-12, 99)
- 00. Inap, 10301, 10901, 10902
- 10938 R3D. ON/BEFORE/AFTER/FROM: YEAR (01-95, 99)
- 00. Inap, 10301, 10901, 10902
- 10939 R3D. TO: MONTH (01-12, 99)
- 00. Inap, 10301, 10901, 10902
- 10940 R3D. TO: YEAR (01-95, 99)
- 00. Inap, 10301, 10901, 10902

VAR #

10941 R3E. When does the employer begin to make these (other) regular annual contributions on behalf of the participant?

- 1. a - AT ONSET OF EMPLOYMENT
- 2. b - AFTER EMPLOYEE REACHES AGE:
- 3. c - AFTER EMPLOYEE COMPLETES FIRST # YRS:
- 4. d - AFTER EMPLOYEE REACHES AGE:
OR AFTER COMPLETES FIRST # YRS:
- 5. e - AFTER EMPLOYEE REACHES AGE:
AND AFTER COMPLETES FIRST # YRS:

- 7. z - OTHER

- 9. NA

- 0. Inap, 10301, 10901, 10902

10942 R3E. AGE (17-85, 99)

- 00. Inap, 10301, 10901, 10902

10943 R3E. YEARS (01-50, 99)

- 00. Inap, 10301, 10901, 10902

VAR #

- 10944 R3F. Is there a maximum age or service years after which participants no longer receive these (other) regular employer contributions?
1. a - YES, MAXIMUM AGE:
 2. b - YES, MAXIMUM ASY:
 3. c - YES, MAXIMUM AGE PLUS ASY:
 4. d - YES, WHEN OVER MAXIMUM AGE:
 OR OVER MAXIMUM ASY:
 5. e - YES, WHEN OVER MAXIMUM AGE:
 AND OVER MAXIMUM ASY:
 6. f - NO
 7. z - OTHER
 9. NA
 0. Inap, 10301, 10901, 10902
- 10945 R3F. DEFINE ASY
- Code ASY # (01-09, 16-18)-----
- See ASY MC for combination codes.
99. NA
 00. NOT USED; Inap, 10301, 10901, 10902
- 10946 R3F. AGE (17-85, 99)
00. Inap, 10301, 10901, 10902
- 10947 R3F. ASY (01-50, 99)
00. Inap, 10301, 10901, 10902
- 10948 R3F. AGE + ASY (001-150, 999)
000. Inap, 10301, 10901, 10902

89 SCF -- PENSION PROVIDERS

Screens 110, 112, 114
(R3G - R3H)

VAR #

11001 R3G. What is the vesting schedule that applies to these other employer contributions?

0 in
11004-11033

-----1. a - SAME AS: _____

0 in
11003-11033

-----2. b - IMMEDIATE 100% VESTING FROM TIME OF INITIAL PARTICIPATIONS

0 in 11003,
11011-11033

-----3. c - CLIFF VESTING: VESTING INCREASES FROM 0% TO 100% WHEN:

0 in
11003-11010

-----4. d - STEP VESTING:
BEGINS AT:

0 in
11003-11033

-----5. e - NO VESTING SCHEDULE CITED

-----7. z - OTHER

-----9. NA

0. Inap, 10301, 10901, 10902

11002 R3G. DEFINE ASY

Code ASY # (01-09, 16-18)-----

See ASY MC for
combination codes.

99. NA

00. NOT USED; Inap, 10301, 10901, 10902, 11001

VAR #

11003

R3G. SAME AS: _____

- 01. MMAN1
- 02. MMAN2
- 03. MMAN3

- 04. MVOL1
- 05. MVOL2
- 06. MVOL3

- 07. CTE1
- 08. CTE2
- 09. CTE3

- 10. COE1
- 11. COE2
- 12. COE3

- 13. Defined Benefit (DB)

Make Card

- 97. Other
- 99. NA
- 00. Inap, 10301, 10901, 10902, 11001

VAR #**CLIFF VESTING**

11004	<hr/> If c: AGE (17-85, 99) <hr/>
	00. Inap, 10301, 10901, 10902, 11001
11005	<hr/> If c: ASY (01-50, 99) <hr/>
	00. Inap, 10301, 10901, 10902, 11001
11006	<hr/> If c: AGE + ASY (001-150, 999) <hr/>
	000. Inap, 10301, 10901, 10902, 11001
11007	<hr/> If c: AGE OR ASY - AGE (17-85, 99) <hr/>
	00. Inap, 10301, 10901, 10902, 11001
11008	<hr/> If c: AGE OR ASY - ASY (01-50, 99) <hr/>
	00. Inap, 10301, 10901, 10902, 11001
11009	<hr/> If c: AGE AND ASY - AGE (17-85, 99) <hr/>
	00. Inap, 10301, 10901, 10902, 11001
11010	<hr/> If c: AGE AND ASY - ASY (01-50, 99) <hr/>
	00. Inap, 10301, 10901, 10902, 11001

VAR #

STEP VESTING BEGINS AT ____% WHEN:

11011	<hr/> If d: % to 2 decimals (0001-9500, 9999) <hr/> 0000. Inap, 10301, 10901, 10902, 11001
11012	<hr/> If d: AGE (17-85, 99) <hr/> 00. Inap, 10301, 10901, 10902, 11001
11013	<hr/> If d: ASY (01-50, 99) <hr/> 00. Inap, 10301, 10901, 10902, 11001
11014	<hr/> If d: AGE + ASY (001-150, 999) <hr/> 000. Inap, 10301, 10901, 10902, 11001
11015	<hr/> If d: AGE OR ASY - AGE (17-85, 99) <hr/> 00. Inap, 10301, 10901, 10902, 11001
11016	<hr/> If d: AGE OR ASY - ASY (01-50, 99) <hr/> 00. Inap, 10301, 10901, 10902, 11001
11017	<hr/> If d: AGE AND ASY - AGE (17-85, 99) <hr/> 00. Inap, 10301, 10901, 10902, 11001
11018	<hr/> If d: AGE AND ASY - ASY (01-50, 99) <hr/> 00. Inap, 10301, 10901, 10902, 11001

VAR #

STEP VESTING REACHES: _____% WHEN:

11019	<u> If d: % to 2 decimals (0001-9500, 9999)</u> 0000. Inap, 10301, 10901, 10902, 11001
11020	<u> If d: AGE (17-85, 99)</u> 00. Inap, 10301, 10901, 10902, 11001
11021	<u> If d: ASY (01-50, 99)</u> 00. Inap, 10301, 10901, 10902, 11001
11022	<u> If d: AGE + ASY (001-150, 999)</u> 000. Inap, 10301, 10901, 10902, 11001
11023	<u> If d: AGE OR ASY - AGE (17-85, 99)</u> 00. Inap, 10301, 10901, 10902, 11001
11024	<u> If d: AGE OR ASY - ASY (01-50, 99)</u> 00. Inap, 10301, 10901, 10902, 11001
11025	<u> If d: AGE AND ASY - AGE (17-85, 99)</u> 00. Inap, 10301, 10901, 10902, 11001
11026	<u> If d: AGE AND ASY - ASY (01-50, 99)</u> 00. Inap, 10301, 10901, 10902, 11001

VAR #**STEP VESTING REACHES 100% WHEN:**

11027	<u>If d: AGE (17-85, 99)</u>
	00. Inap, 10301, 10901, 10902, 11001
11028	<u>If d: ASY (01-50, 99)</u>
	00. Inap, 10301, 10901, 10902, 11001
11029	<u>If d: AGE + ASY (001-150, 999)</u>
	000. Inap, 10301, 10901, 10902, 11001
11030	<u>If d: AGE OR ASY - AGE (17-85, 99)</u>
	00. Inap, 10301, 10901, 10902, 11001
11031	<u>If d: AGE OR ASY - ASY (01-50, 99)</u>
	00. Inap, 10301, 10901, 10902, 11001
11032	<u>If d: AGE AND ASY - AGE (17-85, 99)</u>
	00. Inap, 10301, 10901, 10902, 11001
11033	<u>If d: AGE AND ASY - ASY (01-50, 99)</u>
	00. Inap, 10301, 10901, 10902, 11001

VAR #

11034 R3H. Can vested participants at their own discretion withdraw any of these employer contributions while still employed?

- 01. a - YES
- 02. b - YES, AFTER AGE:
- 03. c - YES, AFTER ASY:
- 04. d - YES, AFTER AGE: OR ASY:
- 05. e - YES, AFTER AGE: AND ASY:
- 06. f - YES, AFTER AGE PLUS ASY EQUALS:
- 07. g - NO, CANNOT WITHDRAW UNTIL RETIRE OR TERMINATE EMPLOYMENT
- 97. z - OTHER
- 99. NA
- 00. Inap, 10301, 10901, 10902

11035 R3H. DEFINE ASY

Code ASY # (01-09, 16-18)-----

See ASY MC for combination codes.

- 99. NA
- 00. Inap, 10301, 10901, 10902

11036 R3H. AGE (17-85, 99)

- 00. Inap, 10301, 10901, 10902

11037 R3H. ASY (01-50, 99)

- 00. Inap, 10301, 10901, 10902

11038 R3H. AGE + ASY (001-150, 999)

- 000. Inap, 10301, 10901, 10902

89 SCF -- PENSION PROVIDERS

Screens 115-117

Section S: Retirement Benefits
(S1 - S1C)

VAR #

11501 S1. Does the employer make any lump-sum contributions at the time of retirement to participants that qualify for normal retirement, including any contributions to achieve some minimum retirement benefit?

1. YES

0 in
11502-11529 &
Scrns 116-117

-----5. NO

-----9. NA

11502 S1A. SUMMARY: # of CLS's

0 in
11603-11629,
11703-11729

-----1. ONE

0 in
11703-11729

-----2. TWO

3. THREE

0. Inap, 11401

VAR #

11504 S1A. CHECK: PRE TAX
 1. PRE TAX
 2. POST TAX

 9. NA

 0. NOT CITED; Inap, 11501, 11502, 11503

11505 S1A. DEFINE ASY
 Code ASY # (01-09, 16-18)-----
 99. NA
 00. NOT USED; Inap, 11501, 11502, 11503

See ASY MC for
combination codes.

11506 S1A. If a-f, h-j: \$ Dollar field (000 000-999 995, 999 999)
 999 996. NO MAXIMUM
 000 000. Inap, 11501, 11502, 11503

11507 S1A. If a-f, h-j: % Percent field to 2 decimals
 (0001-9501, 9999)
 0000. Inap, 11501, 11502, 11503

VAR #

11510(UNDER) S1A. If g: \$ or % Field to 2 decimals
(000 000 01-999 995 00,999 999 99)

11512(FROM-MIN) 000 000 00. Inap, 11501, 11502, 11503

11515(FROM)

11518(FROM)

11521(OVER)

11511(UNDER) S1A.If q: PAY/AGE/ASY Field (000 001-999 995, 999 999)

11513(FROM-MIN) Code number given as a 6 digit whole number

11514(TO) 000 000. Inap, 11501, 11502, 11503

11516(FROM)

11517(TO)

11519(FROM)

11520(TO-MAX)

11522(OVER)

11523 S1B. Do any of the above lump-sum contribution formulas depend
on the Social Security taxable wage base (SWB)?

1. a - YES, ACTUAL SWB IN EFFECT AT RETIREMENT
2. b - YES, CAREER AVERAGE SWB CALCULATED AT RETIREMENT
3. c - YES, CAREER AVERAGE SWB ESTIMATED IN YEAR:

4. d - NO

7. z - OTHER

9. NA

0. Inap, 11501, 11502, 11503

11524 S1B. YEAR (01-95, 99)

00. Inap, 11501, 11502, 11503

VAR #

- 11525 S1C. To receive the lump-sum employer contributions, are there
any other special requirements?
- 01. a - NO OTHER SPECIAL REQUIREMENTS (EXCEPT MATCHING AMOUNTS)
 - 02. b - EMPLOYER APPROVAL REQUIRED
 - 03. c - PAST SERVICE REQUIRED
 - 04. d - IF PLAN PARTICIPANT ON:
 - 05. e - IF PLAN PARTICIPANT BEFORE:
 - 06. f - IF PLAN PARTICIPANT AFTER:
 - 07. g - IF PLAN PARTICIPANT FROM:/TO:

 - 97. z - OTHER

 - 99. NA

 - 00. Inap, 11501, 11502, 11503
- 11526 S1C. ON/BEFORE/AFTER/FROM: MONTH (01-12, 99)
- 00. Inap, 11501, 11502, 11503
- 11527 S1C. ON/BEFORE/AFTER/FROM: YEAR (01-95, 99)
- 00. Inap, 11501, 11502, 11503
- 11528 S1C. TO: MONTH (01-12, 99)
- 00. Inap, 11501, 11502, 11503
- 11529 S1C. TO: YEAR (01-95, 99)
- 00. Inap, 11501, 11502, 11503

89 SCF -- PENSION PROVIDERS

Screen 118
(S2 - S3)

VAR #

11801- S2. Express how contributions from all sources to each
11808 participant are determined, including any other minimums
or maximums on contributions, using the terms defined in
the above questions (MAN, MMAN, VOL, VMOL, CTE, COE, CLS),
and standard notation.
CNRT#1= _____

11809 S2A. Does this pension plan specify the rate at which these
contributions accrue interest?

0 in
11810-11811

1. YES
5. NO
9. NA

IF S2A-S2B DO NOT
APPEAR IN THE I'W YOU
ARE CODING - CODE 9
AND MAKE CARD.

11810 S2B. How is the annual rate determined?

1. a - FIXED RATE: _____ x _____%
2. b - VARYING RATE DEPENDING ON COMPANY PERFORMANCE,
NO MIN. RATE
3. c - VARYING RATE DEPENDING ON COMPANY PERFORMANCE,
WITH MIN.: _____ x _____%
4. d - VARYING RATE DEPENDING ON ACTUAL RETURNS ON
INVESTED FUNDS
7. z - OTHER
9. NA
0. Inap, 11809

VAR #

11811 S2B. % Percent to 2 decimals (0001-9501, 9999)

0000. Inap, 11809

CRAS1 = V11812-11830
CRAS2 = V11912-11930

VAR #

S3. Aside from vesting requirements, what minimum combinations of age and actual service years are required (CRAS) to receive annuity benefits from this defined contribution plan?

11812

S3. DEFINE ASY

Code ASY # (01-09, 16-18)

See ASY MC for combination codes.

99. NA

00. NOT USED

11813

S3-a. NO MINIMUM AGE OR ASY

1. "a" Checked

9. NA

0. "a" not checked

11814

S3-b. MINIMUM AGE OF: AND NO MINIMUM ASY

Code age given (17-85)

99. NA

00. Inap

11815

S3-c. MINIMUM ASY OF: AND NO MINIMUM AGE

Code ASY (01-50)

99. NA

00. Inap

VAR #

11816 S3-d. MINIMUM AGE OF: (17-85, 99)

00. Inap

11817 S3-d. AND MINIMUM ASY OF: (01-50, 99)

00. Inap

11818 S3-e. MINIMUM AGE OF: (17-85, 99)

00. Inap

11819 S3-e. AND MINIMUM ASY OF: (01-50, 99)

00. Inap

11820 S3-f. MINIMUM AGE OF: (17-85, 99)

00. Inap

11821 S3-f. AND MINIMUM ASY OF: (01-50, 99)

00. Inap

11822 S3-g. AGE PLUS ASY OF: , NO MIN AGE OR ASY

Code AGE + ASY (001-150)

999. NA

000. Inap

VAR #

11823 S3-h. AGE PLUS ASY OF: (001-150, 999)
 000. Inap

11824 S3-h. AND MINIMUM AGE OF: (17-85, 99)
 00. Inap

11825 S3-i. AGE PLUS ASY OF: (001-150, 999)
 000. Inap

11826 S3-i. AND MINIMUM ASY OF: (01-50, 99)
 00. Inap

11827 S3-j. AGE PLUS ASY OF: (001-150, 999)
 000. Inap

11828 S3-j. AND MINIMUM AGE OF: (17-85, 99)
 00. Inap

11829 S3-j. AND MINIMUM ASY OF: (01-50, 99)
 00. Inap

11830 S3-z. OTHER
 1. "z" is checked
 9. NA
 0. "z" not checked

89 SCF -- PENSION PROVIDERS

Screen 119
(S4, T1 - T1A)

VAR #

S4. For vested participants that terminate employment before retirement, when are they eligible to receive regular annuity payments based on all contributions to their accounts, including accrued interest?

11912

S4. DEFINE ASY

Code ASY # (01-09, 16-18)

See ASY MC for
combination codes.

99. NA

00. NOT USED

11913

S4-a. NO MINIMUM AGE OR ASY

1. "a" Checked

9. NA

0. "a" not checked

11914

S4-b. MINIMUM AGE OF: AND NO MINIMUM ASY

Code age given (17-85)

99. NA

00. Inap

11915

S4-c. MINIMUM ASY OF: AND NO MINIMUM AGE

Code ASY (01-50)

99. NA

00. Inap

VAR #

11916 S4-d. MINIMUM AGE OF: (17-85, 99)

00. Inap

11917 S4-d. AND MINIMUM ASY OF: (01-50, 99)

00. Inap

11918 S4-e. MINIMUM AGE OF: (17-85, 99)

00. Inap

11919 S4-e. AND MINIMUM ASY OF: (01-50, 99)

00. Inap

11920 S4-f. MINIMUM AGE OF: (17-85, 99)

00. Inap

11921 S4-f. AND MINIMUM ASY OF: (01-50, 99)

00. Inap

11922 S4-g. AGE PLUS ASY OF: , NO MIN AGE OR ASY

Code AGE + ASY (001-150)

999. NA

000. Inap

VAR #

11923 S4-h. AGE PLUS ASY OF: (001-150, 999)
 000. Inap

11924 S4-h. AND MINIMUM AGE OF: (17-85, 99)
 00. Inap

11925 S4-i. AGE PLUS ASY OF: (001-150, 999)
 000. Inap

11926 S4-i. AND MINIMUM ASY OF: (01-50, 99)
 00. Inap

11927 S4-j. AGE PLUS ASY OF: (001-150, 999)
 000. Inap

11928 S4-j. AND MINIMUM AGE OF: (17-85, 99)
 00. Inap

11929 S4-j. AND MINIMUM ASY OF: (01-50, 99)
 00. Inap

11930 S4-z. OTHER
 1. "z" is checked
 9. NA
 0. "z" not checked

VAR #

11931 T1. Are participants in this pension plan subject to a mandatory retirement age?

1. YES

0 in 11932

5. NO

9. NA

11932 T1A. What is the mandatory retirement age? (17-85, 99)

00. Inap, 11931

89 SCF -- PENSION PROVIDERS

Screens 120-122
(T2 - T3B)

VAR #

12001 T2. For participants that retire earlier or later than the normal retirement date, does the employer make any additional lump-sum contribution to the participant's account?

1. YES

0 in
12002-12029 &
Scrns 121-122

-----5. NO

-----9. NA

12002 T3. SUMMARY: # of CLS's

0 in
12103-12129,
12203-12229

-----1. ONE

0 in
12203-12229

-----2. TWO

3. THREE

0. Inap, 12001

VAR #

CLS4 = 12003-12029
CLS5 = 12103-12129
CLS6 = 12203-12229

12003 T3. How is the additional lump-sum contribution (CLS) determined?

0 in
12008-12029

- 02. b - FIXED DOLLAR AMOUNT:
- 03. c - FIXED PERCENTAGE OF PAY:
 UP TO MAXIMUM AMOUNT:
- 04. d - FIXED PERCENTAGE PAY AT OR BELOW SWB:
- 05. e - FIXED PERCENTAGE PAY ABOVE SWB:
- 06. f - FIXED PERCENTAGE PAY ABOVE SWB:
 UP TO MAXIMUM CONTRIBUTION:

0 in
12006-12007

- 07. g - VARYING DOLLAR OR PERCENTAGE:

0 in
12008-12019

- 08. h - AUGMENT TO ACHIEVE MINIMUM ACCOUNT BALANCE OF:
- 09. i - AUGMENT TO ACHIEVE A MINIMUM REGULAR PAYMENT OF:
- 10. j - AUGMENT TO ACHIEVE A MINIMUM REGULAR PAYMENT OF:
 FINAL PAY

0 in
12006-12022

- 11. k - AUGMENT TO REPLACE SS UNTIL ELIGIBLE FULL BENEFITS
- 12. l - AUGMENT TO REPLACE SS UNTIL ELIGIBLE REDUCED BENEFITS
- 13. m - AUGMENT TO REPLACE SS, FULL OR REDUCED NOT CITED
- 97. z - OTHER
- 99. NA

00. Inap, 12001, 12002

VAR #

12004 T3. CHECK: PRE TAX
 1. PRE TAX
 2. POST TAX

 9. NA

 0. NOT CITED; Inap, 12001, 12002, 12003

12005 T3. DEFINE ASY
 Code ASY # (01-09, 16-18)-----
 99. NA
 00. NOT USED; Inap, 12001, 12002, 12003

See ASY MC for
combination codes.

12006 T3. If b-f, h-j: \$ Dollar field (000 000-999 995, 999 999)
 999 996. NO MAXIMUM
 000 000. Inap, 12001, 12002, 12003

12007 T3. If b-f, h-j: % Percent field to 2 decimals (0001-9500,
 9999)
 0000. Inap, 12001, 12002, 12003

VAR #

12008 T3. If g: "\$ AMOUNT" BOX _____
 1. \$ AMOUNT
 2. % PAY
 3. % (PAY > SWB)
 4. % (PAY ≤ SWB)

 7. OTHER

 9. NA

 0. Inap, 12001, 12002, 12003

12009 T3. If g: "PAY" BOX _____
 1. PAY
 2. AGE
 3. ASY
 4. AGE + ASY

 7. OTHER

 9. NA

 0. Inap, 12001, 12002, 12003

VAR #

12010(UNDER) T3. \$ or % Field to 2 decimals (000 000 01-999 995 00, 999 999 99)

12012(FROM-MIN)

000 000 00. Inap, 12001, 12002, 12003

12015(FROM)

12018(FROM)

12021(OVER)

12011(UNDER) T3. PAY/AGE/ASY Field (000 001-999 995, 999 999)

12013(FROM-MIN)

Code number given as a 6 digit whole number

12014(TO)

000 000. Inap, 12001, 12002, 12003

12016(FROM)

12017(TO)

12019(FROM)

12020(TO-MAX)

12022(OVER)

12023 T3A. Do any of the above lum-sum contribution formulas depend on the Social Security taxable wage base (SWB)?

1. a - YES, ACTUAL SWB IN EFFECT AT RETIREMENT
2. b - YES, CAREER AVERAGE SWB CALCULATED AT RETIREMENT
3. c - YES, CAREER AVERAGE SWB ESTIMATED IN YEAR:

4. d - NO

7. z - OTHER

9. NA

0. Inap, 12001, 12002, 12003

12024 T3A. YEAR (01-95, 99)

00. Inap, 12001, 12002, 12003

VAR #

- 12025 T3B. To receive the lump-sum employer contributions, are there
any other special requirements?
- 01. a - NO OTHER SPECIAL REQUIREMENTS (EXCEPT MATCHING AMOUNTS)
 - 02. b - EMPLOYER APPROVAL REQUIRED
 - 03. c - PAST SERVICE REQUIRED
 - 04. d - IF PLAN PARTICIPANT ON:
 - 05. e - IF PLAN PARTICIPANT BEFORE:
 - 06. f - IF PLAN PARTICIPANT AFTER:
 - 07. g - IF PLAN PARTICIPANT FROM:/TO:

 - 97. z - OTHER

 - 99. NA

 - 00. Inap, 12001, 12002, 12003
- 12026 T3B. ON/BEFORE/AFTER/FROM: MONTH (01-12, 99)
- 00. Inap, 12001, 12002, 12003
- 12027 T3B. ON/BEFORE/AFTER/FROM: YEAR (01-95, 99)
- 00. Inap, 12001, 12002, 12003
- 12028 T3B. TO: MONTH (01-12, 99)
- 00. Inap, 12001, 12002, 12003
- 12029 T3B. TO: YEAR (01-95, 99)
- 00. Inap, 12001, 12002, 12003

89 SCF -- PENSION PROVIDERS

Screen 123

Section W: Disability, Death and Survivor Benefits
(W1 - W1B)

VAR #

12301 W1. Does this pension plan include any special provisions for
disability retirement benefits?

1. YES

0 in
12302-12311 &
Scrns 124-126

5. NO

9. NA

VAR #

12302

W1A. Does this pension plan include a provision that increases the vesting percentage for participants that were not fully vested at the time of disablement?

- 01. a - YES, INCREASED TO 100% AT TIME OF DISABLEMENT
- 02. b - YES, INCREASED TO 100% WHEN ABOVE MINIMUM AGE: _____
- 03. c - YES, INCREASED TO 100% WHEN ABOVE MINIMUM ASY: _____
- 04. d - YES, INCREASED TO 100% WHEN ABOVE MINIMUM AGE + ASY: _____
- 05. e - YES, INCREASED TO 100% WHEN ABOVE MINIMUM AGE: _____
OR ABOVE MINIMUM ASY: _____
- 06. f - YES, INCREASED TO 100% WHEN ABOVE MINIMUM AGE: _____
AND ABOVE MINIMUM ASY: _____
- 07. g - NO, VESTING PERCENTAGE IS NOT INCREASED ABOVE AMOUNT CALCULATED AT TIME OF DISABLEMENT
- 97. z - OTHER
- 99. NA
- 00. Inap, 12301

12303

W1A. DEFINE ASY_____

Code ASY # (01-09, 16-18)_____

See ASY MC for combination codes.

- 99. NA
- 00. NOT USED; Inap, 12301

VAR #

12304 W1A. AGE (17-85, 99)

 00. Inap, 12301

12305 W1A. ASY (01-50, 99)

 00. Inap, 12301

12306 W1A. AGE + ASY (001-150, 999)

 000. Inap, 12301

VAR #

- 12307 W1B. After disablement, do participants continue to accrue service credit until they are eligible for early or normal retirement benefits, or are no additional service years credited after the time of disablement?

1. a - ACCRUE ONE ASY FOR EACH YEAR DISABLED, NO MAXIMUM
 2. b - ACCRUE ONE ASY FOR EACH YEAR, TO MAXIMUM AGE: _____
 3. c - ACCRUE ONE ASY FOR EACH YEAR, TO MAXIMUM
 ADDITIONAL ASY: _____
 4. d - ACCRUE ONE ASY FOR EACH YEAR, TO MAXIMUM TOTAL
 ASY: _____
 5. e - ACCRUE ONE ASY FOR EACH YEAR, TO TOTAL
 AGE+ASY: _____
 6. f - NO ADDITIONAL SERVICE YEARS CREDITED
 7. z - OTHER
 9. NA
 0. Inap, 12301
- 12308 W1B. DEFINE ASY

- Code ASY # (01-09, 16-18)-----
99. NA
00. NOT USED; Inap, 12301
- See ASY MC for combination codes.
- 12309 W1B. AGE (17-85, 99)

00. Inap, 12301
- 12310 W1B. ASY (01-50, 99)

00. Inap, 12301
- 12311 W1B. AGE + ASY (001-150, 999)

000. Inap, 12301

89 SCF -- PENSION PROVIDERS

Screens 124-126
(W2 - W2D)

VAR #

12401 W2. Does the employer make any lump-sum contributions to
 participant's accounts at the time of disablement,
 including any contributions to achieve some minimum
 retirement benefit?

1. YES

0 in
12402-12434 &
Scrns 125-126

-----5. NO

-----9. NA

0. Inap, 12301

12402 W2. SUMMARY: # of CLS's

0 in
12503-12534,
12603-12634

-----1. ONE

0 in
12603-12634

-----2. TWO

3. THREE

0. Inap, 12301, 12401

VAR #

12404 W2A. CHECK: PRE TAX
 1. PRE TAX
 2. POST TAX

 9. NA

 0. NOT CITED; Inap, 12301, 12401, 12402, 12403

12405 W2A. DEFINE ASY
 Code ASY # (01-09, 16-18)-----
 99. NA
 00. NOT USED; Inap, 12301, 12401, 12402, 12403

See ASY MC for
combination codes.

12406 W2A. If b-f, h-j: \$ Dollar field (000 000-999 995, 999 999)
 999 996. NO MAXIMUM
 000 000. Inap, 12301, 12401, 12402, 12403

12407 W2A. If b-f, h-j: % Percent Field to 2 decimals (001-9501, 9999)
 0000. Inap, 12301, 12401, 12402, 12403

VAR #

12408 W2A. If g: "\$ AMOUNT" BOX

- 1. \$ AMOUNT
- 2. % PAY
- 3. % (PAY > SWB)
- 4. % (PAY ≤ SWB)

- 7. OTHER

- 9. NA

- 0. Inap, 12301, 12401, 12402, 12403

12409 W2A. If g: "PAY" BOX

- 1. PAY
- 2. AGE
- 3. ASY
- 4. AGE + ASY

- 7. OTHER

- 9. NA

- 0. Inap, 12301, 12401, 12402, 12403

VAR #

12410(UNDER) W2A. \$ or % Field to 2 decimals (000 000 01-999 995 00,
999 999 99)

12412(FROM-MIN)

000 000 00. Inap, 12301, 12401, 12402, 12403

12415(FROM)

12418(FROM)

12421(OVER)

12411(UNDER) W2A. PAY/AGE/ASY Field (000 001-999 995, 999 999)

12413(FROM-MIN) Code number given as a 6 digit whole number

12414(TO)

000 000. Inap, 12301, 12401, 12402, 12403

12416(FROM)

12417(TO)

12419(FROM)

12420(TO-MAX)

12422(OVER)

12423 W2B. Do any of the above lump-sum contribution formulas depend
on the Social Security taxable wage base (SWB)?

1. a - YES, ACTUAL SWB IN EFFECT AT RETIREMENT
2. b - YES, CAREER AVERAGE SWB CALCULATED AT RETIREMENT
3. c - YES, CAREER AVERAGE SWB ESTIMATED IN YEAR:

4. d - NO

7. z - OTHER

9. NA

0. Inap, 12301, 12401, 12402, 12403

12424 W2B. YEAR (01-95, 99)

00. Inap, 12301, 12401, 12402, 12403

VAR #

- 12425 W2C. Are the above lump-sum contribution formulas based on wages and salaries earned during specific calendar time periods, or during all years covered by this pension plan?
-
1. a - COVERS ALL YEARS: NO SPECIFIC DATES CITED
 2. b - BEFORE:
 3. c - AFTER:
 4. d - FROM://TO:

 7. z - OTHER

 9. NA

 0. Inap, 12301, 12401, 12402, 12403
- 12426 W2C. BEFORE/AFTER/FROM: MONTH (01-12, 99)
00. Inap, 12301, 12401, 12402, 12403
- 12427 W2C. BEFORE/AFTER/FROM: YEAR (01-95, 99)
00. Inap, 12301, 12401, 12402, 12403
- 12428 W2C. TO: MONTH (01-12, 99)
00. Inap, 12301, 12401, 12402, 12403
- 12429 W2C. TO: YEAR (01-95, 99)
00. Inap, 12301, 12401, 12402, 12403

VAR #

- 12430 W2D. To receive the lump-sum employer contributions, are there
any other special requirements?
- 01. a - NO OTHER SPECIAL REQUIREMENTS (EXCEPT MATCHING AMOUNTS)
 - 02. b - EMPLOYER APPROVAL REQUIRED
 - 03. c - PAST SERVICE REQUIRED
 - 04. d - IF PLAN PARTICIPANT ON:
 - 05. e - IF PLAN PARTICIPANT BEFORE:
 - 06. f - IF PLAN PARTICIPANT AFTER:
 - 07. g - IF PLAN PARTICIPANT FROM:/TO:

 - 97. z - OTHER

 - 99. NA

 - 00. Inap, 12301, 12401, 12402, 12403
- 12431 W2D. ON/BEFORE/AFTER/FROM: MONTH (01-12, 99)
- 00. Inap, 12301, 12401, 12402, 12403
- 12432 W2D. ON/BEFORE/AFTER/FROM: YEAR (01-95, 99)
- 00. Inap, 12301, 12401, 12402, 12403
- 12433 W2D. TO: MONTH (01-12, 99)
- 00. Inap, 12301, 12401, 12402, 12403
- 12434 W2D. TO: YEAR (01-95, 99)
- 00. Inap, 12301, 12401, 12402, 12403

89 SCF -- PENSION PROVIDERS

Screen 127
(W3 - W3B)

VAR #

12701 W3. Does this pension plan include any special provisions for the payment of benefits to the surviving beneficiaries or estates of participants whose death occurs before retirement?

1. YES

0 in
12702-12711 &
Scrns 128-130

-----5. NO

-----9. NA

12702 W3A. Does this pension plan include a provision that increases the vesting percentage for participants that were not fully vested at the time of death?

01. a - YES, INCREASED TO 100% AT TIME OF DEATH

02. b - YES, INCREASED TO 100% WHEN ABOVE MINIMUM
AGE: _____

03. c - YES, INCREASED TO 100% WHEN ABOVE MINIMUM
ASY: _____

04. d - YES, INCREASED TO 100% WHEN ABOVE MINIMUM
AGE+ASY: _____

05. e - YES, INCREASED TO 100% WHEN ABOVE MINIMUM
AGE: _____

OR ABOVE MINIMUM ASY: _____
06. f - YES, INCREASED TO 100% WHEN ABOVE MINIMUM
AGE: _____
AND ABOVE MINIMUM ASY: _____

07. g - NO, VESTING PERCENTAGE IS NOT INCREASED ABOVE
AMOUNT CALCULATED AT TIME OF DEATH

97. z - OTHER

99. NA

00. Inap, 12701

12703 W3A. DEFINE ASY

Code ASY # (01-09, 16-18)-----

See ASY MC for
combination codes.

99. NA

00. NOT USED; Inap, 12701

12704 W3A. AGE (17-85, 99)

VAR #

00. Inap, 12701

12705 W3A. ASY (01-50, 99)

00. Inap, 12701

12706 W3A. AGE + ASY (001-150, 999)

000. Inap, 12701

VAR #

12707

W3B. After the participant's death, does service credit continue to accrue until the participant would have been eligible for early or normal retirement benefits, or are no additional service years credited after the time of death?

- 01. a - ACCRUE ONE ASY FOR EACH YEAR, NO MAXIMUM
- 02. b - ACCRUE ONE ASY FOR EACH YEAR, TO MAXIMUM AGE: _____
- 03. c - ACCRUE ONE ASY FOR EACH YEAR, TO MAXIMUM ADDITIONAL ASY: _____
- 04. d - ACCRUE ONE ASY FOR EACH YEAR, TO MAXIMUM TOTAL ASY: _____
- 05. e - ACCRUE ONE ASY FOR EACH YEAR, TO TOTAL AGE PLUS ASY: _____
- 06. f - USE ASY AT THE TIME OF DEATH; AND GREATER OF AGE AT DEATH OR AGE: _____
- 07. g - NO ADDITIONAL AGE OR SERVICE YEARS CREDITED
- 97. z - OTHER
- 99. NA
- 00. Inap, 12701

12708

W3B. DEFINE ASY

Code ASY # (01-09, 16-18)-----

See ASY MC for combination codes.

- 99. NA
- 00. NOT USED; Inap, 12701

VAR #

12709 W3B. AGE (17-85, 99)

 00. Inap, 12701

12710 W3B. ASY (01-50, 99)

 00. Inap, 12701

12711 W3B. AGE + ASY (001-150, 999)

 000. Inap, 12701

89 SCF -- PENSION PROVIDERS

Screens 128-130
(W4 - W4C)

VAR #

12801 W4. Does the employer make any lump-sum contributions to
 participants' accounts at the time of death, including any
 contributions to achieve some minimum retirement benefit?

1. YES

0 in
12802-12829 &
Scrns 129,130

-----5. NO

-----9. NA

0. Inap, 12701

12802 W4. SUMMARY: # of CLS's

0 in
12903-12929,
13003-13029

-----1. ONE

0 in
13003-13029

-----2. TWO

3. THREE

0. Inap, 12601, 12701

VAR #

CLS10 = 12803-12829
CLS11 = 12903-12929
CLS12 = 13003-13029

12803 W4A. How is the additional lump-sum contribution (CLS) determined?

0 in
12808-12822

- 02. b - FIXED DOLLAR AMOUNT:
- 03. c - FIXED PERCENTAGE OF PAY:
 UP TO MAXIMUM AMOUNT:
- 04. d - FIXED PERCENTAGE PAY AT OR BELOW SWB:
- 05. e - FIXED PERCENTAGE PAY ABOVE SWB:
- 06. f - FIXED PERCENTAGE PAY ABOVE SWB:
 UP TO MAXIMUM CONTRIBUTION:

0 in
12806-12807

- 07. g - VARYING DOLLAR OR PERCENTAGE:

0 in
12808-12822

- 08. h - AUGMENT TO ACHIEVE MINIMUM ACCOUNT BALANCE OF:
- 09. i - AUGMENT TO ACHIEVE A MINIMUM REGULAR PAYMENT OF:
- 10. j - AUGMENT TO ACHIEVE A MINIMUM REGULAR PAYMENT OF:
 FINAL PAY

0 in
12806-12822

- 11. k - AUGMENT TO REPLACE SS UNTIL ELIGIBLE FULL
 BENEFITS
- 12. l - AUGMENT TO REPLACE SS UNTIL ELIGIBLE REDUCED
 BENEFITS
- 13. m - AUGMENT TO REPLACE SS, FULL OR REDUCED NOT CITED
- 97. z - OTHER
- 99. NA

00. Inap, 12701, 12801, 12802

VAR #

12804 W4A. CHECK: PRE TAX

 1. PRE TAX
 2. POST TAX

 9. NA

 0. NOT CITED; Inap, 12701, 12801, 12802, 12803

12805 W4A. DEFINE ASY

 Code ASY # (01-09, 16-18)-----

See ASY MC for
combination codes.

 99. NA

 00. NOT USED; Inap, 12701, 12801, 12802, 12803

12806 W4A. If b-f, h-j: \$ DOLLAR field (000 000-999 995, 999 999)

 999 999. NO MAXIMUM

 000 000. Inap, 12701, 12801, 12802, 12803

12807 W4A. If b-f, h-j: % Percent Field to 2 decimals (0001-9500)

 9995. 100%

 9999. NA

 0000. Inap, 12701, 12801, 12802, 12803

VAR #

12810(UNDER) W4A. \$ or % Field to 2 decimals (000 000 01-999 995 00,
999 999 99)

12812(FROM-MIN)

000 000 00. Inap, 12701, 12801, 12802, 12803

12815(FROM)

12818(FROM)

12821(OVER)

12811(UNDER) W4A. PAY/AGE/ASY Field (000 001-999 995, 999 999)

12813(FROM-MIN) Code number given as a 6 digit whole number

12814(TO)

000 000. Inap, 12701, 12801, 12802, 12803

12816(FROM)

12817(TO)

12819(FROM)

12820(TO-MAX)

12822(OVER)

12823 W4B. Do any of the above lump-sum contribution formulas depend
on the Social Security taxable wage base (SWB)?

1. a - YES, ACTUAL SWB IN EFFECT AT RETIREMENT
2. b - YES, CAREER AVERAGE SWB CALCULATED AT RETIREMENT
3. c - YES, CAREER AVERAGE SWB ESTIMATED IN YEAR:

4. d - NO

7. z - OTHER

9. NA

0. Inap, 12701, 12801, 12802, 12803

12824 W4B. YEAR (01-95, 99)

00. Inap, 12701, 12801, 12802, 12803

VAR #

- 12825 W4C. To receive the lump-sum employer contributions, are there
any other special requirements?
- 01. a - NO OTHER SPECIAL REQUIREMENTS (EXCEPT MATCHING AMOUNTS)
 - 02. b - EMPLOYER APPROVAL REQUIRED
 - 03. c - PAST SERVICE REQUIRED
 - 04. d - IF PLAN PARTICIPANT ON:
 - 05. e - IF PLAN PARTICIPANT BEFORE:
 - 06. f - IF PLAN PARTICIPANT AFTER:
 - 07. g - IF PLAN PARTICIPANT FROM:/TO:

 - 97. z - OTHER

 - 99. NA

 - 00. Inap, 12701, 12801, 12802, 12803
- 12826 W4C. ON/BEFORE/AFTER/FROM: MONTH (01-12, 99)
- 00. Inap, 12701, 12801, 12802, 12803
- 12827 W4C. ON/BEFORE/AFTER/FROM: YEAR (01-95, 99)
- 00. Inap, 12701, 12801, 12802, 12803
- 12828 W4C. TO: MONTH (01-12, 99)
- 00. Inap, 12701, 12801, 12802, 12803
- 12829 W4C. TO: YEAR (01-95, 99)
- 00. Inap, 12701, 12801, 12802, 12803

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Screen 131

Section X: Payment Options
(X1 - X2B, Y1)

VAR #

13101 X1. Does this pension plan include a payment option available
at the time of retirement under which benefits would
continue to be paid to a surviving beneficiary?

1. YES

0 in
13102-13107

5. NO

9. NA

13102 X1A. Under which retirement circumstances is the survivor
payment option available?

X1Aa. NORMAL RETIREMENT

1. CHECKED

9. NA to all of X1A

0. Not Checked; Inap, 12101

USE SAME CODE
FOR 13103-13107

13103 X1Ab. LATE RETIREMENT

13104 X1Ac. EARLY RETIREMENT

13105 X1Ad. VESTED DEFERRED RETIREMENT

13106 X1Ae. DISABILITY RETIREMENT

13107 X1Az. OTHER

VAR #

13108 X2. Excluding survivor benefits, does this pension plan include a provision for any lump-sum benefit payment upon the death of a retired participant?

1. YES

0 in
13109-13117

5. NO

9. NA

13109 X2A. Under which retirement circumstances are the death benefit payments made?

X2Aa. NORMAL RETIREMENT

1. CHECKED

9. NA to all of X2A

0. Not Checked; Inap, 12101

USE SAME CODE
FOR 13110-13114

13110 X2Ab. LATE RETIREMENT

13111 X2Ac. EARLY RETIREMENT

13112 X2Ad. VESTED DEFERRED RETIREMENT

13113 X2Ae. DISABILITY RETIREMENT

13114 X2Az. OTHER

VAR #

13115 X2B. How are the lump-sum death benefits calculated for a retired participant?

1. a - FIXED DOLLAR AMOUNT: \$ _____
2. b - FIXED PERCENT YEARLY BENEFITS: _____%
7. z - OTHER
9. NA
0. Inap, 13108

13116 X2B. \$ Dollar Field (000 001-999 995, 999 999)

000 000. Inap, 13108

13117 X2B. % Percent Field to 2 decimals (001-9501, 9999)

0000. Inap, 13108

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Screen 132

Section Y: Defined Contribution Plans:
Classification & Miscellaneous Provisions
(Y1)

VAR #

Y1. For each variable below coded in previous sections, indicate the type of plan (401-k, SEP, profit-sharing, etc.) by placing a code letter from the table below beside the variable name.

13201

Y1. MAN1

- 01. A. MONEY PURCHASE
- 02. B. 401-K
- 03. C. PROFIT SHARING
- 04. D. THRIFT/SAVINGS
- 05. E. EMPLOYEE STOCK OWNERSHIP PLAN (ESOP)
- 06. F. TARGET BENEFIT
- 07. G. SIMPLIFIED EMPLOYEE PENSION (SEP)
- 08. H. KEOUGH PLAN
- 09. I. NOT A QUALIFIED PLAN
- 10. J. NOT CITED

USE SAME CODE
FOR 13202-13230

99 in
13202-13230

99. NA to entire Q.Y1

00. Not marked

13202

Y1. MMAN1

13203

Y1. VOL1

13204

Y1. MVOL1

13205

Y1. CTE1

13206

Y1. COE1

13207

Y1. CLS1

13208

Y1. CLS4

VAR #

13209	<u>Y1.</u>	<u>CLS7</u>	_____
13210	<u>Y1.</u>	<u>CLS10</u>	_____
13211	<u>Y1.</u>	<u>MAN2</u>	_____
13212	<u>Y1.</u>	<u>MMAN2</u>	_____
13213	<u>Y1.</u>	<u>VOL2</u>	_____
13214	<u>Y1.</u>	<u>MVOL2</u>	_____
13215	<u>Y1.</u>	<u>CTE2</u>	_____
13216	<u>Y1.</u>	<u>COE2</u>	_____
13217	<u>Y1.</u>	<u>CLS2</u>	_____
13218	<u>Y1.</u>	<u>CLS5</u>	_____
13219	<u>Y1.</u>	<u>CLS8</u>	_____
13220	<u>Y1.</u>	<u>CLS11</u>	_____
13221	<u>Y1.</u>	<u>MAN3</u>	_____
13222	<u>Y1.</u>	<u>MMAN3</u>	_____
13223	<u>Y1.</u>	<u>VOL3</u>	_____
13224	<u>Y1.</u>	<u>MVOL3</u>	_____

VAR #

13225 Y1. CTE3 _____

13226 Y1. COE3 _____

13227 Y1. CLS3 _____

13228 Y1. CLS6 _____

13229 Y1. CLS9 _____

13230 Y1. CLS12 _____

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Screen 133
(Y2 - Y3)

VAR #

13301 Y2. Do any of the plans denoted by the variables below allow
the employee to direct the investment of their account?

1. YES

0 in
13302-13331

5. NO

9. NA

13302 Y2. Check the plan variables below whose investment is
directed by the employee.

Y2. MAN1

1. Checked

0. Not checked

9. NA

USE SAME CODE
FOR 13303-13331

13303 Y2. MMAN1

13304 Y2. VOL1

13305 Y2. MVOL1

13306 Y2. CTE1

13307 Y2. COE1

13308 Y2. CLS1

13309 Y2. CLS4

13310 Y2. CLS7

VAR #

13311 Y2. CLS10 _____

13312 Y2. MAN2 _____

13313 Y2. MMAN2 _____

13314 Y2. VOL2 _____

13315 Y2. MVOL2 _____

13316 Y2. CTE2 _____

13317 Y2. COE2 _____

13318 Y2. CLS2 _____

13319 Y2. CLS5 _____

13320 Y2. CLS8 _____

13321 Y2. CLS11 _____

13322 Y2. MAN3 _____

13323 Y2. MMAN3 _____

13324 Y2. VOL3 _____

13325 Y2. MVOL3 _____

13326 Y2. CTE3 _____

VAR #

13327 Y2. COE3 _____

13328 Y2. CLS3 _____

13329 Y2. CLS6 _____

13330 Y2. CLS9 _____

13331 Y2. CLS12 _____

Y3. What types of investment options are available to the employee? Check all that apply.

13332 Y3a. MONEY MARKET INSTRUMENTS/FUNDS _____

- 1. Checked
- 0. Not checked
- 9. NA

USE SAME CODE FOR 13333-13336

13333 Y3b. BOND FUNDS _____

13334 Y3c. PORTFOLIOS OF DIVERSIFIED STOCK _____

13335 Y3d. UNDIVERSIFIED PORTFOLIO OF THE FIRM'S OWN STOCK _____

13336 Y3e. OTHER _____

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Screen 134
(Y4; Z1)

VAR #

13401 Y4. Can the participant, at their own discretion, borrow money
from any of the plans?

1. YES

0 in
13402-13431

5. NO

9. NA

13402 Y4. Check the plan variables below whose provisions allow
participant loans.

Y4. MAN1

1. Checked

0. Not checked

9. NA--entire list blank

USE SAME CODE
FOR 13403-13431

13403 Y4. MMAN1

13404 Y4. VOL1

13405 Y4. MVOL1

13406 Y4. CTE1

13407 Y4. COE1

13408 Y4. CLS1

13409 Y4. CLS4

13410 Y4. CLS7

VAR #

13411	<u>Y4.</u> CLS10
13412	<u>Y4.</u> MAN2
13413	<u>Y4.</u> MMAN2
13414	<u>Y4.</u> VOL2
13415	<u>Y4.</u> MVOL2
13416	<u>Y4.</u> CTE2
13417	<u>Y4.</u> COE2
13418	<u>Y4.</u> CLS2
13419	<u>Y4.</u> CLS5
13420	<u>Y4.</u> CLS8
13421	<u>Y4.</u> CLS11
13422	<u>Y4.</u> MAN3
13423	<u>Y4.</u> MMAN3
13424	<u>Y4.</u> VOL3
13425	<u>Y4.</u> MVOL3
13426	<u>Y4.</u> CTE3

VAR #

13427 Y4. COE3

13428 Y4. CLS3

13429 Y4. CLS6

13430 Y4. CLS9

13431 Y4. CLS12

13432 Z1. Comments

- 1. Comments written in
- 0. No comments

Screener Data for Health Care

Data Dictionary/Questionnaire

Data File: CONTRLDA.PP
 Dictionary File: CONTRLDI.PP

T	36	34	OFROM WHO GET DESC?	C	22	1	0	1	0	9	00000
Q	36	34	0 19. From what person in your company can that								00000
K	36	34	0 description be obtained?								00000
C	36	34	0 1From Respondent								00000
C	36	34	0 2From Someone Else								00000
C	36	34	0 9NA								00000
C	36	34	0 OINAP., result not 01; PP not employer; company								00000
K	36	34	0 doesn't offer any sort of health plan.								00000
T	37	35	OHEALTH CARE REIMBURSMNT?C	C	23	1	0	1	0	8	00000
Q	37	35	0 20. Does your company offer any other kind of								00000
K	37	35	0 reimbursement to employees for health care expenses?								00000
C	37	35	0 1Yes								00000
C	37	35	0 5No								00000
C	37	35	0 8DK								00000
C	37	35	0 9NA								00000
C	37	35	0 OINAP., result not 01; PP not employer; company								00000
K	37	35	0 doesn't offer any sort of health plan.								00000
T	38	36	OOTHER CARE REIMBURSMNT?C	C	24	1	0	1	0	8	00000
Q	38	36	0 21. Aside from any day care that is provided by your								00000
K	38	36	0 company itself, does your company offer any kind of								00000
K	38	36	0 reimbursement to employees for expenses for child care,								00000
K	38	36	0 elder care, or other such care?								00000
C	38	36	0 1Yes								00000
C	38	36	0 5No								00000
C	38	36	0 8DK								00000
C	38	36	0 9NA								00000
C	38	36	0 OINAP., result not 01; PP not employer; company								00000
K	38	36	0 doesn't offer any sort of health plan.								00000
T	50	50	OLOG DATE	A	25	8	0	1			00000