

## NOTIFICATION OF FOREIGN BRANCH STATUS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including the time to gather and maintain data in the required form and to review instructions and complete the information collection. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Secretary, Board of Governors of the Federal Reserve System, 20th and C Streets, N.W.,

Washington, D.C. 20551; and to the Office of Management and Budget, Paperwork Reduction Project (7100-0069), Washington, D.C. 20503.

The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

PLEASE REFER TO INSTRUCTIONS ON REVERSE SIDE

Name of reporting institution \_\_\_\_\_

Subsidiary (if applicable) \_\_\_\_\_

Date of Board consent or prior notification (if applicable) \_\_\_\_\_

Branch opening date \_\_\_\_\_ Branch closing date \_\_\_\_\_ Branch relocation date \_\_\_\_\_

### PRIOR ADDRESS AND STATUS

Branch name \_\_\_\_\_

Street address \_\_\_\_\_

Post office box \_\_\_\_\_

City, State/Province, Country \_\_\_\_\_

Status (check appropriate box): (1) "Shell" branch  (2) Full service

### NEW ADDRESS AND STATUS

Branch name \_\_\_\_\_

Street address \_\_\_\_\_

Post office box \_\_\_\_\_

City, State/Province, Country \_\_\_\_\_

Status (check appropriate box): (1) "Shell" branch  (2) Full service

Name and phone number of person in the United States to contact for branch information:

\_\_\_\_\_  
Name and title \_\_\_\_\_ Date \_\_\_\_\_

\_\_\_\_\_  
Telephone number (including area code) \_\_\_\_\_

## Instructions

The Federal Reserve uses the information on this report to fulfill its statutory obligation to supervise foreign branches of U.S. banking organizations.

This form is to be completed by any member bank, bank holding company, or Edge or agreement corporation within 30 days of the change in status; that is, the opening, closing, or relocation of a foreign branch of that U.S. organization or of its foreign subsidiary(ies). No notification is necessary for a change in status of a branch of a foreign subsidiary of a member bank, bank holding company, or Edge or agreement corporation that is located in the same country as that in which the foreign subsidiary is incorporated. Other than branches located within the same country in which the subsidiary is incorporated, the branches of a company that are in existence at the time that company is acquired and becomes a subsidiary should be reported as "opened." Also, any such branches of a company that ceases to be a subsidiary should be reported as "closed."

a. "Foreign" or "foreign country" refers to one or more foreign nations, and includes the overseas territories, dependencies, and insular possessions of those nations and of the United

States and the Commonwealth of Puerto Rico. (Section 211.2(i) of Regulation K.)

b. "Foreign branch" means an organization's office (other than a representative office) that is located outside the country under the laws of which the organization is established, at which office a banking or financing business is conducted. (Section 211.2(k) of Regulation K.)

### Reporting Institutions

For purposes of this report, the reporting institution is the "investor" as defined in Section 211.2(n) of Regulation K. However, if the branch opening, closing, or relocation involves a subsidiary of the investor, the reporting institution should also set forth the name of the subsidiary in addition to the name of the investor.

This form should be sent to the Federal Reserve Bank that has jurisdiction over the reporting institution, as described in Section 211.7(d)(1) of Regulation K.