

# Annual Report of Foreign Banking Organizations—FR Y-7

#### Report at the close of business as of the end of fiscal year

This report form is authorized by law: Section 5(c) of the BHC Act (12 U.S.C. 1844(c)); Section 7 and 13(a) of the International Banking Act of 1978 (12 U.S.C. 3106 and 3108 (a)); Section 25 of the FRA (12 U.S.C. 601–604a); Section 25A of the FRA (12 U.S.C.

This report is to be filed by foreign banking organizations provided the organization meets the requirements of and is treated as a qualifying foreign banking organization under Section 211.23(a) of Regulation K or meets the requirements for limited exemption under

611–631); and Regulation Y (12 CFR part 225).	Section 211.23(c) of Regulation K. The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, an information collection unless it displays					
	a currently valid OMB control number.					
NOTE: The <i>Annual Report of Foreign Banking Organizations</i> must be signed by an authorized official of the foreign banking organization.	Date of Report (foreign banking organization's fiscal year end):					
	Month / Day / Year					
I, Name and Title of Foreign Banking Organization Authorized Official						
Name and The OTT Greigh Banking Organization Authorized Official	Legal Name of Foreign Banking Organization (Top-tier if multi-tier organization)					
certify that this Annual Report of Foreign Banking						
Organizations has been prepared in conformance with the report instructions.	Street Address of the Foreign Banking Organization					
	City / Country					
Signature of Foreign Banking Organization Authorized Official						
	Mailing Address of Principal Office (If different from street address)					
Date of Signature	City / Country					
Return to the appropriate Federal Reserve Bank the original and num Bank.	nber of copies of the completed report required by the Federal Reserve					
	Person in the United States to whom questions about this report					
	should be directed:					
	Name / Title					
	Street					
For Federal Reserve Bank Use Only	City / State / Zip Code					
RSSD Number	Asso Code / Dhana Niverbox					
C.I.	Area Code / Phone Number Area Code / Fax Number					
<u> </u>	E-mail Address					
	E mail / ladi 000					

Public reporting burden for the information collection in the FR Y-7 is estimated to average 3.50 hours per response, including time to gather and maintain data in the required form and to review instructions and complete the information collection. The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Comments regarding this burden estimate or any other aspect of this information collection, including suggestions for reducing the burden, may be sent to Secretary, Board of Governors of the Federal Reserve System, Washington, DC 20551, and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

### **Checklist**

The checklist below is provided to assist the reporting foreign banking organization in filing all the necessary responses to the various report items. Each report item should be checked and the appropriate blanks filled in. The completed checklist should be signed by the same authorized official who has signed the cover page of the report and returned with the report.

Report Item 1: Financial Information Regarding the Foreign Banking Organization (FBO)
1(a) Response provided in Attachment(s) #
1(b) Response provided in Attachment(s) #
Report Item 2: Organization Information for the FBO
2(a) Response provided in Attachment #
2(b) Response provided in Attachment #
Report Item 3: Shares and Shareholders
☐ 3(a) Response provided on report page or in Attachment #
☐ 3(b) Response provided on report page or in Attachment #
☐ 3(c) Response provided on report page or in Attachment #
Report Item 4: Eligibility as a Qualified Foreign Banking Organization (QFBO)
☐ Items 4(e) and 4(f) have been completed and provided in Attachment #
☐ Items 4(j) and 4(k) have been completed and provided in Attachment #
☐ Items 4(I) and 4(m) have been completed and provided in Attachment #
CHECK IF APPLICABLE:
☐ The Reporter has submitted a letter requesting confidential treatment for all or any portion of Report Item(s)  The letter discusses the reasons for the request, and the information has been reported in a separate submission and labeled "Confidential."
I,
Name Title
an authorized official of the reporting institution, have reviewed this checklist and verify that all the required information has been provided.
Signature of Authorized Official Date

## For Use by Tiered Foreign Banking Organizations

Tiered foreign banking organizations must list the legal name, mailing address, and physical location of subsidiary foreign banking organizations below. Refer to Who Must Report in the general instructions for filing by tiered foreign banking organizations.

Α.	For the fiscal year ending on						
	e. a.eeea. yea. eag e	Month	Day	Year			
	Legal name of subsidiary foreign banking organization:						
	Mailing address of head office:	Street					
		City		Country			
	Physical location of principal office	<b>:</b>					
	(not mailing address)	Street					
		City		Country			
	Name and address of authorized official in the United States:						
		Street					
		City		State	ZIP Code		
		Area Code / Phone Number			Area Code / Fax Number		
		E-mail Address					
I,	Name			Title			
	n authorized official of the company conformance with the report instruc		nnual Report of	Foreign Banking Organiz	rations has been prepared		
		Signature of Authorized Official		Date			

## For Use by Tiered Foreign Banking Organizations—continued

(If the Foreign Banking Organization has more than two tiers, use additional pages as needed.)

В.	For the fiscal year ending on				
	The state was a state of the st	Month	Day	Year	
	Legal name of subsidiary foreign l	oanking organization:			
	Mailing address of head office:				
	Walling address of flead office.	Street			
		City		Country	
	Physical location of principal office	<b>)</b> :			
	(not mailing address)	Street			
		City		Country	
	Name and address of authorized of	official in the United States:			
		Street			
		City		State	ZIP Code
		Area Code / Phone Number			Area Code / Fax Number
		E-mail Address			
Ι,					
an	Name  authorized official of the company conformance with the report instru-		nual Report of F	Title Foreign Banking Orga	anizations has been prepared
		Signature of Authorized Official		Date	