

**Federal Reserve System
DISCLOSURE FORM FOR SUPERVISION AND REGULATION PERSONNEL AT THE FEDERAL RESERVE BANKS**

Employee Name (Last, First, Middle Initial) Social Security # /ID (If Applicable) Position/Title Section Extension

Federal Reserve examiners are prohibited, by a criminal statute, from borrowing from banks that they examine, and by Federal Reserve policy, from borrowing from institutions for which the Federal Reserve is the primary federal regulator. All Federal Reserve employees are prohibited from holding certain types of investments in financial organizations, and employees generally must not participate in matters concerning organizations in which they, a spouse, or dependent child have a financial interest. This form is designed to obtain information that might indicate actual or potential conflicts of interest or violations of Federal Reserve policies.

Part I. INDEBTEDNESS: Identify existing indebtedness and credit relationships that you, your spouse, or dependent child have with banks, bank holding companies, other bank or bank holding company affiliates, and other financial institutions. Include contingent guarantees and debts of any company controlled by you (your spouse or dependent child), and any partnership in which you, your spouse, or dependent child serve as a general partner. Include below any undrawn lines of credit, overdraft facilities, and bank credit cards even when they have zero balances. Identify any indebtedness disclosed on your last disclosure from that you have subsequently paid off and designate the repayment date. Report debt of a spouse or dependent child or related business entity to the best of your knowledge. If none, write NONE.

Name of Creditor (List complete name, for example, MultiBank, N.A. or Texbank Mortgage Corporation)	Location (City, State)	Debtor Code ¹²	Date Incurred	Nature or Purpose of Debt ¹³	Terms ¹⁴	Maturity/Date of Repayment	Administrative Use:		
							ID-RSSD	Permit Y/N	Required Divestiture Date

¹² Debtor Codes: E- Employee; S- Employee's Spouse; D- Dependent Child; P- Partnership; O- Other (Describe)
¹³ Purpose Codes: A- Auto Loan; C- Credit Card; M- Mortgage on personal residence; O-Overdraft protection; H- Home equity line; S- Student loan; P- Personal loan or line of credit (other); B- Business loan or line of credit (including mortgages incurred for business purposes)
¹⁴ Terms Codes: A- Amortizing Loan (scheduled payments of principal and interest); T-Term Loan (nonamortizing, principal due at maturity); B- Balloon (with amortizing and term features); R- Revolving Credit; O- Other (Describe)

Disclosure Form for Supervision and Regulation Personnel
At The Federal Reserve Banks

Employee Name (Last, First, Middle Initial)

Part II. ASSETS: List for you, your spouse, and dependent children any direct or indirect (including as trustee or beneficiary of a trust) debt or equity interest (regardless of amount) in a domestic or foreign depository institution, its holding company (bank or thrift), or its nonbank affiliates; a primary government securities dealer or its affiliates; or a company that owns a bank or primary government securities dealer. Include such interests that arise from participation by you or your spouse in a pension, retirement fund, or similar retirement plan with a current or former employer and any shares of a mutual or closed-end fund that has a stated policy of concentration in the financial services industry. *[Exclude Federal Reserve retirement or thrift plan investments.]* If none, write NONE.

Name of Organization and Location (City, State)	Ownership Code ¹⁵	Nature of Financial Interest (e.g. common stock, debentures, note receivable)	Date of Acquisition	Manner of Acquisition (e.g. gift, inheritance, purchase)	Administrative Use:		
					ID-RSSD	Permitted to Hold (Y/N)	Required Divestiture Date
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Part III. OUTSIDE EMPLOYMENT: List below any positions held, whether compensated or not, with organizations (corporations, companies, firms, business enterprises, partnerships, non-profit organizations, educational institutions, and other organizations) in which you serve as employee, officer, owner, director, member, trustee, partner, adviser, or consultant. Describe your position in each such organization, including current positions and any held during the last 12 months. *(Exclude positions with religious, social, fraternal, or political entities, and those solely of an honorary nature.)* If none, write NONE.

Name of Organization and Location (City, State)	Nature or Purpose of Organization	Position in Organization	Administrative Use:	
			Approved Y/N	Comments
**				

Part IV. RELATIVES IN BANKING: List any immediate family member (spouse, child, parent, or sibling) who is an officer, director, or employee of a depository institution or affiliate thereof, or a depository institution holding company. If none, write NONE.

Name of Individual	Relationship to Employee	Name of Organization and Location (City, State)	Position Held	Administrative Use:	
				ID-RSSD	Recusal Required (Y/N)
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** Check box if reporting is continued on page 3 (Part VII).

¹⁵ Ownership Codes: E- Employee; S- Employee's Spouse; D- Dependent Child; P- Partnership; O- Other (Describe)

