

NORC 4679
Summer 1995

<input type="checkbox"/> Neighborhood Observation filled out
Check One
<input type="checkbox"/> Respondent Observation filled out
<input type="checkbox"/> No contact with Respondent

HOUSEHOLD ENUMERATION FOLDER (HEF) Survey of Consumer Finances

INTERVIEWER: BE SURE TO COMPLETE NEIGHBORHOOD OBSERVATIONS
ON PAGES 11 AND 12

Stick white assignment label in box below

NOTE ANY ADDRESS CORRECTION
HERE. ATTEMPT TO GET ZIP CODE.

L1

Survey Case ID
_ _ _ _ _ _ _ _ _ _ _ _
NAME
ADDRESS

INTRODUCTION

Hello, I'm (YOUR NAME) from the National Opinion Research Center at the University of Chicago. (SHOW ID) Recently (R'S NAME) should have received a letter from Alan Greenspan, Chairman of the Federal Reserve Board, telling (him/her) that I would be calling in regard to a very important economic study we are conducting for them. Was the letter received? (IF LETTER NOT RECEIVED, HAND THEM ONE WITH A BROCHURE)

1. First, I'd like to determine that I am at the correct household. Does (READ NAME FROM ASSIGNMENT LABEL ABOVE) live here?

YES, CORRECT 1 (GO TO PAGE 9)
 NO, R NOT AT THIS ADDRESS 2 (ASK FOR R's CURRENT ADDRESS).
 THANK INFORMANT.

Survey of Consumer Finances NON-INTERVIEW REPORT

INTERVIEWER: BE SURE TO COMPLETE NEIGHBORHOOD OBSERVATIONS
ON PAGES 11 AND 12

LS

NORC 4679
5/95

CASE ID # |_|_|_|_|_|_|_|

INT ID # |_|_|_|_|_|_|_|

FINAL CODE |_|_|

1. REASON FOR NIR:

- REFUSAL TO HEF 1
 - HEF COMPLETED, Q REFUSED 2
 - QUEX, BREAKOFF 3
 - R UNLOCATABLE 4 (GO TO Q 3)
 - R DECEASED 5 (GO TO NEIGHBORHOOD OBSERVATIONS
PAGE 11)
 - LANGUAGE BARRIER 6 "
 - TOO ILL 7 "
 - OTHER 8 "
- DESCRIBE: _____

2a. IF REFUSAL/BREAKOFF, DESCRIBE REASONS:

2b. WHAT METHODS WERE USED TO CONVERT THE RESPONDENT? CODE ALL THAT APPLY:

- REFUSAL LETTER SENT 1
 - ATTEMPT BY PHONE CONVERTER 2
 - ATTEMPT BY PERSONAL
CONVERTER 3
 - OFFERED RESP. FEE 4
 - OTHER 5
- DESCRIBE: _____

2c. WHAT TYPE OF REFUSAL WAS THIS?

- FIRM REFUSAL, NOT HOSTILE 1 (GO TO RESPONDENT OBSERVATIONS, PAGE
13)
- HOSTILE REFUSAL 2 (GO TO RESPONDENT OBSERVATIONS, PAGE
13)

3. WHAT METHODS WERE USED TO LOCATE THE RESPONDENT? CODE ALL THAT APPLY.

- CHECK WITH NEIGHBORS 1
 - POST OFFICE SEARCH 2
 - CBI SEARCH 3
 - TRANSUNION 4
 - VOTER REGISTRATION 5
 - OTHER 6
- DESCRIBE _____

NOTE: BE SURE TO COMPLETE RESPONDENT OBSERVATIONS, PAGE 13.

NORC 4679
 Summer, 1995

<input type="checkbox"/> Neighborhood Observation filled out
Check One
<input type="checkbox"/> Respondent Observation filled out
<input type="checkbox"/> No contact with Respondent

HOUSEHOLD ENUMERATION FOLDER (HEF)
Survey of Consumer Finances

INTERVIEWER: BE SURE TO COMPLETE NEIGHBORHOOD OBSERVATIONS
 ON PAGES 11 AND 12

Stick white assignment label in box below
 or
 If this is a case you're adding to your
 assignment as a missed HU, copy the
 information from original label.

NOTE ANY ADDRESS CORRECTION.

AP 1

SURVEY CASE ID (IF ADDING THIS CASE, INCREASE ORIGINAL NUMBER BY "10")			
_ _ _ _ _ _ _			
PSU			
SEG	PT	LINE	BLOCK
LOCALITY			
HU ADDRESS AND DESCRIPTION			

INTRODUCTION

Hello, I'm (YOUR NAME) from the National Opinion Research Center at the University of Chicago (SHOW ID). Recently, a letter from our organization was sent to this household indicating that I would be calling regarding an important financial study we are conducting in your area. Did you receive the letter? (IF DID NOT RECEIVE LETTER, HAND THEM ONE WITH AN NORC BROCHURE.)

- First, let me make sure that I have your address described correctly. (READ STREET NUMBER AND NAME, APT # OR OTHER DESCRIPTION FROM ASSIGNMENT BOX.)

YES, CORRECT 1	(GO TO PAGE 9)
NO, NOT CORRECT 2	(REVIEW SEGMENT PRINTOUT & RECONCILE.)*

*IF DIFFERENCE CANNOT BE RESOLVED, CALL YOUR FIELD MANAGER BEFORE CONTINUING.

Survey of Consumer Finances NON-INTERVIEW REPORT

INTERVIEWER: BE SURE TO COMPLETE NEIGHBORHOOD OBSERVATIONS
ON PAGES 11 AND 12

AP

NORC 4679
5/95

CASE ID# |_|_|_|_|_|_|_|_|

FINAL CODE |_|_|

INT. ID # |_|_|_|_|_|_|_|_|

1. REASON FOR NIR (FINAL DISP. CODE):

- | | | |
|--------------------------------------|----|--|
| NOT AN HU | 1 | |
| VACANT | 2 | (GO TO NEIGHBORHOOD OBSERVATIONS, PAGE 11) |
| HU INACCESSIBLE | 3 | (GO TO 4) |
| REFUSAL TO HEF | 4 | (GO TO 3a) |
| HEF COMPLETED, Q REFUSED | 5 | (GO TO 3a) |
| QUEX. BREAKOFF | 6 | (GO TO 3a) |
| NO ANSWER/NEVER HOME | 7 | (GO TO NEIGHBORHOOD OBSERVATIONS, PAGE 11) |
| | | |
| LANGUAGE/BARRIER | 8 | (GO TO 4) |
| R TOO ILL/HANDICAPPED | 9 | (GO TO 4) |
| R UNAVAILABLE FOR FIELD PERIOD | 10 | (GO TO 4) |
| FAMILY MOVED/UNLOCATABLE | 11 | (GO TO 4) |
| SPECIFY: | 12 | |
| OTHER _____ | | |

2a. CODE REASONS THERE IS NO HU AT THIS ADDRESS:

- | | | |
|---|----|--|
| CONDEMNED | 1 | (GO TO NEIGHBORHOOD OBSERVATIONS, PAGE 11) |
| DEMOLISHED | 2 | |
| BUSINESS WITH NO RESIDENCE | 3 | |
| NO SUCH ADDRESS/NO HU | 4 | |
| GROUP QUARTERS | 5 | |
| | | |
| VACATION CABIN | 6 | |
| NOT USABLE AS PERMANENT RESIDENCE | 7 | |
| TRANSIENT USE (LESS THAN 1 MONTH) | 8 | |
| UNDER CONSTRUCTION | 9 | |
| OTHER REASONS | 10 | |
| DESCRIBE: _____ | | |

3a. IF REFUSAL/BREAKOFF, DESCRIBE REASONS:

3b. WHAT METHODS WERE USED TO CONVERT THE RESPONDENT? CODE ALL THAT APPLY:

- REFUSAL LETTER SENT 1
 - ATTEMPT BY PHONE CONVERTER 2
 - ATTEMPT BY PERSONAL CONVERTER 3
 - ATTEMPT BY SUPERVISOR 4
 - OFFERED RESP. FEE 5
 - OTHER 6
- DESCRIBE: _____

3c. WHAT TYPE OF REFUSAL WAS THIS?

- FIRM REFUSAL, NOT HOSTILE 1 (GO TO RESPONDENT OBSERVATIONS, PAGE 13)
- HOSTILE REFUSAL 2 (GO TO RESPONDENT OBSERVATIONS, PAGE 13)

4. HU INACCESSIBLE/FAMILY MOVED/R UNAVAILABLE FOR FIELD PERIOD, ETC.

WHAT STEPS WERE USED TO COMPLETE THE CASE? DESCRIBE:

NOTE: GO TO OBSERVATION PAGE

SUPERVISOR'S APPROVAL _____

DATE: _____

THE GOAL IS A COMPLETED SAMPLE UPDATE REPORT FOR EACH HEF. AN "HU" (HOUSING UNIT) MUST HAVE SEPARATE LIVING QUARTERS AND A SEPARATE ENTRANCE. CHECK YOUR AREA PROBABILITY MANUAL FOR MORE DETAILS OR CALL YOUR FM.

- A. IF THERE ARE NO DELETIONS, CORRECTIONS, OR MISSED HUs AT THIS ADDRESS, CHECK BOX IN SECTION "A" ON OPPOSITE PAGE AND YOU ARE DONE!
- B. IF THIS HU IS NOT REALLY AN HU, GO TO "B" ON THE OPPOSITE PAGE AND COMPLETE THE "DELETE LINE" SECTION.
- C. IF THE ADDRESS AND DESCRIPTION ARE NOT CORRECT, GO TO "C" ON THE OPPOSITE PAGE AND COMPLETE THE "CHANGE ADDRESS SECTION."
- D. CHECK FOR MISSED HUs BY FOLLOWING THE INSTRUCTIONS BELOW:

MESSAGE CODES

MESSAGE CODE ON LABEL	INSTRUCTIONS PRINTED ON LABEL	WHAT TO DO	TYPE OF UNIT
1	CHECK FOR MISSED HUs AT THE ADDRESS ABOVE. CHECK FOR MISSED HUs BETWEEN STREET ADDRESS ABOVE AND STREET ADDRESS BELOW.	<ol style="list-style-type: none"> 1. LOOK FOR HUs AT THE ASSIGNED ADDRESS WHICH ARE NOT ON THE SEGMENT PRINTOUT. 2. LOOK FOR MISSED HUs BETWEEN THE TWO ADDRESSES LISTED ON THE LABEL. FOLLOW THE SAME PATH THE LISTER DID TO DEFINE "BETWEEN." 3. ADD ANY MISSED HUs TO PART "D" OF THE SAMPLE REPORT. PLEASE NOTE SPECIAL RULES BELOW. 	SINGLE FAMILY UNIT
2	CHECK FOR MISSED HUs AT THIS APT. NUMBER. CHECK FOR HUs AT THIS STREET ADDRESS NOT LISTED ON THE SEGMENT PRINTOUT	<ol style="list-style-type: none"> 1. LOOK FOR MISSED HUs WITHIN THE INDIVIDUAL APT. 2. OBSERVE AND USE INFORMANTS TO FIND IF ANY HUs WERE MISSED AT THIS SAME STREET ADDRESS. 3. ADD MISSED HUs TO PART "D" OF SAMPLE REPORT 	FIRST-LISTED UNIT IN A MULTI-UNIT STRUCTURE.
3	CHECK FOR MISSED HUs AT THIS APARTMENT	<ol style="list-style-type: none"> 1. LOOK FOR MISSED HUs WITHIN THE INDIVIDUAL APARTMENT 2. ADD ANY MISSED HUs TO PART D OF THE SAMPLE REPORT 	ANY LISTED UNIT EXCEPT THE 1ST OR LAST LISTED IN A MULTI-UNIT STRUCTURE.
4	CHECK FOR MISSED HUs AT THIS APARTMENT NUMBER. CHECK FOR MISSED HUs BETWEEN THIS STREET ADDRESS AND THE ADDRESS BELOW.	<ol style="list-style-type: none"> 1. LOOK FOR MISSED HUs WITHIN THIS APARTMENT 2. LOOK FOR MISSED HUs BETWEEN THE TWO ADDRESSES LISTED ON THE LABEL. FOLLOW THE SAME PATH THE LISTER DID TO DEFINE "BETWEEN" 3. ADD ANY MISSED HUs TO PART "D" OF THE SAMPLE REPORT 	THE LAST LISTED UNIT IN A MULTI-UNIT STRUCTURE.

IF YOU FIND 1, 2, OR 3 MISSED HOUSING UNITS (HUs):

Page by page specifications for the HEF

- Page 1 **USE THIS PAGE FOR LIST SAMPLE CASES ONLY. If this is an area probability case, remove this page. Attach the assignment label with the R's name and address to the box at the top of the page. Read the introduction and ask question one. If the respondent agrees to the interview, turn to page 12. BE SURE NEIGHBORHOOD OBSERVATIONS, PAGE 9 IS COMPLETED.**
- Page 2 **USE THIS PAGE FOR LIST SAMPLE CASES ONLY. If this is an area probability case, remove this page. This is the non-interview report for list sample cases. Complete this page after consulting your FM/FS. BE SURE NEIGHBORHOOD OBSERVATIONS, PAGE 9 IS COMPLETED.**
- Page 3 **USE THIS PAGE FOR AREA PROBABILITY CASES ONLY. If this is a list sample case, remove this page. Attach the sample label to the box of the page. If this is an added missed HU case, copy the information from the original label and increase the original ID number by 10. Read the introduction and ask question one. If the respondent agrees to the interview, turn to page 12. BE SURE NEIGHBORHOOD OBSERVATIONS, PAGE 9 IS COMPLETED.**
- Page 4-5 **USE THIS PAGE FOR AREA PROBABILITY CASES ONLY. If this is a list sample case, remove this page. This is the non-interview report for area probability cases. Complete this page after consulting your FM/FS. BE SURE NEIGHBORHOOD OBSERVATIONS, PAGE 9 IS COMPLETED.**
- Page 6-7 **USE THESE PAGES FOR AREA PROBABILITY CASES ONLY. If this is a list sample case, remove these pages. Page 6 gives instructions on how to complete page 7.**
- Pages 9, 10 **This is the household enumeration list for ALL cases. Read question 2 and list the members of the household. Use the first name or relationship to the HEF respondent. Follow the "Whom to interview" instruction box to determine the survey respondent. Begin interview or establish an appointment for the interview.**
- Pages 11, 12 **NEIGHBORHOOD OBSERVATIONS. This is an informational page for ALL cases. Circle the most accurate answer to each question. Neighborhood refers to the general properties around the R's home. This page should be completed after your first visit to the respondent's home.**
- Page 13 **HEF RESPONDENT OBSERVATIONS. This is an informational page for ALL cases where there is any contact with a potential Respondent. Circle the most accurate answer to each question. This page should be completed after your first in-person contact with the Respondent.**
- Page 14 **This validation form is completed AFTER the interview is conducted. Explain to R that this information is kept separately from the questionnaire and is only used to verify the interview. BE SURE RESPONDENT OBSERVATIONS, PAGE 11 IS COMPLETED.**
- Pages 15, 16 **This is the Record of Calls. Assign the appropriate code after each contact or attempt made on this case.**

2. Only one member of your household will be eligible for this survey. In order to select that person, I need to list the first names of all the people who live here.

2a. Please tell me the first name of everyone who usually lives in this household, starting with yourself. (ENTER NAMES IN COLUMN A BELOW)

2b. What is (FIRST NAME)'s relationship to you? (TRY TO LEARN WHICH COUPLES ARE MARRIED/LIVING TOGETHER) (CODE IN COLUMN B BELOW)

2c. (CODE SEX IN COLUMN C BELOW. ASK IF NOT OBVIOUS.)

2d. How old was (FIRST NAME) on (his/her) last birthday? (CODE IN COLUMN D BELOW)

(A) First Name	(B) Relationship to HEF Respondent	(C) Sex	(D) Age	(E) Owner/ Lessor	(F) Reference Couple	(G) Designated Respondent
1.	<i>HEF Respondent</i>					
2.						
3.						
4.						
5.						
6.						
7.						
8.						
9.						
10.						

RULES FOR SELECTING DESIGNATED RESPONDENT

S1. IF NOT LS: CHECK BOX , AND GO TO S2

LS CASES ONLY

S1A. IF TWO NAMES ON LABEL, AND BOTH MEMBERS OF COUPLE LIVING THERE: CHECK BOX , MARK 'C' BY BOTH MEMBERS OF COUPLE IN COLUMN F AND GO TO S9

S1B. IF TWO NAMES ARE ON LABEL AND COUPLE ARE NO LONGER MARRIED/LIVING TOGETHER: CHECK BOX , MARK 'R' BY THIS PERSON IN COLUMN G, CONTACT FM, AND GO TO S10

S1C. IF THE ONE PERSON ON LABEL IS MARRIED/LIVING WITH PARTNER: MARK 'C' BY BOTH MEMBERS OF COUPLE IN COLUMN F, CHECK BOX , AND GO TO S9

S1D. IF THE ONE PERSON ON LABEL IS SINGLE: CHECK BOX , MARK 'R' BY THIS PERSON IN COLUMN G AND GO TO S10

S1E. ALL OTHER LIST CASES : CHECK BOX , CONTACT FM, AND STOP: FILL OUT RO (HEF Respondent Observations)

AREA-PROBABILITY CASES ONLY:

S2. IF ONLY ONE PERSON OVER AGE 18: CHECK BOX , MARK 'R' BY THIS PERSON IN COLUMN G, AND GO TO S10

- S3. IF ONLY ONE (MARRIED/PARTNER) COUPLE, NO ONE ELSE OR ALL OTHER UNDER AGE 18: CHECK BOX , MARK 'C' BY BOTH MEMBERS OF COUPLE IN COLUMN F, AND GO TO S9
- S4. ASK: Who owns or leases this residence? CHECK BOX , MARK WITH AN 'X' ALL LINES THAT APPLY IN COLUMN E, AND GO TO S5
- S5. IF NO ONE THERE OWNS OR LEASES: CHECK BOX , MARK 'Y' IN COLUMN E BY ALL PERSONS AGED 18 OR OLDER, AND GO TO S8
- S6. IF ONLY ONE COUPLE OWNS/LEASES: MARK 'C' BY BOTH MEMBERS OF COUPLE IN COLUMN F, CHECK BOX , AND GO TO S9
- S7. IF ONLY ONE INDIVIDUAL OWNS/LEASES: CHECK BOX , MARK 'R' BY THIS PERSON IN COLUMN G, AND GO TO S10
- S8. IF NO ONE AT LEAST AGE 18 OR MORE: CHECK BOX , AND GO TO S11A
- S8A. WHICH PERSON MARKED IN COLUMN E IS CLOSEST TO AGE 45 (IF MORE THAN ONE, CHOOSE ONE WITH BIRTHDAY CLOSEST TO JUNE 30)?: CHECK BOX , CIRCLE THE 'X/Y' BY THAT PERSON, AND GO TO S8B
- S8B. IF SELECTED PERSON CLOSEST TO 45, MARK 'C' BY BOTH MEMBERS OF COUPLE IN COLUMN F, CHECK BOX , AND GO TO S9
- S8C. IF SELECTED PERSON CLOSEST TO 45 IS NOT MARRIED/PARTNER: CHECK BOX , MARK 'R' IN COLUMN G BY THIS PERSON, AND GO TO S10

LS AND AREA-PROBABILITY CASES:

- S9. BETWEEN MEMBERS OF THE COUPLE MARKED WITH 'C' IN COLUMN F, WHO IS FINANCIALLY MOST KNOWLEDGABLE?: CHECK BOX , CIRCLE 'C' BY THAT PERSON IN COLUMN F, AND GO TO S9A
- S9A. IF THAT PERSON AVAILABLE/WILLING TO DO INTERVIEW -> CHECK BOX , MARK R IN COLUMN G BY THAT PERSON AND GO TO S11
- S9B. IF THAT PERSON NOT WILLING TO DO IW, BUT SPOUSE/PARTNER KNOWLEDGABLE AND WILLING: CHECK BOX , MARK 'R' IN COLUMN G BY SPOUSE/PARTNER AND GO TO S11
- S9C. IF NEITHER THAT PERSON NOR SPOUSE/PARTNER WILLING TO DO IW: CHECK BOX , AND GO TO S9D
- S9D. IF R ALLOWS PROXY TO DO INTERVIEW: CHECK BOX , MARK 'R' IN COLUMN G BY MOST KNOWLEDGABLE PERSON, AND GO TO S11
- S9E. NO PROXY ALLOWED: CHECK BOX , AND GO TO S11A
- S10. WILL R DO INTERVIEW OR IS A PROXY AGREEABLE TO R AVAILABLE TO DO THE INTERVIEW?: CHECK SINGLE BOX THAT APPLIES:
- R WILL DO INTERVIEW -> GO TO S11
 - R NOT AVAILABLE NOW -> MAKE APPOINTMENT, REQUEST PHONE NUMBER AND STOP: FILL OUT RO (HEF Respondent Observations)
 - PROXY WILL DO INTERVIEW -> GO TO S11
 - NEITHER OF THE ABOVE -> GO TO S11A
- S11. ('R' MARKED IN COLUMN G) PROCEED TO (INTERVIEW/REQUEST PHONE NUMBER AND SCHEDULE INTERVIEW) WITH DESIGNATED R OR PROXY. GO TO S12.
- S11A. OTHERWISE: COMPLETE NIR FORM. GO TO S12
- S12. **FILL OUT RO (HEF RESPONDENT OBSERVATIONS)!**

NEIGHBORHOOD OBSERVATIONS IN HEF (FILLED OUT AT TIME OF FIRST CALL)

NO 1. TYPE OF STRUCTURE IN WHICH R LIVES: (CODE THE FIRST THAT APPLIES)

- | | | |
|--|----|---|
| DID NOT SEE R'S HOME | 00 | (SKIP TO RESPONDENT
OBSERVATIONS, PAGE 13) |
| TRAILER, MOBILE HOME | 01 | |
| DETACHED SINGLE-FAMILY HOME | 02 | |
| BUILDING WITH 2-9 UNITS | 03 | |
| BUILDING WITH 10-49 UNITS | 04 | |
| BUILDING WITH 50 OR MORE UNITS | 05 | |
| APARTMENT IN MOSTLY COMMERCIAL STRUCTURE | 06 | |
| OTHER | 07 | |
| (SPECIFY) _____ | | |

NO 2. DOES THE BUILDING/PROPERTY CONTAINING THE HU HAVE ANY OF THE FOLLOWING?
(CODE ALL THAT APPLY AND FOLLOW SKIP FOR FIRST APPLICABLE RESPONSE).

- | | | |
|------------------------|---|-------------|
| DOORMAN | 1 | GO TO NO 3. |
| GUARD HOUSE | 2 | |
| OTHER GATEKEEPER | 3 | |

- | | | |
|---------------------------|---|-------------|
| LOCKED LOBBY | 4 | GO TO NO 4. |
| LOCKED GATE | 5 | |
| NO TRESPASSING SIGN | 6 | |
| NONE OF THE ABOVE. | 7 | |

NO 3. DOES THE (DOORMAN/GUARD/GATEKEEPER) REQUIRE PERMISSION FROM SOMEONE IN R'S
HU TO ALLOW YOU TO MAKE CONTACT WITH THE HOUSEHOLD?

- | | |
|-----------|---|
| YES | 1 |
| NO | 2 |

NO 4. CONDITION OF STRUCTURE IN WHICH R LIVES:

- | | |
|--|---|
| GENERALLY CLEAN AND SOUND | 1 |
| SOME PEELING PAINT OR CRACKS IN WALLS | 2 |
| NEEDS SUBSTANTIAL PAINTING, REFILLING, OR REPAIR ... | 3 |
| DILAPIDATED | 4 |

NO 5. HOUSING STRUCTURES ON (BLOCK/AREA) ARE:

- | | |
|---------------------------|---|
| 0-20 FEET APART | 1 |
| 21-100 FEET APART | 2 |
| OVER 100 FEET APART | 3 |

NO 6. THE AREA AROUND R'S HU IS: (CODE ALL THAT APPLY)

OPEN LAND/FARMS/RANCHES	01
TRAILER PARK	02
DETACHED SINGLE-FAMILY HOMES	03
ATTACHED SINGLE-FAMILY HOMES	04
BUILDINGS WITH 2-9 UNITS	05
BUILDINGS WITH 10-49 UNITS	06
BUILDINGS WITH 50 OR MORE UNITS	07
GOLF COURSE	08
PARK OR CEMETERY	09
COMMERCIAL STRUCTURES	10
VACANT BUILDINGS	11
SCHOOL OR OTHER GOV'T BUILDING	12
OTHER	13
(SPECIFY) _____	

NO 7. BUILDINGS IN THE IMMEDIATE NEIGHBORHOOD ARE:

ALL RESIDENTIAL	1
MOSTLY RESIDENTIAL	2
ABOUT EQUALLY RESIDENTIAL AND NONRESIDENTIAL	3
NONE IN VIEW	4

NO 8. GENERAL CONDITION OF THE EXTERIOR OF BUILDINGS AROUND R'S DWELLING LOOKS:

BETTER THAN R'S	1
AS GOOD AS R'S	2
WORSE THAN R'S	3
NO OTHER BUILDINGS IN VIEW	4

NO 9. NEIGHBORHOOD RESIDENTS SEEM TO BE:

ALL OR ALMOST ALL BLACK/AFRICAN-AMERICAN	1
ALL OR ALMOST ALL HISPANIC	2
ALL OR ALMOST ALL NON-HISPANIC WHITE	3
MIXED BLACK/AFRICAN-AMERICAN AND HISPANIC	4
MIXED BLACK/AFRICAN-AMERICAN AND NON-HISPANIC WHITE	5
MIXED HISPANIC AND NON-HISPANIC WHITE	6
MIXED BLACK/AFRICAN-AMERICAN, HISPANIC AND NON-HISPANIC WHITE	7
OTHER MIXED NEIGHBORHOOD	8

NO 10. GENERALLY, THE AREA AROUND THE R'S HU APPEARS TO BE:

WEALTHY	1
POOR	2
OTHER	3

**INTERVIEWER OBSERVATION AFTER FIRST
CONTACT WITH POTENTIAL HEF RESPONDENT**

RO 1. THE FIRST PERSONAL CONTACT WITH THE HEF RESPONDENT WAS:

- FACE-TO-FACE 1
- BY INTERCOM OR TELEPHONE AT HU 2
- OTHER TELEPHONE 3
- OTHER 4
- (SPECIFY): _____

GO TO RO 3.

RO 2. RACE/ETHNICITY OF HEF RESPONDENT WAS:

- NON-HISPANIC WHITE 1
- AMERICAN INDIAN; NATIVE AMERICAN; ESKIMO; ALEUT 2
- ASIAN; PACIFIC ISLANDER 3
- HISPANIC 4
- BLACK; AFRICAN-AMERICAN 5
- OTHER 6
- (SPECIFY) _____

RO 3. DID THE HEF RESPONDENT ASK ANY OF THE FOLLOWING? (CODE ALL THAT APPLY)

- WHAT IS THE PURPOSE OF THE SURVEY 1
- WHO IS THE SPONSOR OF THE SURVEY 2
- WHY/HOW WAS I CHOSEN 3
- HOW LONG WILL THE INTERVIEW TAKE 4
- WHO WILL SEE THE ANSWERS; PRIVACY QUESTIONS ... 5
- CAN I GET A COPY OF THE RESULTS 6
- IS THERE AN INCENTIVE FOR PARTICIPATING 7
- OTHER INFORMATIONAL QUESTION OR EXPRESSION OF
INTEREST 8
- (SPECIFY): _____
- NONE OF THE ABOVE 9

RO 4. DID THE HEF RESPONDENT MAKE ANY OF THE FOLLOWING COMMENTS? (CODE ALL THAT APPLY)

- I'M TOO BUSY/DON'T HAVE TIME/SURVEY TOO LONG ... 01
- I DON'T LIKE/DO SURVEYS 02
- I DON'T KNOW ANYTHING ABOUT THIS 03
- I'M NOT THE SORT OF PERSON YOU WANT 04
- I'M NOT INTERESTED 05
- THIS IS TOO PERSONAL 06
- SURVEY A WASTE OF MONEY 07
- GOVERNMENT HAS NO BUSINESS DOING THIS 08
- GOVERNMENT ALREADY KNOWS ALL THIS 09
- LET ME THINK ABOUT IT 10
- OTHER NEGATIVE COMMENT OR DELAY 11
- (SPECIFY): _____
- NONE OF THE ABOVE 12

PLACE LABEL HERE

RECORD OF CALLS, PAGE 1 OF | |

FINAL INTERVIEWER _____

RESPONDENT NAME: _____

DISPOSITION CODES

ID # | | | | | | | | | |

RESPONDENT ADDRESS: _____

NIRs (NON-INTERVIEW REPORTS)
(FM APPROVAL REQUIRED)

PRE-INTERVIEW

COMPLETED CASES

AREA PROBABILITY

LIST SAMPLE

BOTH LIST & AREA PROB.

- 01..No Action
- 10..Lead Letter Mailed

PENDING CODES

- 33..Temporarily Unlocatable
- 34..Temporarily Unavailable
- 35..Letter Sent
- 38..HU Not Accessible
- 39..Not a Residence/R. not yet Located
- 42..Incompetent/III/Handicapped
- 43..Suspended Case
- 45..Temporary Refusal
- 46..Appointment Made
- 47..R Broke Appointment
- 48..Negotiations in Progress
- 49..Other
- 50..HEF Completed

- 60..Partial Complete
- 61..Completed Case (In Person)
- 62..Completed Case (Telephone)
- 65..Converted Case (In Person)
- 66..Converted Case (Telephone)
- 68..Completed (Person & Telephone)
- 69..Converted (Person & Telephone)
- 71..Vacant HU
- 72..Not an HU
- 73..Seasonal Vacant
- 74..No Contract
- 75..Vacant Trailer Space
- 76..Sample Incorrect
- 77..No Eligible Respondent

- 80..Refusal
- 81..R Deceased
- 82..Unable to Locate
- 83..Unavailable During Field Period
- 84..R Moved Out of Range
- 86..R Institutionalized

- 90..Language Barrier
- 91..Refusal by Gatekeeper
- 92..Refusal/Breakoff-Too Long
- 93..Refusal/Breakoff-Too Invasive/Personal
- 94..Refusal-Gov't Involvement
- 95..Incompetent/III/Handicapped
- 96..HEF Completed; Quex Refused
- 97..Other

INTERVIEWER: BE SURE TO COMPLETE NEIGHBORHOOD OBSERVATIONS ON PAGES 11 AND 12

TRY #	DAY	MONTH (1-12)	TIME ENTER EXACT TIME AM/PM	TYPE PER-1 TEL-2	NAME & PHONE NUMBER OF CONTACT	DISP CODE	COMMENTS	YOUR NAME & ID (PRINT FULL NAME & ID)
	Sun-1							
	Mon-2							
	Tue-3							
	Wed-4							
	Thur-5							
	Fri-6							
	Sat-7							

GO TO PAGE 15 IF NECESSARY