

Micro Report Series Description

Series Mnemonic	SECF
Series Name	Securities and Exchange Commission Focus Report (Financial Operational Combined Uniform Single Report) SEC 1695/1696 Part II & IIA
Starting and Ending Date	March 31, 2000 Continuing
Frequency	Annually, as of the end of the calendar year

Reporting Panel

Schedule I of Form X-17A-5 is a calendar year unconsolidated report to be filed by all registrants either as a supplement to the regular fourth quarter Part II of Form X-17A-5 within seventeen business days of the end of the third quarter.

Brokers or dealers which are exempt from filing requirements of paragraphs (a)(iii) of Section 240.17a-5 are to submit no later than 17 business days after the close of the calendar year, an annual report consisting of the Facing Page, Balance Sheet, and Revenue Expense Statement from Part IIA of Form and Schedule I of Form X-17-5.

Schedule I requires the reporting of general information designed to measure certain economic and financial characteristics of the registrant.

Major Changes to Reporting Panel

None

Data Mode

Dollars
Annually

Data Availability

No later than 45 days following the end of the report cycle. If the 45-day deadline falls on a weekend or holiday, the deadline is moved to the next business day.

Major Series Changes

None

Confidentiality

Microdata are confidential.