



Board of Governors
of the Federal Reserve System
Washington, DC 20551

Pre-Hire Conflict of Interest Screening

An equal opportunity employer

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Note: You must complete this form in Adobe Acrobat or Reader, not a web browser. If you do not have Adobe Acrobat or Reader, [download a free version](#).

Name: _____ Division: _____

Title of job for which you are applying: _____

Working for the central bank of the United States carries with it responsibility for maintaining public trust in the Federal Reserve System. To determine whether your financial interests or outside relationships pose any potential conflicts of interest, you must provide the information requested below to the extent not previously described on your employment application (FR 28), which is hereby incorporated by reference.

Financial Interests (This section generally reformatted)

To the best of your knowledge, list all of the following assets that you, your spouse, or your minor children hold:

- (1) Any debt or equity interests (such as stocks, bonds, or exchange-traded notes (ETNs)) in any bank, bank holding company, savings & loan holding company, thrift or savings and loan association, consulting or law firm advising or representing financial institutions, economic forecasting or economic consulting firm, or a vendor/contractor that you know works with the Federal Reserve.
- (2) Any interests in investment funds (such as mutual funds, exchange-traded funds (ETFs), unit investment trusts, or private equity funds) with a policy of concentrating in the financial sector.¹
- (3) Any interests in crypto-assets,² other digital assets, or crypto-asset-focused investments.³ **New**

In addition, list any assets meeting the above criteria that are held within a trust for you which you, your spouse, or your minor children are a trustee or vested beneficiary.

Note: Do **not** list diversified funds (e.g., large cap funds, S&P 500 index funds, target date retirement funds) and cash accounts. If you are unsure whether an asset should be reported, please contact Board-Ethics-Office@frb.gov.

1. Financial sector funds typically have terms like "Banking" or "Financial" in their names and also include those focusing in financial technology (fintech) and crypto-asset mining and/or services.

2. Crypto-assets are a type of digital asset, which may be a medium of exchange, for which generation or ownership records are supported through a distributed ledger technology (DLT) that relies on cryptography, such as a blockchain. Examples of Crypto-assets include Bitcoin (BTC), Ethereum (ETH), and Cardano (ADA), and also stablecoins such as Tether (USDT), USD Coin (USDC), and Binance USD (BUSD). **New**

3. A "Crypto-asset-focused investment" means any crypto-asset investment fund, any crypto-asset-linked derivative, and any other investment (such as a stock or an option) tied to the value of a crypto-asset exchange, crypto-asset mining company, or stablecoin issuer.

☐ Check here if you have nothing to report for this section.

Financial Interest	Owner

Pre-Hire Conflict of Interest Screening—(continued)

Relatives in Related Businesses

To the best of your knowledge, list any position held by your spouse, child, parent, or sibling as an employee, officer, director, or trustee of any bank, bank holding company, savings & loan holding company, or affiliate of any such entity; consulting firm or law firm advising financial institutions; economic forecasting or other economic consulting firm; or a vendor/contractor that you know works with the Federal Reserve.

☐ Check here if you have nothing to report for this section.

Company or Entity	Position	Relationship

Outside Positions and Activities

A Board employee's compensated outside employment must be reviewed and approved by the employee's division and the Board's Ethics Office. Additionally, some unpaid positions also can raise conflicts of interest concerns. List below any outside employment, paid work, or business you plan to engage in or continue to engage in if you become a Board employee. Also list any outside position⁴ (including an uncompensated position) you plan to hold while employed by the Board. Do not disclose positions with religious, social, fraternal, or political entities.

4. Reportable positions include officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, or of any non-profit organization or educational institution.

☐ Check here if you have nothing to report for this section.

Name of Organization, Employer, or Business	Position

Borrowing Relationships - New section

In the space below, list any borrowing relationships that you, your spouse, dependent child, or related business organization have with any entity (e.g., auto loans, student loans, personal loans, mortgages, overdraft protection lines, etc.).⁵ Include loans that are guaranteed or co-signed. Do not list credit cards unless payments are not current. For each loan provide:

- (1) the name of the lender, not the servicer of the loan,
- (2) the borrower's relationship to you (i.e., self, your spouse, your dependent child),
- (3) type of debt (e.g., student loan, car loan, mortgage on primary residence, etc.).

5. Related business organizations include any company or business in which the employee or the employee's spouse or dependent child owns or controls more than 10% of its equity, and any partnership in which the employee or the employee's spouse or dependent child is a general partner.

☐ Check here if you have nothing to report for this section.

Lender Name	Borrower's Relationship to You	Type of Debt

Pre-Hire Conflict of Interest Screening—(continued)

Other Potential Conflicts

In the space below, describe any other situations, arrangements, or investments that you believe could pose a conflict of interest based on the duties of the position for which you are applying.

☐ Check here if you have nothing to report for this section.

I certify that my statements on this form, and any attachments to it, are true, complete, and correct to the best of my knowledge and belief and are made in good faith.

By typing my name, I am signing this document electronically and intend that my electronic signature be treated as the legal equivalent of having placed my handwritten signature on this document. I affirm that the information I have submitted is complete and true to the best of my knowledge.

Name

Date (MM/DD/YYYY)

Privacy Act Statement

PURPOSE

The Board collects the information you provide on this form to manage and document compliance with the Board's and the Federal Government's ethics program requirements.

AUTHORITY

The collection of this information is authorized by Section 10 and 11 of the Federal Reserve Act (12 U.S.C. §§ 244 and 248(l)), the Ethics in Government Act of 1978, 5 U.S.C. 13103 et. seq.; the Ethics Reform Act of 1989, Pub. L. 101-194; and 5 C.F.R. 2638.104(c) (2). In addition, the collection of this information is authorized by Executive Order 9397.

EFFECTS OF NONDISCLOSURE

Furnishing this information is voluntary; however, failure to furnish the requested information may delay or prevent employment by the Board.

ROUTINE USES

The information you provide will be stored in the system of records entitled BGFRS-1 "FRB—Recruiting, Placement, and Onboarding Records" and BGFRS-41 "FRB—Ethics Program Records." All or part of the information may be disclosed outside the Board in accordance with routine uses, A, B, C, D, E, F, G, H, I, and J (see "General Routine Uses of Board Systems of Records" available at <https://www.federalreserve.gov/files/SORN-page-general-routine-uses-of-board-systems-of-records.pdf>).

Furthermore, all or part of the information may be disclosed outside of the Board in order to disclose information to any source from which additional information is requested (to the extent necessary to identify the individual, inform the source of the purpose(s) of the request, and identify the type of information requested), or when necessary to obtain information relevant to a Board decision to hire or retain an employee, issue a security clearance, conduct a security or suitability investigation of an individual, classify jobs, let a contract, or issue a license, grant, or other benefit.