**Board of Governors of the Federal Reserve System**

# The Capital and Asset Report for Foreign Banking Organizations—FR Y-7Q

## (See General Instructions for filing frequency requirements)

This Report is required by law: Section 5(c) of the Bank Holding Company Act (12 U.S.C. 1844(c)) and sections 8(c) and 13 of the International Banking Act (12 U.S.C. 3106(c) and 3108).

The Capital and Asset Report for Foreign Banking Organizations is to be prepared in accordance with the instructions provided by the Federal Reserve System. The Federal Reserve may not conduct or sponsor, and an organization is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

NOTE: The Capital and Asset Report for Foreign Banking Organizations must be signed by an authroized officer of the foreign banking organization.

I, the undersigned officer of the foreign banking organization attest that the FR Y-7Q report for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and is true and correct to the best of my knowledge and belief.

Printed Name of Officer (FBOQ C490)

Title of Officer (FBOQ C491)

Signature of Officer

Date of Signature (MM/DD/CCYY) (FBOQ J196)

Date of Report:

Month / Date / Year (FBOQ 9999)

Legal Name of Foreign Banking Organization (TEXT 9010)

Mailing Address of Foreign Banking Organization (TEXT 9110)

City (TEXT 9130) Country (TEXT 9005) Postal Code (TEXT 9220)

Has confidential treatment been requested for this report? ........................

| | | |
|---|---|---|
| "0" = No<br>"1" = Yes | FBOQ<br>C447 | |

Person in the U.S. to whom questions about this report should be directed:

Name / Title (FBOQ 8901)

Area Code / Phone Number (FBOQ 8902)

Area Code / FAX Number (FBOQ 9116)

E-mail Address of Contact (FBOQ 4086)

**For Federal Reserve Bank Use Only**

Top-tier FBO RSSD ID ______________

Lower-tier FBO RSSD ID ______________

C.I. ______ S.F. ______ F.C. ______

Public reporting burden for this information collection is estimated to average 1.25 hours for quarterly reporters and 1 hour for annual reporters, including the time to gather and maintain data in the required form and to review instructions and complete the information collection. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to: Secretary, Board of Governors of the Federal Reserve System, 20th and C Streets, N.W., Washington, DC 20551, and to the Office of Management and Budget, Paperwork Reduction Project (7100–0125), Washington, DC 20503.

# Part 1. Capital and Asset Information for the Top-tier Consolidated Foreign Banking Organization

| | "0" = No / "1" = Yes | FBOQ | | |
|---|---|---|---|---|
| 1. Is the foreign banking organization required by its home-country supervisor to calculate its capital ratios using a risk-adjusted framework consistent with the Basel Capital Accord? ............ | | B162 | | 1. |

If the answer to line item 1 is "yes," provide capital and asset information in U.S. dollars using the procedure consistent with the risk-based framework required by the home-country supervisor. If the answer to line item 1 is "no," then provide the organization's best approximation under the Basel Capital Accord of the capital and asset information requested in U.S. dollars.

| U.S. Dollar Amounts in Millions | FBOQ | Tril | Bil | Mil | |
|---|---|---|---|---|---|
| 2. Tier 1 capital........................................ | 8274 | | | | 2. |
| 3. Total risk-based capital................................ | 3792 | | | | 3. |
| 4. Risk-weighted assets.................................... | A223 | | | | 4. |
| 5. Total assets at end of period .......................... | 2170 | | | | 5. |

| | FBOQ | Month MM | Day DD | Year CCYY | |
|---|---|---|---|---|---|
| 6. Enter the as-of date for the financial data provided above .................................................. | C116 | | | | 6. |

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NOTE: Part 1 is to be reported by each top-tier FBO annually if it or any FBO in its tiered structure has not effectively elected to be a financial holding company (FHC). Part 1 is to be reported by each top-tier FBO quarterly if it or any in its tiered structure has effectively elected to be an FHC.

**For Federal Reserve Bank Use Only**
Top-tier FBO RSSD ID ______________________________

C.I. _______ S.F. _______ F.C. _______

# Part 2. Capital and Asset Information for the Lower-tier FBOs Operating a Branch, or Agency, or Owning an Edge or Agreement Corporation, or a Commercial Lending Company Subsidiary in the United States (where any FBO in the Organization's tiered structure has effectively elected to be a financial holding company)[1]

General Information:

| Legal title of lower-tier FBO operating a branch, or an agency, or owning an Edge/ Agreement Corporation, or a commercial lending company subsidiary in the United States | Country of lower-tier FBO operating a branch, or an agency, or owning an Edge/ Agreement corporation, or a commercial lending company subsidiary in the United States |
|---|---|
| | |

1. Is the reporting lower-tier FBO required by its home-country supervisor to calculate its capital ratios using a risk-adjusted framework consistent with the Basel Capital Accord? ....................... 

| "0" = No<br>"1" = Yes | FBOQ | | |
|---|---|---|---|
| | B162 | | 1. |

If the answer to line item 1 is "yes," provide capital and asset information in U.S. dollars using the procedure consistent with the risk-based framework required by the home-country supervisor. If the answer to line item 1 is "no," then provide the organization's best approximation under the Basel Capital Accord of the capital and asset information requested in U.S. dollars.

| U.S. Dollar Amounts in Millions | FBOQ | Tril | Bil | Mil | |
|---|---|---|---|---|---|
| 2. Tier 1 capital.......... | 8274 | | | | 2. |
| 3. Total risk-based capital.......... | 3792 | | | | 3. |
| 4. Risk-weighted assets.......... | A223 | | | | 4. |
| 5. Total assets at end of period.......... | 2170 | | | | 5. |

| | FBOQ | Month MM | Day DD | Year CCYY | |
|---|---|---|---|---|---|
| 6. Enter the as-of date for the financial data provided above .......... | C116 | | | | 6. |

1. A separate version of Part 2 should be submitted for each of the top-tier reporter's lower-tier FBOs that meet these reporting requirements.

**For Federal Reserve Bank Use Only**

Top-tier FBO RSSD ID ____________________

Lower-tier FBO RSSD ID ____________________

C.I. ______ S.F. ______ F.C. ______