

FR Y-14A: Regulatory Capital Transitions Cover Sheet

Institution Name:

RSSD ID:

As of Date (MM/DD/YY):

Submission Date (MM/DD/YY):

Please indicate the scenario associated with this submission using the following drop-down menu:

Supervisory Baseline

Please describe the baseline scenario associated with this submission. It should be consistent with that used for other capital plan baseline projections.

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Please refer to Regulatory Capital Transitions section of the "Instructions for the Capital Assessments and Stress Testing information collection" when completing this schedule.

Instructions

1. Please complete the FR Y-14A Regulatory Capital Transitions Schedule using **actual data** for as of date, and **projected data** for the periods PY 1 through PY 6. For all projections, please use the baseline scenario as specified in the worksheet "CoverSheet."
2. Instructions for completing the schedule are contained in Regulatory Capital Transitions section of the "Instructions for the Capital Assessments and Stress Testing information collection."
3. All data should be populated within the non-shaded cells in all worksheets. Cells highlighted in grey have embedded formulas and therefore will be automatically populated.
4. BHCs should ensure that the version of Microsoft Excel they use to complete the schedule is set to automatically calculate formulas. This is achieved by setting "Calculation Options" (under the Formulas function) to "Automatic" within the settings for Microsoft Excel.

FR Y-14A Schedule D.1 - Capital Composition

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

Capital Composition		FR Y-9C Schedule HC-R (Part I.B.) reference	Actual in \$Millions as of date	PY 1	PY 2	Projected in \$Millions PY 3PY 4		PY 5	PY 6
1	AOICI opt-out election? (enter "1" for Yes; enter "0" for No)	bhcap838							
Common equity tier 1 capital									
2	Common stock and related surplus (net of treasury stock and unearned employee stock ownership plan [ESOP] shares)	bhcap742							
3	Retained earnings	bhct3247							
4	Accumulated other comprehensive income (AOICI)	bhcap530							
5	Common equity tier 1 minority interest includable in common equity tier 1 capita	bhcap839							
6	Common equity tier 1 before adjustments and deductions (sum of items 2 through 5)	bhca840	-	-	-	-	-	-	-
Common equity tier 1 capital: adjustments and deductions									
7	Goodwill, net of associated deferred tax liabilities (DTLs)	bhcap841							
8	Intangible assets (other than goodwill and mortgage servicing assets (MSAs)), net of associated DTLs	bhcap842							
9	Deferred tax assets (DTAs) that arise from net operating loss and tax credit carryforwards, net of any related valuation allowances and net of DTLs	bhcap843							
If item 1 is “1” for “Yes”, complete items 10 through 14 only for AOICI related adjustments.									
10	AOICI related adjustments: Net unrealized gains (losses) on available-for-sale securities (if a gain, report as a positive value; if a loss, report as a negative value)	bhcap844							
11	AOICI related adjustments: Net unrealized loss on available-for-sale preferred stock classified as an equity security under GAAP and available-for-sale equity exposures (report loss as a positive value)	bhcap845							
12	AOICI related adjustments: Accumulated net gains (losses) on cash flow hedges (if a gain, report as a positive value; if a loss, report as a negative value)	bhcap846							
13	AOICI related adjustments: Amounts recorded in AOICI attributed to defined benefit postretirement plans resulting from the initial and subsequent application of the relevant GAAP standards that pertain to such plans (if a gain, report as a positive value; if a loss, report as a negative value)	bhcap847							
14	AOICI related adjustments: Net unrealized gains (losses) on held-to-maturity securities that are included in AOICI (if a gain, report as a positive value; if a loss, report as a negative value)	bhcap848							
If item 1 is “0” for “No”, complete item 15 only for AOICI related adjustments.									
15	AOICI related adjustments: Accumulated net gain (loss) on cash flow hedges included in AOICI, net of applicable tax effects, that relate to the hedging of items that are not recognized at fair value on the balance sheet (if a gain, report as a positive value; if a loss, report as a negative value)	bhcap849							
16	Other deductions from (additions to) common equity tier capital 1 before threshold-based deductions: Unrealized net gain (loss) related to changes in the fair value of liabilities that are due to changes in own credit risk (if a gain, report as a positive value; if a loss, report as a negative value)	bhcaq258							
17	Other deductions from (additions to) common equity tier capital 1 before threshold-based deductions: All other deductions from (additions to) common equity tier 1 capital before threshold-based deductions	bhcap850							
18	Non-significant investments in the capital of unconsolidated financial institutions in the form of common stock that exceed the 10 percent threshold for non-significant investments	bhcap851							
19	Subtotal (item 6 minus items 7 through 18)	bhcap852	-	-	-	-	-	-	-
20	Significant investments in the capital of unconsolidated financial institutions in the form of common stock, net of associated DTLs, that exceed the 10 percent common equity tier 1 capital deduction threshold (from the Exceptions Bucket Calc tab)	bhcap853	-	-	-	-	-	-	-
21	MSAs, net of associated DTLs, that exceed the 10 percent common equity tier 1 capital deduction threshold (from the Exceptions Bucket Calc tab)	bhcap854	-	-	-	-	-	-	-
22	DTAs arising from temporary differences that could not be realized through net operating loss carrybacks, net of related valuation allowances and net of DTLs, that exceed the 10 percent common equity tier 1 capital deduction threshold (from the Exceptions Bucket Calc tab)	bhcap855	-	-	-	-	-	-	-
23	Amount of significant investments in the capital of unconsolidated financial institutions in the form of common stock; MSAs, net of associated DTLs; and DTAs arising from temporary differences that could not be realized through net operating loss carrybacks, net of related valuation allowances and net of DTLs; that exceeds the 15 percent common equity tier 1 capital deduction threshold (from the Exceptions Bucket Calc tab)	bhcap856	-	-	-	-	-	-	-
24	Deductions applied to common equity tier 1 capital due to insufficient amount of additional tier 1 capital and tier 2 capital to cover deductions	bhcap857							
25	Total adjustments and deductions for common equity tier 1 capital (sum of items 20 through 24)	bhcap858	-	-	-	-	-	-	-
26	Common equity tier 1 capital (item 19 minus item 25)	bhcap859	-	-	-	-	-	-	-
Additional tier 1 capital									
27	Additional tier 1 capital instruments plus related surplus	bhcap860							
28	Tier 1 minority interest not included in common equity tier 1 capital	bhcap862							
29	Additional tier 1 capital before deductions (sum of items 27 through 28)	bhcap863	-	-	-	-	-	-	-
30	Additional tier 1 capital deductions	bhcap864							

FR Y-14A Schedule D.1 - Capital Composition

Capital Composition		FR Y-9C Schedule HC-R (Part I.B.) reference	Actual in \$Millions as of date	PY 1	PY 2	Projected in \$Millions		PY 3	PY 4	PY 5	PY 6
31	Additional tier 1 capital (greater of item 29 minus item 30 or zero)	bhcap865	-	-	-	-	-	-	-	-	-
Tier 1 capital											
32	Tier 1 capital (sum of items 26 and 31)	bhca8274	-	-	-	-	-	-	-	-	-
Other (reflect all items on a year-to-date basis)											
33	Issuance of common stock (including conversion to common stock)	bhck4340 bhck4598 bhck4460									
34	Repurchases of common stock										
35	Net income (loss) attributable to bank holding company										
36	Cash dividends declared on preferred stock										
37	Cash dividends declared on common stock										
38	Previously issued tier 1 capital instruments (excluding minority interest) that would no longer qualify (please report 100% value										
39	Previously issued tier 1 minority interest that would no longer qualify (please report 100% value										
Data Completeness Check											
40	If "No", please complete all non-shaded cells until all cells to the right say "Yes." Do not leave cells blank; enter "0" if not applicable.		No	No	No	No	No	No	No	No	No

FR Y-14A Schedule D.2 - Exceptions Bucket Calculator

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

"Exceptions Bucket" Calculator		Actual in	Projected in \$Millions					
		\$Millions as of date	PY 1	PY 2	PY 3	PY 4	PY 5	PY 6
Significant investments in the capital of unconsolidated financial institutions in the form of common stock								
1	Gross significant investments in the capital of unconsolidated financial institutions in the form of common stock							
2	Permitted offsetting short positions in relation to the specific gross holdings included above							
3	Significant investments in the capital of unconsolidated financial institutions in the form of common stock net of short positions (greater of item 1 minus 2 or zero)	-	-	-	-	-	-	-
4	10 percent common equity tier 1 deduction threshold (10 percent of item 19 in the Capital Composition tab)	-	-	-	-	-	-	-
5	Amount to be deducted from common equity tier 1 due to 10 percent deduction threshold (greater of item 3 minus 10 percent of item 4 or zero)	-	-	-	-	-	-	-
Mortgage servicing assets								
6	Total mortgage servicing assets classified as intangible							
7	Associated deferred tax liabilities which would be extinguished if the intangible becomes impaired or derecognized under the relevant accounting standards							
8	Mortgage servicing assets net of related deferred tax liabilities (item 6 minus item 7)	-	-	-	-	-	-	-
9	10 percent common equity tier 1 deduction threshold (10 percent of item 19 in the Capital Composition tab)	-	-	-	-	-	-	-
10	Amount to be deducted from common equity tier 1 due to 10 percent deduction threshold (greater of item 8 minus 10 percent of item 9 or zero)	-	-	-	-	-	-	-
Deferred tax assets due to temporary differences								
11	DTAs arising from temporary differences that could not be realized through net operating loss carrybacks, net of related valuation allowances and net of DTLs							
12	10 percent common equity tier 1 deduction threshold (10 percent of item 19 in the Capital Composition tab)	-	-	-	-	-	-	-
13	Amount to be deducted from common equity tier 1 due to 10 percent deduction threshold (greater of item 11 minus 10 percent of item 12 or zero)	-	-	-	-	-	-	-
Aggregate of items subject To the 15% limit (significant investments, mortgage servicing assets and deferred tax assets arising from temporary differences)								
14	Sum of items 3, 8, and 11	-	-	-	-	-	-	-
15	15 percent common equity tier 1 deduction threshold (item 19 in the Capital Composition tab minus item 14, multiplied by 17.65 percent)	-	-	-	-	-	-	-
16	Sum of items 5, 10, and 13	-	-	-	-	-	-	-
17	Item 14 minus item 16	-	-	-	-	-	-	-
18	Amount to be deducted from common equity tier 1 due to 15 percent deduction threshold (greater of item 17 minus item 15 or zero)	-	-	-	-	-	-	-
Data Completeness Check								
19	If "No", please complete all non-shaded cells until all cells to the right say "Yes." Do not leave cells blank; enter "0" if not applicable.	No	No	No	No	No	No	No

FR Y-14A Schedule D.3 - Advanced Risk-Weighted Assets

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

			Actual in \$Millions as of date	Projected in \$Millions					
Risk-weighted Assets-Advanced ^{1, 2}		FFIEC 101 reference		PY 1	PY 2	PY 3	PY 4	PY 5	PY 6
Advanced Approaches Credit Risk (Including CCR and non-trading credit risk), with 1.06 scaling factor where applicable									
1	Credit RWA		-	-	-	-	-	-	-
2	Wholesale Exposures		-	-	-	-	-	-	-
3	Corporate	AABGJ124							
4	Bank	AABGJ125							
5	Sovereign	AABGJ126							
6	IPRE	AABGJ127							
7	HVCRE	AABGJ128							
8	Counterparty Credit Risk		-	-	-	-	-	-	-
9	Eligible margin loans, repostyle transactions and OTC derivatives with crossproduct netting—EAD adjustment method	AABGJ129							
10	Eligible margin loans, repostyle transactions and OTC derivatives with crossproduct netting—collateral reflected in LGD	AABGJ130							
11	Eligible margin loans, repostyle transactions—no cross-product netting—EAD adjustment method	AABGJ131							
12	Eligible margin loans, repostyle transactions—no cross-product netting—collateral reflected in LGD	AABGJ132							
13	OTC derivatives—no cross-product netting—EAD adjustment method	AABGJ133							
14	OTC derivatives—no crossproduct netting—collateral reflected in LGD	AABGJ134							
15	Retail Exposures		-	-	-	-	-	-	-
16	Residential mortgage— closed-end first lien exposures	AABGJ135							
17	Residential mortgage— closed-end junior lien exposures	AABGJ136							
18	Residential mortgage—revolving exposures	AABGJ137							
19	Qualifying revolving exposures	AABGJ138							
20	Other retail exposures	AABGJ139							
21	Securitization Exposures		-	-	-	-	-	-	-
22	Subject to supervisory formula approach (SFA)	AABG J142							
23	Subject to simplified supervisory formula approach (SSFA)	AABG P920							
24	Subject to 1,250% risk-weight	AABG P921							
25	Cleared Transactions		-	-	-	-	-	-	-
26	Derivative contracts and netting sets to derivatives	AABG P922							
27	Repo-style transactions	AABG P923							
28	Default fund contributions	AABG P924							
29	Equity Exposures	Sum of AABGJ144, AABGJ145,AABGJ146							
30	Other Assets	Sum of AABGJ147, AABGJ148, AABGJ149							
31	CVA Capital Charge (risk-weighted asset equivalent)		-	-	-	-	-	-	-
32	Advanced CVA Approach	AABG P926	-	-	-	-	-	-	-
33	Unstressed VaR with Multipliers								
34	Stressed VaR with Multipliers								
35	Simple CVA Approach	AABG P925							
Advanced Approaches Operational Risk									
36	Operational RWA	AABGJ154							
Market Risk									
37	Market RWA	AABG J153	-	-	-	-	-	-	-
38	VaR with Multiplier								
39	Stressed VaR with Multiplier								
40	Incremental Risk Charge (IRC)								
41	Correlation Trading		-	-	-	-	-	-	-
42	Comprehensive Risk Measurement (CRM), Before Application of Surcharge								
43	Standardized Measurement Method (100%) for Exposures Subject to CRM		-	-	-	-	-	-	-

FR Y-14A Schedule D.3 - Advanced Risk-Weighted Assets

Risk-weighted Assets-Advanced ^{1, 2}		FFIEC 101 reference	Actual in \$Millions	Projected in \$Millions					
			as of date	PY 1	PY 2	PY 3	PY 4	PY 5	PY 6
44	CRM Floor Based on 100% of Standardized - Net Long								
45	CRM Floor Based on 100% of Standardized - Net Short								
46	Non-modeled Securitization		-	-	-	-	-	-	-
47	Net Long								
48	Net Short								
49	Specific risk add-on (excluding securitization and correlation)		-	-	-	-	-	-	-
50	Sovereign debt positions								
51	Government sponsored entity debt positions								
52	Depository institution, foreign bank, and credit union debt positions								
53	Public sector entity debt positions								
54	Corporate debt positions								
55	Equity								
56	Other market risk								
57	Assets subject to the general risk-based capital requirements	AABG/198							
58	Other RWA								
59	Excess eligible credit reserves not included in tier 2 capital	AABG/152							
60	Total RWA		-	-	-	-	-	-	-
Data Completeness Check									
61 If "No", please complete all non-shaded cells until all cells to the right say "Yes." Do not leave cells blank; enter "0" if not applicable.			No	No	No	No	No	No	No

Footnotes:

¹ Amounts calculated as capital requirements should be converted to risk-weighted assets by multiplying by 12.5.

² Any assets deducted from capital should not be included in risk-weighted assets.

FR Y-14A Schedule D.4 - Standardized Risk-Weighted Assets

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

Risk-weighted Assets-Standardized ^{1, 2}		Actual in \$Millions as of date	PY 1	PY 2	Projected in \$Millions		
			PY 3	PY 4	PY 5	PY 6	
Standardized Approach Credit Risk							
1	Credit RWA	-	-	-	-	-	
2	Balance-Sheet Asset Categories RWA	-	-	-	-	-	
3	Cash and balances due from depository institutions						
4	Federal funds sold and securities purchased under agreements to resel						
	Securities (excluding securitizations)						
5	Held-to-maturity						
6	Available-for-sale						
	Loans and leases on held for sale						
7	Residential Mortgage exposures						
8	High Volatility Commercial Real Estate (HVCRE) exposures						
9	Past due exposures						
10	All other exposures						
	Loans and leases, net of unearned income						
11	Residential mortgage exposures						
12	High Volatility Commercial Real Estate (HVCRE) exposures						
13	Past due exposures						
14	All other exposures						
15	Trading assets (excluding securitizations that receive standardized charges)						
16	All other assets						
	Securitization exposures						
17	Held-to-maturity						
18	Available-for-sale						
19	Trading assets that are securitization exposures that receive standardized charges						
20	All other on-balance sheet securitization exposures						
21	Off-balance sheet securitization exposures						
22	Derivatives and Off-Balance-Sheet Items RWA	-	-	-	-	-	
23	Financial standby letters of credit						
24	Performance standby letters of credit and transaction related contingent items						
25	Commercial and similar letters of credit						
26	Retained recourse on small business obligations sold with recourse						
27	Repo-style transactions (excluding reverse repos)						
28	All other off-balance sheet liabilities						

FR Y-14A Schedule D.4 - Standardized Risk-Weighted Assets

Risk-weighted Assets-Standardized ^{1, 4}		Actual in \$Millions as of date	PY 1	PY 2	Projected in \$Millions			
					PY 3	PY 4	PY 5	PY 6
Unused commitments								
29	Original maturity of one year or less, excluding ABCP conduits							
30	Original maturity of one year or less to ABCP conduits							
31	Original maturity exceeding one year							
32	Unconditionally cancelable commitments							
33	Over-the-counter derivatives							
34	Centrally cleared derivatives							
Market Risk								
35	Market RWA	-	-	-	-	-	-	-
36	VaR with Multiplier							
37	Stressed VaR with Multiplier							
38	Incremental Risk Charge (IRC)							
39	Correlation Trading	-	-	-	-	-	-	-
40	Comprehensive Risk Measurement (CRM), Before Application of Surcharge							
41	Standardized Measurement Method (100%) for Exposures Subject to CRM	-	-	-	-	-	-	-
42	CRM Floor Based on 100% of Standardized - Net Long							
43	CRM Floor Based on 100% of Standardized - Net Short							
44	Non-modeled Securitization	-	-	-	-	-	-	-
45	Net Long							
46	Net Short							
47	Specific risk add-on (excluding securitization and correlation)	-	-	-	-	-	-	-
48	Sovereign debt positions							
49	Government sponsored entity debt positions							
50	Depository institution, foreign bank, and credit union debt positions							
51	Public sector entity debt positions							
52	Corporate debt positions							
53	Equity							
54	Other market risk							
55	Excess allowance for loan and lease losses							
56	Allocated transfer risk reserve							
57	Total RWA	-	-	-	-	-	-	-

FR Y-14A Schedule D.4 - Standardized Risk-Weighted Assets

Risk-weighted Assets-Standardized ^{1, 2}	Actual in	Projected in \$Millions					
	\$Millions as of date	PY 1	PY 2	PY 3	PY 4	PY 5	PY 6

Data Completeness Check

58 If "No", please complete all non-shaded cells until all cells to the right say "Yes." Do not leave cells blank; enter "0" if not applicable.

No	No	No	No	No	No	No
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Footnotes:

¹ Amounts calculated as capital requirements should be converted to risk-weighted assets by multiplying by 12.5.

² Any assets deducted from capital should not be included in risk-weighted assets.

FR Y-14A Schedule D.5 - Leverage Exposure

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

Leverage Exposure (quarterly averages)		Actual in \$Millions as of date	PY 1	PY 2	Projected in \$Millions		PY 5	PY 6
		PY 3	PY 4					
Leverage Exposure for Tier 1 Leverage Ratio (Applicable to All BHCs)								
1	Average total consolidated assets							
2	LESS: Deductions from common equity tier 1 capital and additional tier 1 capital (report as a positive value)							
3	LESS: Other Deductions from (Additions to) Assets for Leverage Ratio Purposes (report as a positive value)							
4	Total assets for the leverage ratio (item 1 less the sum of items 2 and items 3)							
Leverage Exposure for Supplementary Leverage Ratio (Applicable to Advanced Approaches BHCs Only)								
On-balance sheet exposures								
	On-balance sheet assets (excluding on-balance sheet assets for repo-style transactions and derivative exposures, but including cash collateral received in derivative transactions)							
5	LESS: Deductions from common equity tier 1 capital and additional tier 1 capital (report as a positive value)							
6	Total on-balance sheet exposures (excluding on-balance sheet assets for repo-style transactions and derivative exposures, but including cash collateral received in derivative transactions) (item 5 less item 6)							
7								
Derivative exposures								
8	Replacement cost for derivative exposures (net of cash variation margin)							
9	Add-on amounts for potential future exposure (PFE) for derivatives exposures							
10	Gross-up for cash collateral posted if deducted from the on-balance sheet assets, except for cash variation margin							
11	LESS: Deductions of receivable assets for cash variation margin posted in derivatives transactions, if included in on-balance sheet assets (report as a positive value)							
12	LESS: Exempted CCP leg of client-cleared transactions (report as a positive value)							
13	Effective notional principal amount of sold credit protection							
14	LESS: Effective notional principal amount offsets and PFE adjustments for sold credit protection (report as a positive value)							
15	Total derivative exposures (sum of items 8, 9, 10 and 13, minus items 11, 12, and 14)							
Repo-style transactions								
16	On-balance sheet assets for repo-style transactions							
17	LESS: Reduction of the gross value of receivables in reverse repurchase transactions by cash payables in repurchase transactions under netting agreements (report as a positive value)							
18	Counterparty credit risk for all repo-style transactions							
19	Exposure for repo-style transactions where a banking organization acts as an agent							
20	Total exposures for repo-style transactions (sum of items 16, 18, and 19 minus item 17)							
Other off-balance sheet exposures								
21	Off-balance sheet exposures at gross notional amounts							
22	LESS: Adjustments for conversion to credit equivalent amounts (report as a positive value)							
23	Off-balance sheet exposures (item 21 less items 22)							
Capital and total leverage exposures								
24	Total leverage exposure (sum of items 7, 15, 20 and 23)							
Data Completeness Check								
25	Total Assets for Tier 1 Leverage Ratio (applicable to all BHCs): If "No", please complete all non-shaded cells until all cells to the right say "Yes." Do not leave cells blank; enter "0" if not applicable.	No	No	No	No	No	No	No
26	Total Leverage Exposure for Supplementary Leverage Ratio (applicable to advanced approaches banking organizations): If "No", please complete all non-shaded cells until all cells to the right say "Yes." Do not leave cells blank; enter "0" if not applicable.	No	No	No	No	No	No	No

FR Y-14A Schedule D.6 - Planned Actions

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type		
					Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
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FR Y-14A Schedule D.6 - Planned Actions

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type		
					Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
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FR Y-14A Schedule D.6 - Planned Actions

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type		
					Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
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FR Y-14A Schedule D.6 - Planned Actions

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type		
					Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
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FR Y-14A Schedule D.6 - Planned Actions

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type		
					Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
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FR Y-14A Schedule D.6 - Planned Actions

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type		
					Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
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FR Y-14A Schedule D.6 - Planned Actions

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	Total				
					Common Equity Tier 1	Tier 1	RWA_Standardized	RWA_Advanced	Total Assets for Leverage Ratio
1					-	-	-	-	-
2					-	-	-	-	-
3					-	-	-	-	-
4					-	-	-	-	-
5					-	-	-	-	-
6					-	-	-	-	-
7					-	-	-	-	-
8					-	-	-	-	-
9					-	-	-	-	-
10					-	-	-	-	-
11					-	-	-	-	-
12					-	-	-	-	-
13					-	-	-	-	-
14					-	-	-	-	-
15					-	-	-	-	-
16					-	-	-	-	-
17					-	-	-	-	-
18					-	-	-	-	-
19					-	-	-	-	-
20					-	-	-	-	-
21					-	-	-	-	-
22					-	-	-	-	-
23					-	-	-	-	-
24					-	-	-	-	-
25					-	-	-	-	-
26					-	-	-	-	-
27					-	-	-	-	-
28					-	-	-	-	-
29					-	-	-	-	-
30					-	-	-	-	-
31					-	-	-	-	-
32					-	-	-	-	-
33					-	-	-	-	-
34					-	-	-	-	-
35					-	-	-	-	-
36					-	-	-	-	-
37					-	-	-	-	-
38					-	-	-	-	-
39					-	-	-	-	-

FR Y-14A Schedule D.6 - Planned Actions

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type			Confirm detailed description of action provided in separate attachment
					Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact	
1					-	-	
2					-	-	
3					-	-	
4					-	-	
5					-	-	
6					-	-	
7					-	-	
8					-	-	
9					-	-	
10					-	-	
11					-	-	
12					-	-	
13					-	-	
14					-	-	
15					-	-	
16					-	-	
17					-	-	
18					-	-	
19					-	-	
20					-	-	
21					-	-	
22					-	-	
23					-	-	
24					-	-	
25					-	-	
26					-	-	
27					-	-	
28					-	-	
29					-	-	
30					-	-	
31					-	-	
32					-	-	
33					-	-	
34					-	-	
35					-	-	
36					-	-	
37					-	-	
38					-	-	
39					-	-	

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type		
					Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
40						
41						
42						
43						
44						
45						
46						
47						
48						
49						
50						
51						
52						
53						
54						
55						
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FR Y-14A Schedule D.6 - Planned Actions

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type		
					Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
40						
41						
42						
43						
44						
45						
46						
47						
48						
49						
50						
51						
52						
53						
54						
55						
56						
57						
58						
59						
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77						
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FR Y-14A Schedule D.6 - Planned Actions

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type		
					Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
40						
41						
42						
43						
44						
45						
46						
47						
48						
49						
50						
51						
52						
53						
54						
55						
56						
57						
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FR Y-14A Schedule D.6 - Planned Actions

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type		
					Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
40						
41						
42						
43						
44						
45						
46						
47						
48						
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51						
52						
53						
54						
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76						
77						
78						
79						
80						

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type		
					Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
40						
41						
42						
43						
44						
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46						
47						
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51						
52						
53						
54						
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77						
78						
79						
80						

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type		
					Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
40						
41						
42						
43						
44						
45						
46						
47						
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51						
52						
53						
54						
55						
56						
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66						
67						
68						
69						
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72						
73						
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75						
76						
77						
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79						
80						

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions									
Action #	Description	Action Type	Exposure Type	RWA Type	Total				
					Common Equity Tier 1	Tier 1	RWA_Standardized	RWA_Advanced	Total Assets for Leverage Ratio
40					-	-	-	-	-
41					-	-	-	-	-
42					-	-	-	-	-
43					-	-	-	-	-
44					-	-	-	-	-
45					-	-	-	-	-
46					-	-	-	-	-
47					-	-	-	-	-
48					-	-	-	-	-
49					-	-	-	-	-
50					-	-	-	-	-
51					-	-	-	-	-
52					-	-	-	-	-
53					-	-	-	-	-
54					-	-	-	-	-
55					-	-	-	-	-
56					-	-	-	-	-
57					-	-	-	-	-
58					-	-	-	-	-
59					-	-	-	-	-
60					-	-	-	-	-
61					-	-	-	-	-
62					-	-	-	-	-
63					-	-	-	-	-
64					-	-	-	-	-
65					-	-	-	-	-
66					-	-	-	-	-
67					-	-	-	-	-
68					-	-	-	-	-
69					-	-	-	-	-
70					-	-	-	-	-
71					-	-	-	-	-
72					-	-	-	-	-
73					-	-	-	-	-
74					-	-	-	-	-
75					-	-	-	-	-
76					-	-	-	-	-
77					-	-	-	-	-
78					-	-	-	-	-
79					-	-	-	-	-
80					-	-	-	-	-

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type			Confirm detailed description of action provided in separate attachment
					Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact	
40					-	-	
41					-	-	
42					-	-	
43					-	-	
44					-	-	
45					-	-	
46					-	-	
47					-	-	
48					-	-	
49					-	-	
50					-	-	
51					-	-	
52					-	-	
53					-	-	
54					-	-	
55					-	-	
56					-	-	
57					-	-	
58					-	-	
59					-	-	
60					-	-	
61					-	-	
62					-	-	
63					-	-	
64					-	-	
65					-	-	
66					-	-	
67					-	-	
68					-	-	
69					-	-	
70					-	-	
71					-	-	
72					-	-	
73					-	-	
74					-	-	
75					-	-	
76					-	-	
77					-	-	
78					-	-	
79					-	-	
80					-	-	

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions									
Action #	Description	Action Type	Exposure Type	RWA Type	PY 1				
					Common Equity Tier 1	Tier 1	RWA_Standardized	RWA_Advanced	Total Assets for Leverage Ratio
81									
82									
83									
84									
85									
86									
87									
88									
89									
90									
91									
92									
93									
94									
95									
96									
97									
98									
99									
100									
Total impact of planned actions					-	-	-	-	-
Reported changes from prior period									

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions						
Action #	Description	Action Type	Exposure Type	RWA Type		
					Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
81						
82						
83						
84						
85						
86						
87						
88						
89						
90						
91						
92						
93						
94						
95						
96						
97						
98						
99						
100						

Total impact of planned actions

-

-

Reported changes

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions									
Action #	Description	Action Type	Exposure Type	RWA Type	PY 2				
					Common Equity Tier 1	Tier 1	RWA_Standardized	RWA_Advanced	Total Assets for Leverage Ratio
81									
82									
83									
84									
85									
86									
87									
88									
89									
90									
91									
92									
93									
94									
95									
96									
97									
98									
99									
100									
Total impact of planned actions					-	-	-	-	-
Reported changes									

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type		
					Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
81						
82						
83						
84						
85						
86						
87						
88						
89						
90						
91						
92						
93						
94						
95						
96						
97						
98						
99						
100						

Total impact of planned actions

-

-

Reported changes

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions									
Action #	Description	Action Type	Exposure Type	RWA Type	PY 3				
					Common Equity Tier 1	Tier 1	RWA_Standardized	RWA_Advanced	Total Assets for Leverage Ratio
81									
82									
83									
84									
85									
86									
87									
88									
89									
90									
91									
92									
93									
94									
95									
96									
97									
98									
99									
100									
Total impact of planned actions					-	-	-	-	-
Reported changes									

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions						
Action #	Description	Action Type	Exposure Type	RWA Type		
					Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
81						
82						
83						
84						
85						
86						
87						
88						
89						
90						
91						
92						
93						
94						
95						
96						
97						
98						
99						
100						

Total impact of planned actions

-

-

Reported changes

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions									
Action #	Description	Action Type	Exposure Type	RWA Type	PY 4				
					Common Equity Tier 1	Tier 1	RWA_Standardized	RWA_Advanced	Total Assets for Leverage Ratio
81									
82									
83									
84									
85									
86									
87									
88									
89									
90									
91									
92									
93									
94									
95									
96									
97									
98									
99									
100									
Total impact of planned actions					-	-	-	-	-
Reported changes									

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions						
Action #	Description	Action Type	Exposure Type	RWA Type		
					Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
81						
82						
83						
84						
85						
86						
87						
88						
89						
90						
91						
92						
93						
94						
95						
96						
97						
98						
99						
100						

Total impact of planned actions: - -

Reported changes:

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions									
Action #	Description	Action Type	Exposure Type	RWA Type	PY 5				
					Common Equity Tier 1	Tier 1	RWA_Standardized	RWA_Advanced	Total Assets for Leverage Ratio
81									
82									
83									
84									
85									
86									
87									
88									
89									
90									
91									
92									
93									
94									
95									
96									
97									
98									
99									
100									
Total impact of planned actions					-	-	-	-	-
Reported changes									

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions						
Action #	Description	Action Type	Exposure Type	RWA Type		
					Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
81						
82						
83						
84						
85						
86						
87						
88						
89						
90						
91						
92						
93						
94						
95						
96						
97						
98						
99						
100						

Total impact of planned actions

-

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Reported changes

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions									
Action #	Description	Action Type	Exposure Type	RWA Type	PY 6				
					Common Equity Tier 1	Tier 1	RWA_Standardized	RWA_Advanced	Total Assets for Leverage Ratio
81									
82									
83									
84									
85									
86									
87									
88									
89									
90									
91									
92									
93									
94									
95									
96									
97									
98									
99									
100									
Total impact of planned actions					-	-	-	-	-
Reported changes									

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type		
					Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
81						
82						
83						
84						
85						
86						
87						
88						
89						
90						
91						
92						
93						
94						
95						
96						
97						
98						
99						
100						

Total impact of planned actions

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Reported changes

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions									
Action #	Description	Action Type	Exposure Type	RWA Type	Total				
					Common Equity Tier 1	Tier 1	RWA_Standardized	RWA_Advanced	Total Assets for Leverage Ratio
81					-	-	-	-	-
82					-	-	-	-	-
83					-	-	-	-	-
84					-	-	-	-	-
85					-	-	-	-	-
86					-	-	-	-	-
87					-	-	-	-	-
88					-	-	-	-	-
89					-	-	-	-	-
90					-	-	-	-	-
91					-	-	-	-	-
92					-	-	-	-	-
93					-	-	-	-	-
94					-	-	-	-	-
95					-	-	-	-	-
96					-	-	-	-	-
97					-	-	-	-	-
98					-	-	-	-	-
99					-	-	-	-	-
100					-	-	-	-	-
Total impact of planned actions					-	-	-	-	-

Reported changes

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type			Confirm detailed description of action provided in separate attachment
					Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact	
81					-	-	
82					-	-	
83					-	-	
84					-	-	
85					-	-	
86					-	-	
87					-	-	
88					-	-	
89					-	-	
90					-	-	
91					-	-	
92					-	-	
93					-	-	
94					-	-	
95					-	-	
96					-	-	
97					-	-	
98					-	-	
99					-	-	
100					-	-	
Total impact of planned actions					-	-	

Reported changes