

Board of Governors of the Federal Reserve System

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## Transfer Agent Deregistration—Form TA-W

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Under section 17A(c)(4)(B) of the Securities Exchange Act of 1934 and the rules and regulations thereunder, a registered transfer agent may, upon such terms and conditions as the appropriate regulatory agency for such transfer agent deems necessary or appropriate or in the public interest, for the protection of investors, withdraw from registration by filing a written notice of withdrawal with such appropriate regulatory agency.

The information will be used for the principal purpose of determining whether the transfer agent's existing registration should be canceled.

Information supplied on this form will be available for inspection by any interested person.

An agency may not conduct or sponsor, and an organization (or a person) is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

# Transfer Agent Deregistration—Continued

Please print or type all responses. Registrants submitting the information below must submit a Portable Document Format (“PDF”) version of the form and attachments to the designated email address MSD-GSD-Registration@frb.gov.

1. Full name of Registrant organization:

Full Name

2. Main Office Location of Registrant:

Street Address

City

State

Zip Code

3. Registrant transfer agent number:

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4. Furnish registrant's reasons for ceasing the performance of transfer agent functions or for otherwise requesting withdrawal of its registration:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

5. Furnish the date on which the organization last transferred any securities for which registration would be required:

\_\_\_\_\_  
Date (MM/DD/YYYY)

6. Furnish the name(s) and location(s) of the organization or person, which will retain possession of the books and records which the bank maintained for its registered transfer agent functions:

Name

Street Address

City

State

Zip Code

Name

Street Address

City

State

Zip Code

Name

Street Address

City

State

Zip Code

## Transfer Agent Deregistration—Continued

7. Furnish the name(s) and location(s) of any successor transfer agent(s):

_____ Name	_____ Street Address
	_____ City
	_____ State
	_____ Zip Code
_____ Name	_____ Street Address
	_____ City
	_____ State
	_____ Zip Code
_____ Name	_____ Street Address
	_____ City
	_____ State
	_____ Zip Code

8. Describe any legal actions or proceedings, or potential claims against the bank, in connection with the performance of its registered transfer agent functions:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

9. Describe any unsatisfied judgements or liens against the bank arising out of performance of its registered transfer agent functions:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**Execution:** The Registrant submitting this form, and the person executing it, hereby represent that all the information contained herein is true, correct, and complete.

**Attention:** Intentional misstatements or omissions of fact constitute federal criminal violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

10. Full name of official responsible for form (print or type):

\_\_\_\_\_  
First Name Middle Name Last Name

11.

\_\_\_\_\_  
Signature of Official Responsible for form

10.a. Title of official responsible for form:

\_\_\_\_\_  
Title

12.

\_\_\_\_\_  
Date (MM/DD/YYYY)