

INFORMATION SYSTEMS CONTINUING EDUCATION

Type of Participant Targeted

This continuing education program is designed for experienced Information Systems (IS) examiners who have at least 18 months of field experience and have participated in several complex data processing examinations and have been exposed to a variety of technology platforms.

Prerequisites

Comparable IS examination experience.

Course Overview

This vendor-taught seminar-style course provides advanced training related to the latest industry innovations or emerging technology control issues. As such, the agenda for this course is established on an annual basis. The vendor provides instructors who are industry experts in financial information technology and controls. Different issues will be discussed at each session. The course will provide IS examiners with technical overviews of the functionality of specific platforms, risks and control issues, and relevant control recommendations. It will provide them with a framework for evaluating the safety and soundness of IS controls in financial institutions.

Course Objectives

After completing this course, the participant, at a minimum, will be able to complete the following objectives.

- Demonstrate an understanding of more sophisticated concepts relating to the specific technology under discussion.
- Analyze and assess the risk and exposures associated with the subject and make relevant control recommendations to financial institutions' management.
- Apply examination procedures relating to the specific technology platform discussed during the course.
- Explain examination results and concerns related to the course topics to IS professionals at institutions being examined.

Topics discussed at each session will be selected by the IS course committee based on the recommendations of IS examiners or emerging technology control issues of concern to regulators.

Post-Course Intervention

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After completing this course, the participant may be requested to summarize and present the significant issues presented in the course to appropriate Reserve Bank management and senior field examiners. The presentations should relate the risk, control, and security issues reviewed in the school to supervisory concerns in the examination process.

Class Size

Class size varies depending on the course and the training site where it will be held. Please visit the ISCE course pages on SSD's website to get the class size for each ISCE course.

Instructors

An outside vendor teaches this course.