FR 3075 OMB No. 7100-0362 Approval expires 02/28/2025

Board of Governors of the Federal Reserve System



Policy Impact Survey—FR 3075

This information collection is generally authorized under sections 2A and 12A of the Federal Reserve Act (12 U.S.C. 225(a) and 263). Additionally, depending upon the survey respondent, the information collection may be authorized under a more specific statute.

The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

Survey Details

Exercise:	US Basel III NPR	
Submission Date:	January 16, 2024	If additional time needed to complete the information collection, please reach out to BSR-QIS-Main@frb.gov
Reporting Forms:	Main template	US-NPR_v1-0-0-Main.xlsx
Instructions:	Instructions Submission requirements	Endgame Special Data Collection Instructions - Fall 2023.pdf Valid Submission Criteria.pdf
Reporting key:	Yellow cells	Provided by the respondent.
Exercise Materials:	The exercise materials are available on IntraLinks in the "NPR_232" folder under "Reporting Materials".	
Submission Site:	Quantitative Impact Study (QIS) IntraLinks exchange, available at https://services.intralinks.com/login/.	
Questions:	Questions regarding the template should be directed to BSR-QIS-Main@frb.gov.	
Other:	This template is related to the proposed rules to strengthen capital requirements for large banking organizations and banking organizations with significant trading activities (the "Basel III Endgame proposal" (Docket No. R–1813, RIN 7100–AG64) and the "GSIB Surcharge proposal" (Docket No. R–1814, RIN 7100–AG65); collectively, the "proposals"), which were published to request comment in the Federal Register on September 18, 2023 (88 Fed. Reg. 64028) and September 1, 2023 (88 Fed. Reg. 60385), respectively. This template may be used to submit comment on the proposals.	
	Submitting data using this template, as with all surveys conducted under the FR 3075, is voluntary. For data that are already being reported to the Federal Reserve with the same as-of date as the exercise, respondents may simply reference the existing information in a qualitative document and the Federal Reserve will provide the data on the respondent's behalf. Individual responses will be kept confidential from public disclosure to the extent provided by law. To the extent responses include nonpublic commercial or financial information, which is both customarily and actually treated as private by the respondent, such information may be kept confidential pursuant to exemption 4 of the Freedom of Information Act (FOIA). Some responses may also contain information contained in or related to an examination of a financial institution, which may be kept confidential under exemption 8 of FOIA.	

The ongoing public reporting burden for this information collection is estimated to average 320 hours per response, including time to gather and maintain data in the required form and to review instructions and complete the information collection. Comments regarding this burden estimate or any other aspect of this information collection, including suggestions for reducing the burden, may be sent to Secretary, Board of Governors of the Federal Reserve System, 20th and C Streets, NW, Washington, DC 20551, and to the Office of Management and Budget, Paperwork Reduction Project (7100-0362), Washington, DC 20503.