Board of Governors of the Federal Reserve System

Annual Report of Foreign Banking Organizations—FR Y-7

Report at the close of business as of the end of the fiscal year.

This report form is authorized by law: sections 8(a) and 13(a) of the International Banking Act (12 U.S.C. §§ 3106(a) and 3108(a)); sections 113, 165, 312, 618, 809 of the Dodd-Frank Act (12 U.S.C. §§ 5361, 5365, 5412, 1850a(c)(1), and 5468(b)(1)).

NOTE: The Annual Report of Foreign Banking Organizations must be signed by an authorized official of the foreign banking organization.

I, ________________________________,
Name of Foreign Banking Organization Authorized Official

test that the Annual Report of Foreign Banking Organizations (including the supporting attachments) for this report date have been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board's "Rules Regarding Availability of Information," 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details in the report concerning that individual.

Signature of Foreign Banking Organization Authorized Official

Date of Signature

Indicate status of Annual Report to Shareholders:

☐ is included with the FR Y-7 report
☐ will be sent under separate cover
☐ is not prepared

For Federal Reserve Bank Use Only

RSSD ID ____________________________
C.I. ______________________________

Is confidential treatment requested for any portion of this report submission? ☐ ☐

In accordance with the General Instructions for this report (check only one),

1. a letter justifying this request is being provided along with the report. ☐

2. a letter justifying this request has been provided separately … ☐

NOTE: Information for which confidential treatment is being requested must be provided separately and labeled as "confidential."

Address (URL) for the Foreign Banking Organization's web page

Public reporting burden for the information collection in the FR Y-7 is estimated to average 6 hours per response, including time to gather and maintain data in the required form and to review instructions and complete the information collection. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to: Secretary, Board of Governors of the Federal Reserve System, 20th and C Streets, NW, Washington, DC 20551, and to the Office of Management and Budget, Paperwork Reduction Project (7100-0297), Washington, DC 20503.

12/2019

DRAFT FR Y-7 Forms - Proposal Effective 09-30-2022

Section 5(c)(1) of the Bank Holding Company Act (12 U.S.C. § 1844(c)(1)) and sections...
Checklist

The checklist below is provided to assist the reporting foreign banking organization in filing all the necessary responses to the various report items. Each report item should be checked and the appropriate blanks filled in. The completed checklist should be returned with the report.

Check the Yes, No, or N/A checkbox below, as appropriate, to indicate if the report item is included with the initial filing.

**Report Item 1: Financial Information Regarding the Foreign Banking Organization (FBO)**

<table>
<thead>
<tr>
<th>Yes</th>
<th>No</th>
<th>1(a) Response provided in Attachment(s) #</th>
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**Report Item 2: Organization Information for the FBO**

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**Report Item 3: Shares and Shareholders**

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**Report Item 4: Eligibility as a Qualified Foreign Banking Organization (QFBO)**

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**Report Item 5: Regulation YY Compliance for the FBO**

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<th>Items 5(a) through 5(e) have been completed and provided on Report Page #</th>
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For Use by Tiered Foreign Banking Organizations

Tiered foreign banking organizations must list the legal name, mailing address, and physical location of subsidiary foreign banking organizations below. Refer to Who Must Report in the general instructions for filing by tiered foreign banking organizations.

A. For the fiscal year ending on

Month / Day / Year

Legal name of subsidiary foreign banking organization:

Mailing address of head office:

Street

City

Country

Physical location of principal office: (not mailing address)

Street

City

Country

Name and address of authorized official in the United States:

Name

Street

City

State

ZIP Code

Area Code / Phone Number

Area Code / FAX Number

E-mail Address

I, ____________________________________________

Name ___________________________ Title _______________

an authorized official of the company named above, certify that this Annual Report of Foreign Banking Organizations has been prepared in conformance with the report instructions.

Signature of Authorized Official ____________________________ Date ____________

12/2006
For Use by Tiered Foreign Banking Organizations—Continued

If the Foreign Banking Organization has more than two tiers, use additional pages as needed.

B. For the fiscal year ending on
   Month / Day / Year

   Legal name of subsidiary foreign banking organization:

   Mailing address of head office:
   Street
   City  Country

   Physical location of principal office:
   (not mailing address)
   Street
   City  Country

   Name and address of authorized official in the United States:
   Name
   Street
   City  State  ZIP Code

   Area Code / Phone Number  Area Code / FAX Number

   E-mail Address

I, ____________________________
Name  Title

an authorized official of the company named above, certify that this Annual Report of Foreign Banking Organizations has been prepared in conformance with the report instructions.

Signature of Authorized Official  Date

12/2006