

Board of Governors of the Federal Reserve System



Annual Report of Foreign Banking Organizations—FR Y-7

Report at the close of business as of the end of fiscal year

This report form is authorized by law: ~~Section 7 and 13(a) of the International Banking Act of 1978 (12 U.S.C. §§ 3106 and 3108 (a)). This report is to be filed by foreign banking organizations provided the organization meets the requirements of and is treated as a qualifying foreign banking organization under Section 211.23(a) of Regulation K or meets the requirements for limited exemption under Section 211.23(c) of Regulation K.~~

Return to the appropriate Federal Reserve Bank the original and number of copies of the completed report required by the Federal Reserve Bank. The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, an information collection unless it displays a currently valid OMB control number.

NOTE: The *Annual Report of Foreign Banking Organizations* must be signed by an authorized official of the foreign banking organization.

Date of Report (foreign banking organization's fiscal year-end):

Month / Day / Year

Reporter's Legal Entity Identifier (LEI) (20-Character LEI Code)

Reporter's Name, Street, and Mailing Address

Legal Name of Foreign Banking Organization (Top-tier if filing as a tiered organization)

Street Address of the Foreign Banking Organization

City Country

Mailing Address of the Principal Office (If different from street address)

I, _____
Name of Foreign Banking Organization Authorized Official

Title of Foreign Banking Organization Authorized Official

attest that the *Annual Report of Foreign Banking Organizations* (including the supporting attachments) for this report date have been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

With respect to information regarding individuals contained in this report, the Reporter certifies that it has information to the Federal Reserve that it has the authority, on behalf of the object to public release of information. The Federal Reserve may assume, in confidential treatment submitted in accordance with "Rules Regarding Availability of Information" that the Reporter and individual concerned details in the report concerning that individual.

Signature of Foreign Banking Organization Authorized Official

Date of Signature

Indicate status of Annual Report to Shareholders:

- is included with the FR Y-7 report
- will be sent under separate cover
- is not prepared

For Federal Reserve Bank Use Only

RSSD ID _____
C.I. _____

Address (URL) for the Foreign Banking Organization's web page

~~Does the reporter request confidential treatment for any portion of this submission?~~

~~Yes Please identify the report items to which this request applies:~~

- ~~In accordance with the instructions on pages GEN-2 and 3, a letter justifying the request is being provided.~~
- ~~The information for which confidential treatment is sought is being submitted separately labeled "Confidential."~~

~~No~~

Checklist

The checklist below is provided to assist the reporting foreign banking organization in filing all the necessary responses to the various report items. Each report item should be checked and the appropriate blanks filled in. The completed checklist should be returned with the report.

Check the **Yes**, **No**, or **N/A** checkbox below, as appropriate, to indicate if the report item is included with the initial filing.

Report Item 1: Financial Information Regarding the Foreign Banking Organization (FBO)

- Yes No 1(a) Response provided in Attachment(s) # _____
 Yes 1(b) Response provided in Attachment(s) # _____

Report Item 2: Organization Information for the FBO

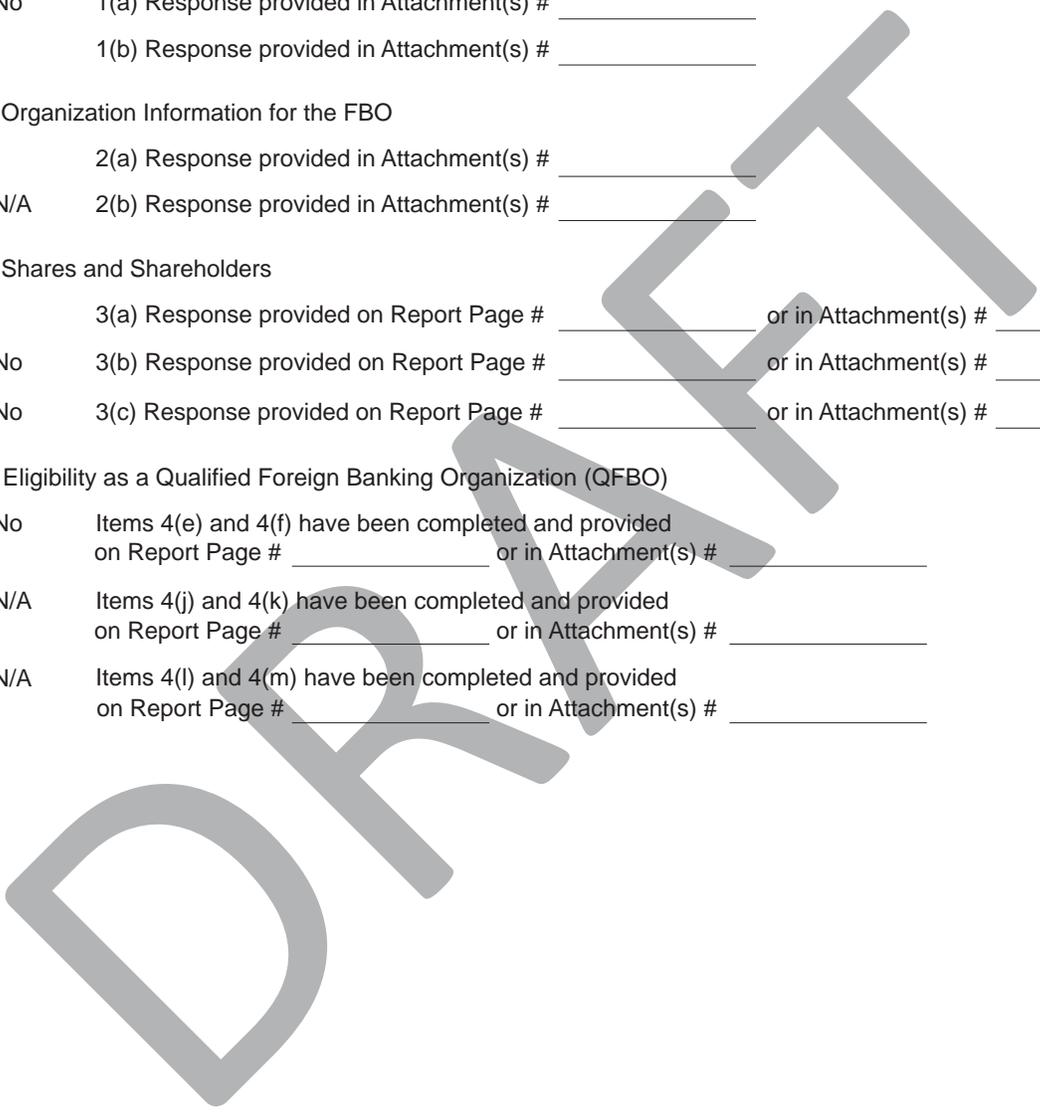
- Yes 2(a) Response provided in Attachment(s) # _____
 Yes N/A 2(b) Response provided in Attachment(s) # _____

Report Item 3: Shares and Shareholders

- Yes 3(a) Response provided on Report Page # _____ or in Attachment(s) # _____
 Yes No 3(b) Response provided on Report Page # _____ or in Attachment(s) # _____
 Yes No 3(c) Response provided on Report Page # _____ or in Attachment(s) # _____

Report Item 4: Eligibility as a Qualified Foreign Banking Organization (QFBO)

- Yes No Items 4(e) and 4(f) have been completed and provided on Report Page # _____ or in Attachment(s) # _____
 Yes N/A Items 4(j) and 4(k) have been completed and provided on Report Page # _____ or in Attachment(s) # _____
 Yes N/A Items 4(l) and 4(m) have been completed and provided on Report Page # _____ or in Attachment(s) # _____



For Use by Tiered Foreign Banking Organizations

Tiered foreign banking organizations must list the legal name, mailing address, and physical location of subsidiary foreign banking organizations below. Refer to *Who Must Report* in the general instructions for filing by tiered foreign banking organizations.

A. For the fiscal year ending on _____
Month / Day / Year

Legal name of subsidiary foreign banking organization: _____

Mailing address of head office:

Street _____

City _____ Country _____

Physical location of principal office:
(not mailing address)

Street _____

City _____ Country _____

Name and address of authorized official in the United States: _____
Name

Street _____

City _____ State _____ ZIP Code _____

Area Code / Phone Number _____ Area Code / FAX Number _____

E-mail Address _____

I, _____
Name Title

an authorized official of the company named above, certify that this *Annual Report of Foreign Banking Organizations* has been prepared in conformance with the report instructions.

Signature of Authorized Official Date

For Use by Tiered Foreign Banking Organizations—Continued

If the Foreign Banking Organization has more than two tiers, use additional pages as needed.

B. For the fiscal year ending on _____
Month / Day / Year

Legal name of subsidiary foreign banking organization: _____

Mailing address of head office: _____
Street

City Country

Physical location of principal office:
(not mailing address) _____
Street

City Country

Name and address of authorized official in the United States: _____
Name

Street

City State ZIP Code

Area Code / Phone Number Area Code / FAX Number

E-mail Address

I, _____
Name Title

an authorized official of the company named above, certify that this *Annual Report of Foreign Banking Organizations* has been prepared in conformance with the report instructions.

Signature of Authorized Official Date

Sections 4(k) and 5(c)(1)(A) of the Bank Holding Company Act, 12 U.S.C. §§ 1843(k), 1844(c)(1)(A); section 8(a) of the International Banking Act, 12 U.S.C. § 3106(a); sections 11(a)(1), 25(7), and 25A of the Federal Reserve Act, 12 U.S.C. §§ 248(a)(1), 321, 601, 602, 611a, 615, and 625; and sections 113, 165, 312, 618, and 809 of the Dodd-Frank Act, 12 U.S.C. §§ 5361, 5365, 5412, 1850a(c)(1), and 5468(b)(1), respectively; and section 10(c)(2)(H) of the Home Owners' Loan Act, 12 U.S.C. § 1467a(c)(2)(H).

Board of Governors



Report of Changes in Organizational Structure—FR Y-10

This report is required by law: Sections 4(k) and 5(c)(1)(A) of the Bank Holding Company Act (12 U.S.C. §§ 1843(k), 1844(c)(1)(A)); Section 8(a) of the International Banking Act (12 U.S.C. § 3106(a)); Sections 11(a)(1), 25(7) and 25A of the Federal Reserve Act

(12 U.S.C. §§ 248(a)(1), 321, 601, 611a and 615); Section 211.13(c) of Regulation K (12 C.F.R. § 211.13(c)); Sections 225.5(b) and 225.87 of Regulation Y (12 C.F.R. §§ 225.5(b) and 225.87); and Section 10(c)(2)(H) of the Home Owners' Loan Act (12 U.S.C. 1461 et seq.).

Date of Report: _____
(Month / Day / Year)

Reporter's Name, Street, and Mailing Address

Legal Name _____
Physical Street Address _____
City and County _____
State / Province, Country _____ Zip / Postal Code _____

Reporter's Mailing Address (if different from physical street address) _____
Mailing City _____
Mailing State / Province, Country _____ Zip / Postal Code _____

Contact's Name and Mailing Address for this Report

Name _____
Area Code / Phone Number / Extension _____
Area Code / FAX Number _____
E-mail Address _____

Is confidential treatment requested for any portion of this report submission?..... 0=No 1=Yes

In accordance with the General Instructions for this report (check only one),

1. a letter justifying this request is being provided along with the report..

2. a letter justifying this request has been provided separately.....

NOTE: Information for which confidential treatment is being requested must be provided separately and labeled as "confidential."

Authorized Official

I, _____, _____, _____
Printed Name Title
am an authorized official of this company named above, and hereby declare that this report is true and complete to the best of my knowledge and belief.

Signature of Authorized Official _____ Date of Signature _____

For Federal Reserve Bank Use Only
RSSD ID _____

20-Character LEI Code _____

~~Does the reporter request confidential treatment for any portion of this submission?~~

~~Yes Please identify the report schedule(s) and item(s) to which this request applies:~~

~~In accordance with the instructions on page GEN-5, a letter justifying the request is being provided.~~

~~The information for which confidential treatment is sought is being submitted separately and labeled "Confidential."~~

~~No~~