

**FR Y-9SP**  
**Proposed Revisions**

Name of Bank Holding Company \_\_\_\_\_

# Parent Company Only Financial Statements for Small Bank Holding Companies

|                                   |       |            |
|-----------------------------------|-------|------------|
| For Federal Reserve Bank Use Only |       |            |
| RSSD Number                       | _____ |            |
| C.I.                              | _____ | S.F. _____ |

The Income Statement is to be reported on a calendar year-to-date basis in thousands of dollars.

## Schedule SI—Income Statement

|  | Dollar Amounts in Thousands |     |      |       |
|--|-----------------------------|-----|------|-------|
|  | BHSP                        | Mil | Thou |       |
| 1. Income from bank subsidiary(s):   |                             |     |      |       |
| a. Dividends .....   | 0508                        |     |      | 1.a.  |
| b. Other income .....  | 2111                        |     |      | 1.b.  |
| 2. Income from nonbank subsidiary(s):  |                             |     |      |       |
| a. Dividends .....   | 0523                        |     |      | 2.a.  |
| b. Other income .....  | 0530                        |     |      | 2.b.  |
| 3. Income from subsidiary bank holding company(s): <sup>1</sup>  |                             |     |      |       |
| a. Dividends .....   | 0206                        |     |      | 3.a.  |
| b. Other income .....  | 1283                        |     |      | 3.b.  |
| 4. Other income .....  | 0447                        |     |      | 4.    |
| 5. TOTAL OPERATING INCOME (Sum of items 1, 2, 3, and 4) .....  | 4000                        |     |      | 5.    |
| 6. Interest expense .....  | 4073                        |     |      | 6.    |
| 7. Other expenses .....  | 4093                        |     |      | 7.    |
| 8. TOTAL OPERATING EXPENSE (Sum of items 6 and 7) .....  | 4130                        |     |      | 8.    |
| 9. Income (loss) before income taxes and before undistributed income of subsidiary(s) (item 5 minus item 8) .....  | 4250                        |     |      | 9.    |
| 10. Applicable income taxes (benefits) (estimated) (See instructions) .....  | 4302                        |     |      | 10.   |
| 11. Income (loss) before undistributed income of subsidiary(s) (item 9 minus item 10) .....  | 0496                        |     |      | 11.   |
| 12. Equity in undistributed income (loss) of subsidiary(s): (See instructions)   |                             |     |      |       |
| a. Bank subsidiary(s) .....  | 3156                        |     |      | 12.a. |
| b. Nonbank subsidiary(s) .....   | 2112                        |     |      | 12.b. |
| c. Subsidiary bank holding company(s) <sup>1</sup> .....   | 3513                        |     |      | 12.c. |
| 13. Net income (loss) (Sum of items 11 and 12) .....   | 4340                        |     |      | 13.   |
| <b>MEMORANDA</b>   |                             |     |      |       |
| 1. Cash dividends declared by the bank holding company to its shareholders .....   | 3158                        |     |      | M.1.  |
| 2. Does the reporting bank holding company have a Subchapter S election in effect for federal income tax purposes for the current tax year? (Enter "1" for yes; enter "0" for no.) ..... | BHSP<br>A530                |     |      | M.2.  |
| 3. Interest expense paid to special-purpose subsidiaries that issued trust preferred securities (included in item 7 above) .....   | BHSP<br>C254                | Mil | Thou | M.3.  |

1. This item is to be reported only by those holding companies that have subsidiary bank holding companies.

4. Net change in fair values of financial instruments accounted for under a fair value option . . . . .

### Schedule SC—Continued

MEMORANDA (to be completed annually only by top-tier and single-tier bank holding companies for the December 31 report date)

|      |  |
|------|--|
| BHSP |  |
|      |  |
| C884 |  |

1. Has the bank holding company engaged in a full-scope independent external audit at any time during the calendar year (Enter "1" for yes, enter "0" for no) ..... M.1
2. If response to Memorandum item 1 is yes, indicate below the name and address of the bank holding company's independent external auditing firm (see instructions), and the name and e-mail address of the auditing firm's engagement partner.<sup>3</sup>

a. \_\_\_\_\_  
(1) Name of External Auditing Firm (TEXT C703)

\_\_\_\_\_  
(2) City (TEXT C708)

\_\_\_\_\_  
(3) State Abbrev. (TEXT C714)

\_\_\_\_\_  
(4) Zip Code (TEXT C715)

b. \_\_\_\_\_  
(1) Name of Engagement Partner (TEXT C704)

\_\_\_\_\_  
(2) E-mail Address (TEXT C705)

3. The Federal Reserve regards information submitted in response to Memorandum item 2.b. as confidential.

3. Financial assets and liabilities measured at fair value:  
a. Total assets  
b. Total liabilities